

## Proxy Voting Summary

i503a

Report Date Range: 01-Jan-23 to 31-Mar-23

### AJ BELL PLC

ISIN	GB00BFZNLB60	Meeting Date	08-Feb-23
Ticker		Deadline Date	02-Feb-23
Country	United Kingdom	Record Date	06-Feb-23
Blocking	No	Vote Date	17-Jan-23

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Accounts and Reports	Management	For	For	For
2	Remuneration Report	Management	For	For	For
3	Remuneration Policy	Management	For	For	For
4	Amendment to Executive Incentive Plan	Management	For	For	For
5	Approval of Senior Manager Incentive Plan	Management	For	For	For
6	FINAL DIVIDEND	Management	For	For	For
7	Elect Helena Morrissey	Management	For	For	For
8	Elect Michael Summersgill	Management	For	For	For
9	Elect Peter Michael Birch	Management	For	For	For
10	Elect Roger Stott	Management	For	For	For
11	Elect Evelyn Bourke	Management	For	For	For
12	Elect Eamonn Flanagan	Management	For	For	For

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13	Elect Margaret Hassall	Management	For	For	For
14	Elect Simon Turner	Management	For	For	For
15	Appointment of Auditor	Management	For	For	For
16	Authority to Set Auditor's Fees	Management	For	For	For
17	Authority to Issue Shares w/ Preemptive Rights	Management	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Management	For	For	For
19	Authority to Repurchase Shares	Management	For	For	For
20	Authority to Set General Meeting Notice Period at 14 Days	Management	For	For	For

Account Number	Account Name	Custodian A/C No	Custodian	Ballot Shares	Shares On Loan	Vote Date
i503a	Leading Edge Investment Advisors, LLC	693166	Bank of New York Mellon (55P-Non US)	76,350		17-Jan-23
			<b>Totals</b>	<b>76,350</b>		

**Proxy Voting Summary**  
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**Report Date Range:** 01-Jan-23 to 31-Mar-23

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<b>ISIN</b>	DE0005313704	<b>Meeting Date</b>	22-Mar-23
<b>Ticker</b>		<b>Deadline Date</b>	13-Mar-23
<b>Country</b>	Germany	<b>Record Date</b>	28-Feb-23
<b>Blocking</b>	No	<b>Vote Date</b>	10-Mar-23

<b>Proposal Number</b>	<b>Proposal</b>	<b>Proponent</b>	<b>Mgmt Rec</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
2	Allocation of Dividends	Management	For	For	For
3	Ratification of Management Board Acts	Management	For	For	For
4	Ratification of Supervisory Board Acts	Management	For	For	For
5	Appointment of Auditor	Management	For	For	For
6.1	Amendments to Articles (Virtual Meetings)	Management	For	For	For
6.2	Amendments to Articles (Supervisory Board Participation)	Management	For	For	For
7.1	Amendments to Articles	Management	For	For	For
7.2	Amendments to Articles	Management	For	For	For
7.3	Amendments to Articles	Management	For	For	For
7.4	Amendments to Articles	Management	For	For	For
7.5	Amendments to Articles	Management	For	For	For
7.6	Amendments to Articles	Management	For	For	For

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8.1	Elect Karl Lamprecht	Management	For	For	For
8.2	Elect Tania von der Goltz	Management	For	For	For
8.3	Elect Christian Müller	Management	For	For	For
8.4	Elect Peter Kameritsch	Management	For	For	For
8.5	Elect Isabel De Paoli	Management	For	For	For
8.6	Elect Torsten Reitze	Management	For	For	For
9	Management Board Remuneration Policy	Management	For	For	For
10	Remuneration Report	Management	For	For	For

Account Number	Account Name	Custodian A/C No	Custodian	Ballot Shares	Shares On Loan	Vote Date
i503a	Leading Edge Investment Advisors, LLC	693166	Bank of New York Mellon (55P-Non US)	4,185		10-Mar-23
			<b>Totals</b>	<b>4,185</b>		

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**Report Date Range:** 01-Jan-23 to 31-Mar-23

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<b>ISIN</b>	DK0060534915	<b>Meeting Date</b>	23-Mar-23
<b>Ticker</b>		<b>Deadline Date</b>	14-Mar-23
<b>Country</b>	Denmark	<b>Record Date</b>	16-Mar-23
<b>Blocking</b>	No	<b>Vote Date</b>	09-Mar-23

<b>Proposal Number</b>	<b>Proposal</b>	<b>Proponent</b>	<b>Mgmt Rec</b>	<b>Vote</b>	<b>For/Against Mgmt</b>
2	Accounts and Reports	Management	For	For	For
3	Allocation of Profits/Dividends	Management	For	For	For
4	Remuneration Report	Management	For	For	For
5.1	Directors' Fees 2022	Management	For	For	For
5.2	Directors' Fees 2023	Management	For	For	For
5.3	Amendments to Remuneration Policy	Management	For	For	For
6.1	Elect Helge Lund as Chair	Management	For	For	For
6.2	Elect Henrik Poulsen as Vice Chair	Management	For	For	For
6.3.A	Elect Laurence Debroux	Management	For	For	For
6.3.B	Elect Andreas Fibig	Management	For	For	For
6.3.C	Elect Sylvie Grégoire	Management	For	For	For
6.3.D	Elect Kasim Kutay	Management	For	For	For

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6.3.E	Elect Christina Choi Lai Law	Management	For	For	For
6.3.F	Elect Martin Mackay	Management	For	For	For
<p>Vote Note: Note that this differs from Glass Lewis in that they abstain from voting on one director citing the “potential” for being over committed because he is a non-executive director (NED) of one publicly traded company and an executive director of another. He has been on the board since 2018, and there is no evidence of missing board meetings. In the same proxy, Glass Lewis sites its concern about dual share classes (i.e. Novo Nordisk’s structure), and the company’s dominant voting power. However, they accept it, as it is popular among European companies, and there are no other major issues with the company. This is clearly an inconsistent application with an explanation that sounds like different people evaluating different points on the proxy. Again, absent evidence of neglect of the shareholders, I see no reason to abstain from voting for this director, and going against management’s recommendation.</p>					
7.1	Appointment of Auditor	Management	For	For	For
8.1	Cancellation of Shares	Management	For	For	For
8.2	Authority to Repurchase Shares	Management	For	For	For
8.3	Authority to Issue Shares w/ or w/o Preemptive Rights	Management	For	For	For
8.4	Shareholder Proposal Regarding Company’s Product pricing	Shareholder	Against	Against	For

Account Number	Account Name	Custodian A/C No	Custodian	Ballot Shares	Shares On Loan	Vote Date
i503a	Leading Edge Investment Advisors, LLC	693166	Bank of New York Mellon (55P-Non US)	4,668		09-Mar-23
			<b>Totals</b>	<b>4,668</b>		

# Proxy Voting Summary

## i503a

Report Date Range: 01-Jan-23 to 31-Mar-23

### **SIMCORP**

<b>ISIN</b>	DK0060495240	<b>Meeting Date</b>	23-Mar-23
<b>Ticker</b>		<b>Deadline Date</b>	14-Mar-23
<b>Country</b>	Denmark	<b>Record Date</b>	16-Mar-23
<b>Blocking</b>	No	<b>Vote Date</b>	09-Mar-23

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
2	Accounts and Reports	Management	For	For	For
3	Allocation of Profits/Dividends	Management	For	For	For
4	Remuneration Report	Management	For	For	For
5.A	Elect Peter Schütze	Management	For	For	For
5.B	Elect Morten Hübbe	Management	For	For	For
5.C	Elect Simon Jeffreys	Management	For	For	For
5.D	Elect Susan Standiford	Management	For	For	For
5.E	Elect Adam Warby	Management	For	For	For
5.F	Elect Allan Polack	Management	For	For	For
6.A	Appointment of Auditor	Management	For	For	For
7.A	Directors' Fees	Management	For	For	For
7.B	Authority to Repurchase Shares	Management	For	For	For

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Account Number	Account Name	Custodian A/C No	Custodian	Ballot Shares	Shares On Loan	Vote Date
i503a	Leading Edge Investment Advisors, LLC	693166	Bank of New York Mellon (55P-Non US)	4,220		09-Mar-23
			<b>Totals</b>	<b>4,220</b>		

## MONOTARO CO.LTD

ISIN	JP3922950005	Meeting Date	29-Mar-23
Ticker		Deadline Date	24-Mar-23
Country	Japan	Record Date	31-Dec-22
Blocking	No	Vote Date	10-Mar-23

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Allocation of Profits/Dividends	Management	For	For	For
2	Amendments to Articles	Management	For	For	For
3.1	Elect Kinya Seto	Management	For	For	For
3.2	Elect Masaya Suzuki	Management	For	For	For
3.3	Elect Masahiro Kishida	Management	For	For	For
3.4	Elect Tomoko Ise @ Tomoko Tanaka	Management	For	For	For
3.5	Elect Mari Sagiya @ Mari Itaya	Management	For	For	For



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3.6	Elect Hiroshi Miura	Management	For	For	For
3.7	Elect Barry Greenhouse	Management	For	For	For

Account Number	Account Name	Custodian A/C No	Custodian	Ballot Shares	Shares On Loan	Vote Date
i503a	Leading Edge Investment Advisors, LLC	693166	Bank of New York Mellon (55P-Non US)	27,400		10-Mar-23
			<b>Totals</b>	<b>27,400</b>		

## CHR. HANSEN HOLDING

ISIN	DK0060227585	Meeting Date	30-Mar-23
Ticker		Deadline Date	21-Mar-23
Country	Denmark	Record Date	23-Mar-23
Blocking	No	Vote Date	21-Mar-23

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Merger/Acquisition	Management	For	For	For
	Vote Note: Note that this includes the sale of the company to Novozymes, with which we agree.				
2	Indemnification of Directors	Management	For	For	For
3	Amendments to Articles (Financial Year)	Management	For	For	For
4	Directors' Fees	Management	For	For	For

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Authorization of Legal Formalities

Management

For

For

For

Account Number	Account Name	Custodian A/C No	Custodian	Ballot Shares	Shares On Loan	Vote Date
i503a	Leading Edge Investment Advisors, LLC	693166	Bank of New York Mellon (55P-Non US)	5,862		21-Mar-23
			Totals	5,862		