

## Vote Summary

### UNICREDIT SPA, ROMA

|                |   |                    |                        |
|----------------|---|--------------------|------------------------|
| Security       | T960AS101   | Meeting Type       | MIX                    |
| Ticker Symbol  |   | Meeting Date       | 12-Jan-2017            |
| ISIN           | IT0004781412  | Agenda             | 707645454 - Management |
| Record Date    | 03-Jan-2017   | Holding Recon Date | 03-Jan-2017            |
| City / Country | ROMA / Italy  | Vote Deadline Date | 05-Jan-2017            |
| SEDOL(s)       | B5M1SM3 - B6W0XS8 - B6W36F5 -<br>B72X2L0 - B75C2Z8 - B76DWH2 -<br>B7N2TV5 - BRTM8F6 - BWK29H9 | Quick Code         |                        |

| Item  | Proposal  | Proposed by | Vote | For/Against Management |
|-------|---|-------------|------|------------------------|
| CMMT  | PLEASE NOTE THAT THE ITALIAN LANGUAGE AGENDA IS AVAILABLE BY CLICKING ON THE-URL LINK:-<br><a href="https://materials.proxyvote.com/Approved/99999Z/19840101/NTC_304819.PDF">https://materials.proxyvote.com/Approved/99999Z/19840101/NTC_304819.PDF</a>  | Non-Voting  |      |                        |
| E.1   | SHARE CAPITAL INCREASE FOR CASH CONSIDERATION UP TO AN AGGREGATE AMOUNT OF EURO 13 BILLION, INCLUDING ANY SHARE PREMIUM, TO BE CARRIED OUT NO LATER THAN 30 JUNE 2017, ALSO IN ONE OR MORE TRanches AND IN A DIVISIBLE FORM, THROUGH THE ISSUE OF ORDINARY SHARES, WITH REGULAR ENTITLEMENT, TO BE PRE-EMPTIVELY OFFERED TO THE COMPANY'S ORDINARY SHAREHOLDERS AND HOLDERS OF SAVING SHARES PURSUANT TO ARTICLE 2441, FIRST, SECOND AND THIRD PARAGRAPHS OF THE ITALIAN CIVIL CODE. SUBSEQUENT AMENDMENTS TO COMPANY'S ARTICLES OF ASSOCIATION AND RESOLUTIONS RELATED THERETO | Management  | For  | For                    |
| E.2   | REVERSE STOCK SPLIT OF UNICREDIT'S ORDINARY AND SAVINGS SHARES, AT A RATIO OF 1 NEW ORDINARY SHARE, WITH REGULAR ENTITLEMENT, PER 10 EXISTING ORDINARY SHARES AND 1 NEW SAVINGS SHARE, WITH REGULAR ENTITLEMENT, PER 10 EXISTING SAVINGS SHARES, AFTER CANCELLATION OF ORDINARY AND SAVINGS SHARES IN THE MINIMUM NUMBER NECESSARY TO ALLOW THE BALANCING OF THE ENTIRE TRANSACTION, WITHOUT REDUCTION OF THE SHARE CAPITAL. SUBSEQUENT AMENDMENTS TO THE COMPANY'S ARTICLES OF ASSOCIATION AND RESOLUTIONS RELATED THERETO   | Management  | For  | For                    |
| O.1.1 | APPOINTMENT OF DIRECTOR JEAN PIERRE MUSTIER FOR INTEGRATION OF THE BOARD OF DIRECTORS   | Management  | For  | For                    |
| O.1.2 | APPOINTMENT OF SERGIO BALBINOT FOR INTEGRATION OF THE BOARD OF DIRECTORS  | Management  | For  | For                    |

## Vote Summary

|       |  |            |     |     |
|-------|--|------------|-----|-----|
| O.1.3 | APPOINTMENT OF DIRECTOR MARTHA DAGMAR<br>BOCKENFELD FOR INTEGRATION OF THE BOARD<br>OF DIRECTORS | Management | For | For |
|-------|--|------------|-----|-----|

## Vote Summary

### NXP SEMICONDUCTORS NV.

|                |               |                    |                        |
|----------------|---------------|--------------------|------------------------|
| Security       | N6596X109     | Meeting Type       | Special                |
| Ticker Symbol  | NXPI          | Meeting Date       | 27-Jan-2017            |
| ISIN           | NL0009538784  | Agenda             | 934520897 - Management |
| Record Date    | 30-Dec-2016   | Holding Recon Date | 30-Dec-2016            |
| City / Country | / Netherlands | Vote Deadline Date | 26-Jan-2017            |
| SEDOL(s)       |               | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 3.A  | THE PROPOSAL TO APPOINT MR. STEVE MOLLENKOPF AS EXECUTIVE DIRECTOR SUBJECT TO AND CONDITIONAL UPON THE OCCURRENCE OF AND EFFECTIVE AS OF CLOSING.   | Management  | For  | For                    |
| 3.B  | THE PROPOSAL TO APPOINT MR. DEREK K. ABERLE AS NON-EXECUTIVE ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).  | Management  | For  | For                    |
| 3.C  | THE PROPOSAL TO APPOINT MR. GEORGE S. DAVIS AS NON-EXECUTIVE DIRECTOR SUBJECT TO AND CONDITIONAL UPON THE OCCURRENCE OF AND EFFECTIVE AS OF CLOSING.  | Management  | For  | For                    |
| 3.D  | THE PROPOSAL TO APPOINT MR. DONALD J. ROSENBERG AS NON-EXECUTIVE ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).  | Management  | For  | For                    |
| 3.E  | THE PROPOSAL TO APPOINT MR. BRIAN MODOFF AS NON-EXECUTIVE DIRECTOR SUBJECT TO AND CONDITIONAL UPON THE OCCURRENCE OF AND EFFECTIVE AS OF CLOSING.   | Management  | For  | For                    |
| 4.   | THE PROPOSAL TO GRANT FULL AND FINAL DISCHARGE TO EACH MEMBER ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).   | Management  | For  | For                    |
| 5.A  | THE PROPOSAL TO APPROVE OF THE ASSET SALE AS REQUIRED UNDER ARTICLE 2:107A OF THE DUTCH CIVIL CODE CONDITIONAL UPON AND SUBJECT TO (I) BUYER HAVING ACCEPTED FOR PAYMENT THE ACQUIRED SHARES AND (II) THE NUMBER OF ACQUIRED SHARES MEETING THE ASSET SALE THRESHOLD. | Management  | For  | For                    |
| 5.B  | THE PROPOSAL TO (I) DISSOLVE NXP (II) APPOINT STICHTING ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).   | Management  | For  | For                    |
| 6.A  | THE PROPOSAL TO AMEND NXP'S ARTICLES OF ASSOCIATION, SUBJECT TO CLOSING.  | Management  | For  | For                    |
| 6.B  | THE PROPOSAL TO CONVERT NXP AND AMEND THE ARTICLES OF ASSOCIATION, SUBJECT TO DELISTING OF NXP FROM NASDAQ.   | Management  | For  | For                    |

## Vote Summary

### NOVARTIS AG, BASEL

|                |   |                    |                        |
|----------------|---|--------------------|------------------------|
| Security       | H5820Q150                                       | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |   | Meeting Date       | 28-Feb-2017            |
| ISIN           | CH0012005267                                    | Agenda             | 707714007 - Management |
| Record Date    | 23-Feb-2017                                     | Holding Recon Date | 23-Feb-2017            |
| City / Country | BASEL / Switzerland                             | Vote Deadline Date | 22-Feb-2017            |
| SEDOL(s)       | 7103065 - 7105083 - B01DMY5 - B10S3M3 - B769708 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 723253 DUE TO ADDITION OF- RESOLUTION B. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU   | Non-Voting  |      |                        |
| CMMT | PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE-REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE-REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT-FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A-REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL-SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE-THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND-RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE-TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF-REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE-SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR-CLIENT REPRESENTATIVE | Non-Voting  |      |                        |
| A.1  | APPROVAL OF THE OPERATING AND FINANCIAL REVIEW OF NOVARTIS AG, THE FINANCIAL STATEMENTS OF NOVARTIS AG AND THE GROUP CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR  | Management  |      |                        |
| A.2  | DISCHARGE FROM LIABILITY OF THE MEMBERS OF THE BOARD OF DIRECTORS AND THE EXECUTIVE COMMITTEE   | Management  |      |                        |
| A.3  | APPROPRIATION OF AVAILABLE EARNINGS OF NOVARTIS AG AS PER BALANCE SHEET AND DECLARATION OF DIVIDEND: GROSS DIVIDEND (BEFORE TAXES AND DUTIES) OF CHF 2.75 PER DIVIDEND BEARING SHARE OF CHF 0.50 NOMINAL VALUE  | Management  |      |                        |

## Vote Summary

|       |  |            |
|-------|--|------------|
| A.4   | REDUCTION OF SHARE CAPITAL   | Management |
| A.5.1 | BINDING VOTE ON TOTAL COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING | Management |
| A.5.2 | BINDING VOTE ON TOTAL COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE NEXT FINANCIAL YEAR, I.E. 2018                                 | Management |
| A.5.3 | ADVISORY VOTE ON THE 2016 COMPENSATION REPORT  | Management |
| A.6.1 | RE-ELECTION OF JOERG REINHARDT, PH.D., AND RE-ELECTION AS CHAIRMAN OF THE BOARD OF DIRECTORS (IN A SINGLE VOTE)                                  | Management |
| A.6.2 | RE-ELECTION OF NANCY C. ANDREWS, M.D., PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS   | Management |
| A.6.3 | RE-ELECTION OF DIMITRI AZAR, M.D. AS A MEMBER OF THE BOARD OF DIRECTORS  | Management |
| A.6.4 | RE-ELECTION OF TON BUECHNER AS A MEMBER OF THE BOARD OF DIRECTORS  | Management |
| A.6.5 | RE-ELECTION OF SRIKANT DATAR, PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS  | Management |
| A.6.6 | RE-ELECTION OF ELIZABETH DOHERTY AS A MEMBER OF THE BOARD OF DIRECTORS   | Management |
| A.6.7 | RE-ELECTION OF ANN FUDGE AS A MEMBER OF THE BOARD OF DIRECTORS   | Management |
| A.6.8 | RE-ELECTION OF PIERRE LANDOLT, PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS   | Management |
| A.6.9 | RE-ELECTION OF ANDREAS VON PLANTA, PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS   | Management |
| A6.10 | RE-ELECTION OF CHARLES L. SAWYERS, M.D. AS A MEMBER OF THE BOARD OF DIRECTORS  | Management |
| A6.11 | RE-ELECTION OF ENRICO VANNI, PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS   | Management |
| A6.12 | RE-ELECTION OF WILLIAM T. WINTERS AS A MEMBER OF THE BOARD OF DIRECTORS  | Management |
| A6.13 | ELECTION OF FRANS VAN HOUTEN AS A MEMBER OF THE BOARD OF DIRECTORS   | Management |
| A.7.1 | RE-ELECTION OF SRIKANT DATAR, PH.D., AS MEMBER OF THE COMPENSATION COMMITTEE   | Management |
| A.7.2 | RE-ELECTION OF ANN FUDGE AS MEMBER OF THE COMPENSATION COMMITTEE   | Management |
| A.7.3 | RE-ELECTION OF ENRICO VANNI, PH.D., AS MEMBER OF THE COMPENSATION COMMITTEE  | Management |
| A.7.4 | RE-ELECTION OF WILLIAM T. WINTERS AS MEMBER OF THE COMPENSATION COMMITTEE  | Management |

## Vote Summary

|     |  |            |
|-----|--|------------|
| A.8 | RE-ELECTION OF THE STATUTORY AUDITOR: THE BOARD OF DIRECTORS PROPOSES THE RE-ELECTION OF PRICEWATERHOUSECOOPERS AG AS STATUTORY AUDITOR OF NOVARTIS AG FOR THE FINANCIAL YEAR STARTING ON JANUARY 1, 2017  | Management |
| A.9 | RE-ELECTION OF THE INDEPENDENT PROXY: THE BOARD OF DIRECTORS PROPOSES THE RE-ELECTION OF LIC. IUR. PETER ANDREAS ZAHN, ATTORNEY AT LAW, BASEL, AS INDEPENDENT PROXY OF NOVARTIS AG UNTIL THE END OF THE NEXT ANNUAL GENERAL MEETING  | Management |
| B   | IF ALTERNATIVE MOTIONS UNDER THE AGENDA ITEMS PUBLISHED IN THE NOTICE OF ANNUAL GENERAL MEETING AND/OR MOTIONS RELATING TO ADDITIONAL AGENDA ITEMS (ARTICLE 700 PARAGRAPH 3 OF THE SWISS CODE OF OBLIGATIONS) ARE PROPOSED AT THE ANNUAL GENERAL MEETING, I/WE INSTRUCT THE INDEPENDENT PROXY TO VOTE AS FOLLOWS: (YES = ACCORDING TO THE MOTION OF THE BOARD OF DIRECTORS, AGAINST = AGAINST ALTERNATIVE/ADDITIONAL MOTIONS, ABSTAIN = ABSTAIN FROM VOTING) | Management |

## Vote Summary

### NOVARTIS AG

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 66987V109       | Meeting Type       | Annual                 |
| Ticker Symbol  | NVS             | Meeting Date       | 28-Feb-2017            |
| ISIN           | US66987V1098    | Agenda             | 934527625 - Management |
| Record Date    | 20-Jan-2017     | Holding Recon Date | 20-Jan-2017            |
| City / Country | / United States | Vote Deadline Date | 21-Feb-2017            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | APPROVAL OF THE OPERATING AND FINANCIAL REVIEW OF NOVARTIS AG, THE FINANCIAL STATEMENTS OF NOVARTIS AG AND THE GROUP CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR | Management  |      |                        |
| 2.   | DISCHARGE FROM LIABILITY OF THE MEMBERS OF THE BOARD OF DIRECTORS AND THE EXECUTIVE COMMITTEE  | Management  |      |                        |
| 3.   | APPROPRIATION OF AVAILABLE EARNINGS OF NOVARTIS AG AS PER BALANCE SHEET AND DECLARATION OF DIVIDEND  | Management  |      |                        |
| 4.   | REDUCTION OF SHARE CAPITAL   | Management  |      |                        |
| 5A.  | BINDING VOTE ON TOTAL COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING                                   | Management  |      |                        |
| 5B.  | BINDING VOTE ON TOTAL COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE NEXT FINANCIAL YEAR, I.E. 2018   | Management  |      |                        |
| 5C.  | ADVISORY VOTE ON THE 2016 COMPENSATION REPORT  | Management  |      |                        |
| 6A.  | RE-ELECTION AS CHAIRMAN OF THE BOARD OF DIRECTOR: JOERG REINHARDT, PH.D.   | Management  |      |                        |
| 6B.  | RE-ELECTION OF DIRECTOR: NANCY C. ANDREWS, M.D., PH.D.   | Management  |      |                        |
| 6C.  | RE-ELECTION OF DIRECTOR: DIMITRI AZAR, M.D.  | Management  |      |                        |
| 6D.  | RE-ELECTION OF DIRECTOR: TON BUECHNER  | Management  |      |                        |
| 6E.  | RE-ELECTION OF DIRECTOR: SRIKANT DATAR, PH.D.  | Management  |      |                        |
| 6F.  | RE-ELECTION OF DIRECTOR: ELIZABETH DOHERTY   | Management  |      |                        |
| 6G.  | RE-ELECTION OF DIRECTOR: ANN FUDGE   | Management  |      |                        |
| 6H.  | RE-ELECTION OF DIRECTOR: PIERRE LANDOLT, PH.D.   | Management  |      |                        |
| 6I.  | RE-ELECTION OF DIRECTOR: ANDREAS VON PLANTA, PH.D.   | Management  |      |                        |

## Vote Summary

|     |  |            |
|-----|--|------------|
| 6J. | RE-ELECTION OF DIRECTOR: CHARLES L. SAWYERS, M.D.  | Management |
| 6K. | RE-ELECTION OF DIRECTOR: ENRICO VANNI, PH.D.   | Management |
| 6L. | RE-ELECTION OF DIRECTOR: WILLIAM T. WINTERS  | Management |
| 6M. | RE-ELECTION OF DIRECTOR: FRANS VAN HOUTEN  | Management |
| 7A. | RE-ELECTION TO THE COMPENSATION COMMITTEE: SRIKANT DATAR, PH.D.  | Management |
| 7B. | RE-ELECTION TO THE COMPENSATION COMMITTEE: ANN FUDGE   | Management |
| 7C. | RE-ELECTION TO THE COMPENSATION COMMITTEE: ENRICO VANNI, PH.D.   | Management |
| 7D. | RE-ELECTION TO THE COMPENSATION COMMITTEE: WILLIAM T. WINTERS  | Management |
| 8.  | RE-ELECTION OF THE STATUTORY AUDITOR   | Management |
| 9.  | RE-ELECTION OF THE INDEPENDENT PROXY   | Management |
| 10. | GENERAL INSTRUCTIONS IN CASE OF ALTERNATIVE MOTIONS UNDER THE AGENDA ITEMS PUBLISHED IN THE NOTICE OF ANNUAL GENERAL MEETING, AND/OR OF MOTIONS RELATING TO ADDITIONAL AGENDA ITEMS ACCORDING TO ARTICLE 700 PARAGRAPH 3 OF THE SWISS CODE OF OBLIGATIONS IF ALTERNATIVE MOTIONS UNDER THE AGENDA ITEMS PUBLISHED IN THE NOTICE OF ANNUAL GENERAL MEETING AND/OR MOTIONS RELATING TO ADDITIONAL AGENDA ITEMS ACCORDING TO ARTICLE 700 PARAGRAPH 3 OF THE SWISS CODE OF OBLIGATIONS ARE ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL). | Management |

## Vote Summary

### SWEDBANK AB, STOCKHOLM

|                |  |                    |                        |
|----------------|--|--------------------|------------------------|
| Security       | W9423X102  | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |  | Meeting Date       | 30-Mar-2017            |
| ISIN           | SE0000242455                                       | Agenda             | 707789369 - Management |
| Record Date    | 24-Mar-2017  | Holding Recon Date | 24-Mar-2017            |
| City / Country | STOCKH / Sweden                                    | Vote Deadline Date | 21-Mar-2017            |
|                | OLM  |                    |                        |
| SEDOL(s)       | *006479 - *006480 - *006481 - *006482<br>- 4846523 | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| CMMT | AN ABSTAIN VOTE CAN HAVE THE SAME EFFECT AS AN AGAINST VOTE IF THE MEETING-REQUIRE APPROVAL FROM MAJORITY OF PARTICIPANTS TO PASS A RESOLUTION.  | Non-Voting  |      |                        |
| CMMT | MARKET RULES REQUIRE DISCLOSURE OF BENEFICIAL OWNER INFORMATION FOR ALL VOTED-ACCOUNTS. IF AN ACCOUNT HAS MULTIPLE BENEFICIAL OWNERS, YOU WILL NEED TO-PROVIDE THE BREAKDOWN OF EACH BENEFICIAL OWNER NAME, ADDRESS AND SHARE-POSITION TO YOUR CLIENT SERVICE REPRESENTATIVE. THIS INFORMATION IS REQUIRED-IN ORDER FOR YOUR VOTE TO BE LODGED | Non-Voting  |      |                        |
| CMMT | IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE                    | Non-Voting  |      |                        |
| 1    | OPENING OF THE MEETING AND ADDRESS BY THE CHAIR OF THE BOARD OF DIRECTORS  | Non-Voting  |      |                        |
| 2    | ELECTION OF THE MEETING CHAIR: COUNSEL (SW. ADVOKAT) WILHELM LUNING  | Non-Voting  |      |                        |
| 3    | PREPARATION AND APPROVAL OF THE VOTING LIST  | Non-Voting  |      |                        |
| 4    | APPROVAL OF THE AGENDA   | Non-Voting  |      |                        |
| 5    | ELECTION OF TWO PERSONS TO VERIFY THE MINUTES  | Non-Voting  |      |                        |
| 6    | DECISION WHETHER THE MEETING HAS BEEN DULY CONVENED  | Non-Voting  |      |                        |

## Vote Summary

|      |   |            |     |     |
|------|---|------------|-----|-----|
| 7    | A) PRESENTATION OF THE ANNUAL REPORT AND THE CONSOLIDATED ACCOUNTS FOR THE-FINANCIAL YEAR 2016 B) PRESENTATION OF THE AUDITOR'S REPORTS FOR THE BANK AND-THE GROUP FOR THE FINANCIAL YEAR 2016 C) ADDRESS BY THE CEO  | Non-Voting |     |     |
| 8    | ADOPTION OF THE PROFIT AND LOSS ACCOUNT AND BALANCE SHEET OF THE BANK AND THE CONSOLIDATED PROFIT AND LOSS ACCOUNT AND CONSOLIDATED BALANCE SHEET FOR THE FINANCIAL YEAR 2016   | Management | For | For |
| 9    | APPROVAL OF THE ALLOCATION OF THE BANK'S PROFIT IN ACCORDANCE WITH THE ADOPTED BALANCE SHEET AS WELL AS DECISION ON THE RECORD DATE FOR DIVIDENDS: THE BOARD OF DIRECTORS PROPOSES THAT OF THE AMOUNT APPROXIMATELY SEK 54 483M AT THE DISPOSAL OF THE MEETING, APPROXIMATELY SEK 14 695M IS DISTRIBUTED AS DIVIDENDS TO HOLDERS OF SHARES AND THE BALANCE, APPROXIMATELY SEK 39 788M, IS CARRIED FORWARD. THE PROPOSED TOTAL AMOUNT TO BE DISTRIBUTED AND THE PROPOSED TOTAL AMOUNT TO BE CARRIED FORWARD, ARE BASED ON ALL SHARES OUTSTANDING AS OF 24 FEBRUARY, 2017 AND COULD BE CHANGED IN THE EVENT OF ADDITIONAL SHARE REPURCHASES OR IF TREASURY SHARES ARE DISPOSED OF BEFORE THE RECORD DAY. A DIVIDEND OF SEK 13.20 FOR EACH SHARE IS PROPOSED. THE PROPOSED RECORD DATE IS 3 APRIL, 2017. WITH THIS RECORD DATE, THE DIVIDEND IS EXPECTED TO BE PAID THROUGH EUROCLEAR ON 6 APRIL, 2017 | Management | For | For |
| 10.A | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: MICHAEL WOLF, CEO UP UNTIL AND INCLUDING 9 FEBRUARY 2016  | Management | For | For |
| 10.B | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: ANDERS SUNDSTROM, ORDINARY BOARD MEMBER AND CHAIR OF THE BOARD OF DIRECTORS UP UNTIL AND INCLUDING 5 APRIL 2016   | Management | For | For |
| 10.C | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: ANDERS IGEL, ORDINARY BOARD MEMBER UP UNTIL AND INCLUDING 5 APRIL 2016  | Management | For | For |
| 10.D | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: MAJ-CHARLOTTE WALLIN, ORDINARY BOARD MEMBER UP UNTIL AND INCLUDING 5 APRIL 2016   | Management | For | For |

## Vote Summary

|      |   |            |     |     |
|------|---|------------|-----|-----|
| 10.E | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: LARS IDERMARK, ORDINARY BOARD MEMBER (AS WELL AS CHAIR OF THE BOARD OF DIRECTORS FROM AND INCLUDING 5 APRIL 2016) | Management | For | For |
| 10.F | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: ULRIKA FRANCKE, ORDINARY BOARD MEMBER   | Management | For | For |
| 10.G | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: GORAN HEDMAN, ORDINARY BOARD MEMBER   | Management | For | For |
| 10.H | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: PIA RUDENGREN, ORDINARY BOARD MEMBER  | Management | For | For |
| 10.I | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: KARL-HENRIK SUNDSTROM, ORDINARY BOARD MEMBER  | Management | For | For |
| 10.J | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: SIV SVENSSON, ORDINARY BOARD MEMBER   | Management | For | For |
| 10.K | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: BODIL ERIKSSON, ORDINARY BOARD MEMBER FROM AND INCLUDING 5 APRIL 2016   | Management | For | For |
| 10.L | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: PETER NORMAN, ORDINARY BOARD MEMBER FROM AND INCLUDING 5 APRIL 2016   | Management | For | For |
| 10.M | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: BIRGITTE BONNESEN, CEO FROM AND INCLUDING 9 FEBRUARY 2016   | Management | For | For |
| 10.N | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: CAMILLA LINDER, ORDINARY EMPLOYEE REPRESENTATIVE  | Management | For | For |
| 10.O | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: ROGER LJUNG, ORDINARY EMPLOYEE REPRESENTATIVE   | Management | For | For |

## Vote Summary

|      |  |            |     |     |
|------|--|------------|-----|-----|
| 10.P | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: INGRID FRIBERG, DEPUTY EMPLOYEE REPRESENTATIVE, HAVING ACTED AT SEVEN BOARD MEETINGS | Management | For | For |
| 10.Q | DECISION WHETHER TO DISCHARGE THE MEMBERS OF THE BOARD OF DIRECTORS AND CEO FROM LIABILITY: KARIN SANDSTROM, DEPUTY EMPLOYEE REPRESENTATIVE, HAVING ACTED AT TWO BOARD MEETINGS  | Management | For | For |
| 11   | DETERMINATION OF THE NUMBER OF BOARD MEMBERS: 9  | Management | For | For |
| 12   | DETERMINATION OF THE REMUNERATION TO THE BOARD MEMBERS AND THE AUDITOR   | Management | For | For |
| 13.A | ELECTION OF THE BOARD MEMBER: MATS GRANRYD   | Management | For | For |
| 13.B | ELECTION OF THE BOARD MEMBER: BO JOHANSSON   | Management | For | For |
| 13.C | ELECTION OF THE BOARD MEMBER: ANNIKA POUTIAINEN  | Management | For | For |
| 13.D | ELECTION OF THE BOARD MEMBER: MAGNUS UGGLA   | Management | For | For |
| 13.E | RE-ELECTION OF THE BOARD MEMBER: LARS IDERMARK   | Management | For | For |
| 13.F | RE-ELECTION OF THE BOARD MEMBER: ULRIKA FRANCKE  | Management | For | For |
| 13.G | RE-ELECTION OF THE BOARD MEMBER: SIV SVENSSON  | Management | For | For |
| 13.H | RE-ELECTION OF THE BOARD MEMBER: BODIL ERIKSSON  | Management | For | For |
| 13.I | RE-ELECTION OF THE BOARD MEMBER: PETER NORMAN  | Management | For | For |
| 14   | ELECTION OF THE CHAIR OF THE BOARD OF DIRECTORS: LARS IDERMARK   | Management | For | For |
| 15   | DECISION ON THE NOMINATION COMMITTEE   | Management | For | For |
| 16   | DECISION ON THE GUIDELINES FOR REMUNERATION TO TOP EXECUTIVES  | Management | For | For |
| 17   | DECISION TO ACQUIRE OWN SHARES IN ACCORDANCE WITH THE SECURITIES MARKET ACT  | Management | For | For |
| 18   | DECISION ON AUTHORIZATION FOR THE BOARD OF DIRECTORS TO DECIDE ON ACQUISITIONS OF OWN SHARES IN ADDITION TO WHAT IS STATED IN ITEM 17  | Management | For | For |
| 19   | DECISION ON AUTHORIZATION FOR THE BOARD OF DIRECTORS TO DECIDE ON ISSUANCE OF CONVERTIBLES   | Management | For | For |

## Vote Summary

|      |  |            |     |     |
|------|--|------------|-----|-----|
| 20.A | PERFORMANCE AND SHARE BASED REMUNERATION PROGRAMS FOR 2017: APPROVAL OF THE RESOLUTION OF THE BOARD OF DIRECTORS ON A COMMON PROGRAM ("EKEN 2017")   | Management | For | For |
| 20.B | PERFORMANCE AND SHARE BASED REMUNERATION PROGRAMS FOR 2017: APPROVAL OF THE RESOLUTION OF THE BOARD OF DIRECTORS REGARDING DEFERRED VARIABLE REMUNERATION IN THE FORM OF SHARES (OR ANOTHER FINANCIAL INSTRUMENT IN THE BANK) UNDER THE INDIVIDUAL PROGRAM ("IP 2017") | Management | For | For |
| 20.C | PERFORMANCE AND SHARE BASED REMUNERATION PROGRAMS FOR 2017: DECISION REGARDING TRANSFER OF OWN SHARES  | Management | For | For |
| CMMT | PLEASE NOTE THAT BOARD DOES NOT MAKE ANY RECOMMENDATION ON RESOLUTIONS 21 AND-22.A TO 22.I. THANK YOU  | Non-Voting |     |     |
| 21   | MATTER SUBMITTED BY THE SHAREHOLDER GORAN WESTMAN REGARDING SUGGESTED PROPOSAL TO IMPLEMENT THE LEAN-CONCEPT   | Management | For | For |
| 22.A | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO ADOPT A VISION ON ABSOLUTE EQUALITY BETWEEN GENDERS  | Management | For | For |
| 22.B | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO APPOINT A TASK FORCE IN ORDER TO IMPLEMENT THE PROPOSAL UNDER ITEM 22 A)   | Management | For | For |
| 22.C | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO ANNUALLY PUBLISH A REPORT REGARDING THE PROPOSALS UNDER ITEMS 22 A) AND B)   | Management | For | For |
| 22.D | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO FORM A SHAREHOLDERS' ASSOCIATION   | Management | For | For |
| 22.E | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO CHANGE THE REGULATIONS CONCERNING THE POSSIBILITY TO INVOICE THE BOARD OF DIRECTORS' REMUNERATION  | Management | For | For |
| 22.F | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO AMEND THE SECTION OF THE ARTICLES OF ASSOCIATION THAT CONCERNS THE BOARD OF DIRECTORS  | Management | For | For |

## Vote Summary

|      |   |            |     |     |
|------|---|------------|-----|-----|
| 22.G | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO SUGGEST THAT THE GOVERNMENT OFFICE OF SWEDEN IMPLEMENT RULES CONCERNING A SO-CALLED COOL-OFF PERIOD FOR POLITICIANS         | Management | For | For |
| 22.H | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO PROMOTE A REFORM AS TO SMALL AND MEDIUM SIZED SHAREHOLDERS' REPRESENTATION IN BOARDS OF DIRECTORS AND NOMINATION COMMITTEES | Management | For | For |
| 22.I | MATTER SUBMITTED BY THE SHAREHOLDER THORWALD ARVIDSSON REGARDING SUGGESTED PROPOSAL: TO EXAMINE THE EXTENT TO WHICH THE BANK HAS CONTRIBUTED TO TAX EVASION   | Management | For | For |
| 23   | CLOSING OF THE MEETING  | Non-Voting |     |     |

## Vote Summary

CEMEX, S.A.B. DE C.V.

|                |              |                    |                        |
|----------------|--------------|--------------------|------------------------|
| Security       | 151290889    | Meeting Type       | Annual                 |
| Ticker Symbol  | CX           | Meeting Date       | 30-Mar-2017            |
| ISIN           | US1512908898 | Agenda             | 934533337 - Management |
| Record Date    | 16-Feb-2017  | Holding Recon Date | 16-Feb-2017            |
| City / Country | / Mexico     | Vote Deadline Date | 27-Mar-2017            |
| SEDOL(s)       |              | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | PRESENTATION OF THE CHIEF EXECUTIVE OFFICER'S REPORT, INCLUDING THE COMPANY'S FINANCIAL STATEMENTS, REPORT OF CHANGES IN FINANCIAL SITUATION AND VARIATIONS OF CAPITAL STOCK, AND OF THE BOARD OF DIRECTORS' REPORT FOR THE 2016 FISCAL YEAR, PURSUANT TO THE MEXICAN SECURITIES MARKET LAW (LEY DEL MERCADO ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL). | Management  | For  |                        |
| 2.   | PROPOSAL FOR THE ALLOCATION OF PROFITS.   | Management  | For  |                        |
| 3.   | PROPOSAL TO INCREASE THE CAPITAL STOCK OF THE COMPANY IN ITS VARIABLE PORTION THROUGH: (A) CAPITALIZATION OF RETAINED EARNINGS; AND (B) ISSUANCE OF TREASURY SHARES IN ORDER TO PRESERVE THE RIGHTS OF CONVERTIBLE NOTE HOLDERS PURSUANT TO THE COMPANY'S PREVIOUS ISSUANCE OF CONVERTIBLE NOTES.   | Management  | For  |                        |
| 4.   | APPOINTMENT OF DIRECTORS, MEMBERS AND PRESIDENT OF THE AUDIT AND CORPORATE PRACTICES AND FINANCE COMMITTEES.  | Management  | For  |                        |
| 5.   | COMPENSATION OF THE MEMBERS OF THE BOARD OF DIRECTORS AND OF THE AUDIT AND CORPORATE PRACTICES AND FINANCE COMMITTEES.  | Management  | For  |                        |
| 6.   | APPOINTMENT OF DELEGATE OR DELEGATES TO FORMALIZE THE RESOLUTIONS ADOPTED AT THE MEETING.   | Management  | For  |                        |