

Proxy Voting Record

Meeting Date Range: 01-Nov-2019 To 30-Nov-2019

Selected Accounts

JUMBO S.A.

Security:	X4114P111	Meeting Type:	Ordinary General Meeting
Ticker:		Meeting Date:	06-Nov-2019
ISIN	GRS282183003	Vote Deadline Date:	31-Oct-2019
Agenda	711633289	Management	Total Ballot Shares:
Last Vote Date:	17-Oct-2019		80480

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	SUBMISSION AND APPROVAL OF THE ANNUAL FINANCIAL REPORT (OF THE COMPANY AND THE GROUP) FOR THE FINANCIAL YEAR FROM 01.07.2018 TO 30.06.2019, WHICH INCLUDES THE ANNUAL SEPARATE AND CONSOLIDATED FINANCIAL STATEMENTS, THE RELEVANT BOARD OF DIRECTORS' STATEMENTS AND STATUTORY AUDITORS REPORTS	For	None	41160	0	0	0
2	APPROVAL OF DISTRIBUTION OF PROFITS FOR THE CLOSING CORPORATE YEAR FROM 01.07.2018 TO 30.06.2019, IN LINE WITH MAKING THE DECISION ON PROFIT DISTRIBUTION TABLES IN COMPLIANCE WITH IFRS FOR THE CORPORATE YEAR FROM 1.7.2018 TO 30.06.2019 AND DISTRIBUTION OF DIVIDENDS FROM THE CLOSING CORPORATE YEAR PROFITS	For	None	41160	0	0	0
3	APPROVAL OF PAYMENT OF FEES TO CERTAIN MEMBERS OF THE COMPANY'S BOARD OF DIRECTORS FROM THE PROFITS OF THE CLOSING YEAR FROM 01.07.2018 TO 30.06.2019 WITHIN THE MEANING OF ARTICLE 109 OF LAW 4548/2018	For	None	41160	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
4	BOARD OF DIRECTORS' APPROVAL OF THE OVERALL MANAGEMENT OF THE COMPANY FOR THE CORPORATE YEAR FROM 01.07.2018 TO 30.06.2019 IN ACCORDANCE WITH ARTICLE 108 OF LAW 4548/2018 AS EFFECTIVE AND DISCHARGE OF THE STATUTORY AUDITORS FOR THE FINANCIAL YEAR FROM 01.07.2018 TO 30.06.2019 IN ACCORDANCE WITH ARTICLE 117 PAR.1 (C), OF LAW 4548/2018	For	None	41160	0	0	0
5	APPROVAL OF THE REMUNERATION POLICY OF THE MEMBERS OF THE BOARD OF DIRECTORS OF THE COMPANY, ACCORDING TO ARTICLE 110 PAR. 2 OF LAW 4548/2018, AS EFFECTIVE	For	None	41160	0	0	0
6	ELECTION OF A NEW BOARD OF DIRECTORS WITH A TWO-YEAR TERM OF SERVICE	For	None	41160	0	0	0
7	ELECTION OF NEW AUDIT COMMITTEE, IN ACCORDANCE WITH ARTICLE 44 OF LAW 4449/2017	For	None	41160	0	0	0
8	APPROVAL OF AN AMENDMENT TO ARTICLE 34 OF THE COMPANY'S ARTICLES OF ASSOCIATION REGARDING THE CORPORATE YEAR. THE TWELVE-MONTH CORPORATE YEAR WILL BE FROM JANUARY 1 TO DECEMBER 31 OF EACH YEAR. AS AN EXCEPTION, THE CORPORATE YEAR THAT COMMENCED ON JULY 1, 2019 WILL END ON DECEMBER 31, 2019. FORMATION OF THE COMPANY'S ARTICLES OF ASSOCIATION IN A SINGLE TEXT	For	None	41160	0	0	0
9	APPROVAL OF AMENDMENT OF THE COMPANY'S ARTICLES OF ASSOCIATION FOR THE PURPOSES OF THEIR MODERNIZATION AND HARMONIZATION WITH THE PROVISIONS OF LAW 4548/2018, AS IN EFFECT, IN ACCORDANCE WITH ARTICLE 183 PAR 1 OF LAW 4548/2018. FORMATION OF THE ABOVE IN A SINGLE TEXT	For	None	41160	0	0	0
10	ELECTION OF THE AUDITING FIRM FOR AUDIT OF FINANCIAL STATEMENTS REGARDING THE UNDER TWELVE-MONTH FY FROM 1.7.2019 TO 31.12.2019 AND DETERMINATION OF THE AUDITORS' FEES	For	None	41160	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
11	17 OCT 2019: PLEASE NOTE IN THE EVENT THE MEETING DOES NOT REACH QUORUM, THERE WILL BE AN A REPETITIVE MEETING ON 18 NOV 2019. ALSO, YOUR VOTING INSTRUCTIONS WILL NOT BE CARRIED OVER TO THE SECOND CALL. ALL VOTES RECEIVED ON THIS MEETING WILL BE DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THE REPETITIVE MEETING. THANK YOU	None	None			Non Voting	
12	17 OCT 2019: PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF COMMENT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	None	None			Non Voting	

Proxy Voting Record

CHINA LONGYUAN POWER GROUP CORPORATION LTD

Security:	Y1501T101	Meeting Type:	ExtraOrdinary General Meeting
Ticker:		Meeting Date:	15-Nov-2019
ISIN	CNE100000HD4	Vote Deadline Date:	11-Nov-2019
Agenda	711588155	Total Ballot Shares:	1528000
	Management		
Last Vote Date:	30-Sep-2019		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING ON THE URL LINKS: https://www1.hkexnews.hk/listedco/listconews/sehk/2019/0927/2019092700682.pdf AND https://www1.hkexnews.hk/listedco/listconews/sehk/2019/0927/2019092700695.pdf	None	None		Non Voting		
2	TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. SUN JINBIAO AS AN EXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. HUANG QUN	For	None	1354000	0	0	0

Proxy Voting Record

CNOOC LIMITED

Security:	126132109	Meeting Type:	Special
Ticker:	CEO	Meeting Date:	21-Nov-2019
ISIN	US1261321095	Vote Deadline Date:	13-Nov-2019
Agenda	935099603	Management	Total Ballot Shares:
Last Vote Date:	06-Nov-2019		6340

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To approve the Non-exempt Continuing Connected Transactions.	For	Abstain	5500	0	0	0
2	To approve the Proposed Caps for each category of the Non-exempt Continuing Connected Transactions.	For	Abstain	5500	0	0	0

Proxy Voting Record

HARMONY GOLD MINING COMPANY LIMITED

Security:	413216300	Meeting Type:	Annual
Ticker:	HMY	Meeting Date:	22-Nov-2019
ISIN	US4132163001	Vote Deadline Date:	13-Nov-2019
Agenda	935097053	Total Ballot Shares:	371945
Last Vote Date: 05-Nov-2019			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To elect Given Sibiyi as a director.	None	None	323035	0	0	0
2	To elect Grathel Motau as a director.	None	None	323035	0	0	0
3	To re-elect André Wilkens as a director.	None	None	323035	0	0	0
4	To re-elect Vishnu Pillay as a director.	None	None	323035	0	0	0
5	To re-elect Karabo Nondumo as a director.	None	None	323035	0	0	0
6	To re-elect Dr Simo Lushaba as a director.	None	None	323035	0	0	0
7	To re-elect Ken Dicks as a director.	None	None	323035	0	0	0
8	To re-elect Fikile De Buck as a member of the audit and risk committee.	None	None	323035	0	0	0
9	To re-elect Karabo Nondumo as a member of the audit and risk committee.	None	None	0	0	0	323035
10	To re-elect Dr Simo Lushaba as a member of the audit and risk committee.	None	None	323035	0	0	0
11	To re-elect John Wetton as a member of the audit and risk committee.	None	None	0	0	0	323035
12	To elect Given Sibiyi as a member of the audit and risk committee.	None	None	323035	0	0	0
13	To re-appoint PricewaterhouseCoopers Incorporated as the external auditor of the Company.	None	None	323035	0	0	0
14	Approval of remuneration policy.	None	None	323035	0	0	0
15	Approval of the implementation report.	None	None	0	0	0	323035
16	General authority to issue shares for cash.	None	None	0	323035	0	0
17	Approval of Financial Assistance in terms of section 45 of the Act.	None	None	323035	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
18	Pre-approval of non-executive directors' remuneration.	None	None	323035	0	0	0