

Proxy Voting Record

Meeting Date Range: 01-Nov-2020 To 30-Nov-2020

Selected Accounts

GUANGZHOU AUTOMOBILE GROUP CO., LTD

Security:	Y2R318121	Meeting Type:	ExtraOrdinary General Meeting
Ticker:		Meeting Date:	13-Nov-2020
ISIN	CNE100000Q35	Vote Deadline Date:	09-Nov-2020
Agenda	713257308	Management	Total Ballot Shares:
Last Vote Date:	28-Oct-2020		2934000

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING ON THE URL LINKS: https://www1.hkexnews.hk/listedco/listconews/sehk/2020/1021/2020102100944.pdf AND https://www1.hkexnews.hk/listedco/listconews/sehk/2020/1021/2020102100946.pdf	None	None		Non Voting		
2	TO CONSIDER AND APPROVE THE RESOLUTION IN RELATION TO THE 2020 A SHARE OPTION AND RESTRICTED SHARE INCENTIVE SCHEME (DRAFT) AND SUMMARY	For	None	0	1280000	0	0
3	TO CONSIDER AND APPROVE THE RESOLUTION IN RELATION TO THE APPRAISAL MANAGEMENT MEASURES FOR IMPLEMENTATION OF THE 2020 A SHARE OPTION AND RESTRICTED SHARE INCENTIVE SCHEME	For	None	0	1280000	0	0
4	TO CONSIDER AND APPROVE THE RESOLUTION TO AUTHORISE THE BOARD AND ITS AUTHORIZED PERSON TO DEAL WITH THE MATTERS IN RELATION TO THE 2020 A SHARE OPTION AND RESTRICTED SHARE INCENTIVE SCHEME WITH FULL AUTHORITY	For	None	0	1280000	0	0
5	TO CONSIDER AND APPROVE THE RESOLUTION ON AMENDMENTS TO THE ARTICLES OF ASSOCIATION	For	None	1280000	0	0	0

Proxy Voting Record

GUANGZHOU AUTOMOBILE GROUP CO., LTD

Security:	Y2R318121	Meeting Type:	Class Meeting
Ticker:		Meeting Date:	13-Nov-2020
ISIN	CNE100000Q35	Vote Deadline Date:	09-Nov-2020
Agenda	713257310	Total Ballot Shares:	2934000
Last Vote Date:	28-Oct-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING ON THE URL LINKS: https://www1.hkexnews.hk/listedco/listconews/sehk/2020/10/21/2020102100950.pdf AND https://www1.hkexnews.hk/listedco/listconews/sehk/2020/10/21/2020102100954.pdf	None	None				
2	TO CONSIDER AND APPROVE THE RESOLUTION IN RELATION TO THE 2020 A SHARE OPTION AND RESTRICTED SHARE INCENTIVE SCHEME (DRAFT) AND SUMMARY	For	None	0	1280000	0	0
3	TO CONSIDER AND APPROVE THE RESOLUTION IN RELATION TO THE APPRAISAL MANAGEMENT MEASURES FOR IMPLEMENTATION OF THE 2020 A SHARE OPTION AND RESTRICTED SHARE INCENTIVE SCHEME	For	None	0	1280000	0	0
4	TO CONSIDER AND APPROVE THE RESOLUTION TO AUTHORISE THE BOARD AND ITS AUTHORIZED PERSON TO DEAL WITH THE MATTERS IN RELATION TO THE 2020 A SHARE OPTION AND RESTRICTED SHARE INCENTIVE SCHEME WITH FULL AUTHORITY	For	None	0	1280000	0	0

Proxy Voting Record

HARMONY GOLD MINING COMPANY LIMITED

Security:	413216300	Meeting Type:	Annual
Ticker:	HMY	Meeting Date:	20-Nov-2020
ISIN	US4132163001	Vote Deadline Date:	11-Nov-2020
Agenda	935288553	Total Ballot Shares:	441084
Last Vote Date: 27-Oct-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To elect Boipelo Lekubo as a director	None	None	190430	0	0	0
2	To re-elect Dr Patrice Motsepe as a director	None	None	190430	0	0	0
3	To re-elect John Wetton as a director	None	None	190430	0	0	0
4	To re-elect Joaquim Chissano as a director	None	None	190430	0	0	0
5	To re-elect Modise Motloba as a director	None	None	190430	0	0	0
6	To re-elect Mavuso Msimang as a director	None	None	190430	0	0	0
7	To re-elect Fikile De Buck as a member of the audit and risk committee.	None	None	190430	0	0	0
8	To re-elect Karabo Nondumo as a member of the audit and risk committee.	None	None	190430	0	0	0
9	To re-elect Dr Simo Lushaba as a member of the audit and risk committee	None	None	190430	0	0	0
10	To re-elect John Wetton as a member of the audit and risk committee	None	None	190430	0	0	0
11	To re-elect Given Sibiya as a member of the audit and risk committee	None	None	190430	0	0	0
12	To re-appoint PricewaterhouseCoopers Incorporated as the external auditor of the Company	None	None	190430	0	0	0
13	Approval of remuneration policy	None	None	190430	0	0	0
14	Approval of the implementation report	None	None	190430	0	0	0
15	General authority to issue shares for cash	None	None	190430	0	0	0
16	Pre-approval of non-executive directors' remuneration	None	None	190430	0	0	0

Proxy Voting Record

KB FINANCIAL GROUP INC

Security:	48241A105	Meeting Type:	Special
Ticker:	KB	Meeting Date:	20-Nov-2020
ISIN	US48241A1051	Vote Deadline Date:	16-Nov-2020
Agenda	935291815	Total Ballot Shares:	108700
Last Vote Date: 03-Nov-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Appointment of an executive director: Executive director candidate: Mr. Jong Kyoo Yoon	For	Abstain	48852	0	0	0
2	Appointment of a non-standing director: Non-standing director candidate: Mr. Yin Hur	For	Abstain	48852	0	0	0
3	Appointment of a non-executive director (Shareholders' proposal by Jee Kang Ryu, the head of KB Financial Group's Employee Stock Ownership Association, and others): Non-executive director candidate: Ms. Sun-Jin Yun	For	Abstain	48852	0	0	0
4	Appointment of a non-executive director (Shareholders' proposal by Jee Kang Ryu, the head of KB Financial Group's Employee Stock Ownership Association, and others): Non-executive director candidate: Mr. Youngjae Ryu	For	Abstain	48852	0	0	0

Proxy Voting Record

PETROLEO BRASILEIRO S.A. - PETROBRAS

Security:	71654V408	Meeting Type:	Special
Ticker:	PBR	Meeting Date:	30-Nov-2020
ISIN	US71654V4086	Vote Deadline Date:	24-Nov-2020
Agenda	935301387	Total Ballot Shares:	318295
Last Vote Date:	23-Nov-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Amendment Proposal to the Bylaws to amend articles 17, 22, 23, 27, 30, 34, 47 and 57 of the Bylaws, and subsequent consolidation of the Bylaws, in accordance with the Management Proposal filed on the websites of the Brazilian Securities and Exchange Commission ("CVM") and the Company.	For	Abstain	140711	0	0	0