



**NICHOLAS INVESTMENT PARTNERS**

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INSTITUTIONAL PORTFOLIO MANAGEMENT

**For Period Ending February 28, 2022**  
**STATE OF CONNECTICUT**



Pursuant to recent amendments to Rule 206(4) under the Investment Advisers Act of 1940, Nicholas Investment Partners encourages its clients to compare the information set forth in this statement with the statements received directly from your custodian to ensure accuracy of all account transactions.

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## Summary of Investment Performance

### February 01, 2022 to February 28, 2022

|                           |               |
|---------------------------|---------------|
| Beginning Market Value    | 21,779,753.00 |
| Beginning Accrued Income  | 36.04         |
| Beginning Portfolio Value | 21,779,789.04 |
| Contributions             | 0.00          |
| Withdrawals               | 0.00          |
| Gain (Loss)               | 657,859.89    |
| Interest and Dividends    | 9,889.62      |
| Net Accrued Income        | 4,834.09      |
| Ending Market Value       | 22,447,502.51 |
| Ending Accrued Income     | 4,870.13      |
| Ending Portfolio Value    | 22,452,372.64 |
| Total Portfolio           | 3.09%         |
| Total Net Of Fees         | 3.06%         |
| Russell 2000 Growth TR    | 0.44%         |
| Total Investment Return   | \$672,583.60  |

## Selected Period Performance

| Sector                      | Market Value | 1 Month | ITD    |
|-----------------------------|--------------|---------|--------|
| Total Portfolio             | \$22,452,373 | 3.09%   | -8.65% |
| Total Portfolio Net of Fees | \$22,452,373 | 3.06%   | -8.70% |
| Russell 2000 Growth TR      |              | 0.44%   | -7.63% |

Market values include accrued income. All values in USD terms.  
All rates of return are gross of investment management fees unless otherwise noted.  
All returns greater than 12 months are annualized.  
Performance inception date for the account is 01/10/2022.

## Portfolio Holdings

| Description                         | Amount       | Total Cost            | Current Price | Market Value          | % of Total   | Unrealized Gain/Loss  |
|-------------------------------------|--------------|-----------------------|---------------|-----------------------|--------------|-----------------------|
| <b>Cash</b>                         |              |                       |               |                       |              |                       |
| U.S. DOLLARS                        | 1,040,864.17 | 1,040,864.17          | 1.00          | 1,040,864.17          | 4.64         | -                     |
| <b>Total Cash</b>                   |              | <b>\$1,040,864.17</b> |               | <b>\$1,040,864.17</b> | <b>4.64</b>  | <b>-</b>              |
| <b>Equity</b>                       |              |                       |               |                       |              |                       |
| <b>Consumer Discretionary</b>       |              |                       |               |                       |              |                       |
| RED ROCK RESORTS INC-CLASS A        | 17,850.00    | 955,148.15            | 50.28         | 897,498.00            | 4.00         | (57,650.15)           |
| BOOT BARN HOLDINGS INC              | 6,553.00     | 784,567.85            | 87.02         | 570,242.06            | 2.54         | (214,325.79)          |
| STEVEN MADDEN LTD                   | 11,717.00    | 544,924.86            | 42.66         | 499,847.22            | 2.23         | (45,077.64)           |
| CLARUS CORP                         | 19,196.00    | 531,425.90            | 22.77         | 437,092.92            | 1.95         | (94,332.98)           |
| DUTCH BROS INC-CLASS A              | 4,811.00     | 238,079.32            | 48.21         | 231,938.31            | 1.03         | (6,141.01)            |
| GOLDEN ENTERTAINMENT INC            | 3,324.00     | 169,106.17            | 56.93         | 189,235.32            | 0.84         | 20,129.15             |
| KURA SUSHI USA INC-CLASS A          | 2,290.00     | 125,064.43            | 52.70         | 120,683.00            | 0.54         | (4,381.43)            |
| CROCS INC                           | 322.00       | 34,302.47             | 83.73         | 26,961.06             | 0.12         | (7,341.41)            |
| <b>Total Consumer Discretionary</b> |              | <b>\$3,382,619.15</b> |               | <b>\$2,973,497.89</b> | <b>13.25</b> | <b>\$(409,121.26)</b> |
| <b>Energy</b>                       |              |                       |               |                       |              |                       |
| CHESAPEAKE ENERGY CORP              | 7,084.00     | 477,251.30            | 77.25         | 547,239.00            | 2.44         | 69,987.70             |
| MATADOR RESOURCES CO                | 8,402.00     | 350,376.67            | 49.60         | 416,739.20            | 1.86         | 66,362.53             |
| ENVIVA INC                          | 1,582.00     | 109,884.98            | 69.70         | 110,265.40            | 0.49         | 380.42                |

## Portfolio Holdings

| Description                  | Amount    | Total Cost            | Current Price | Market Value          | % of Total   | Unrealized Gain/Loss |
|------------------------------|-----------|-----------------------|---------------|-----------------------|--------------|----------------------|
| <b>Total Energy</b>          |           | <b>\$937,512.95</b>   |               | <b>\$1,074,243.60</b> | <b>4.79</b>  | <b>\$136,730.65</b>  |
| <b>Financials</b>            |           |                       |               |                       |              |                      |
| PACWEST BANCORP              | 13,827.00 | 638,577.87            | 49.42         | 683,330.34            | 3.04         | 44,752.47            |
| WINTRUST FINANCIAL CORP      | 4,263.00  | 414,614.87            | 99.36         | 423,571.68            | 1.89         | 8,956.81             |
| BANC OF CALIFORNIA INC       | 19,139.00 | 390,390.17            | 19.65         | 376,081.35            | 1.68         | (14,308.82)          |
| FIRST INTERSTATE BANCYS-A    | 8,731.00  | 370,000.87            | 40.60         | 354,478.60            | 1.58         | (15,522.27)          |
| SILVERGATE CAPITAL CORP-CL A | 2,116.00  | 320,757.46            | 128.08        | 271,017.28            | 1.21         | (49,740.18)          |
| TRIUMPH BANCORP INC          | 1,985.00  | 238,298.43            | 100.32        | 199,135.20            | 0.89         | (39,163.23)          |
| BANCORP INC/THE              | 5,825.00  | 175,731.34            | 29.30         | 170,672.50            | 0.76         | (5,058.84)           |
| <b>Total Financials</b>      |           | <b>\$2,548,371.01</b> |               | <b>\$2,478,286.95</b> | <b>11.04</b> | <b>\$(70,084.06)</b> |
| <b>Health Care</b>           |           |                       |               |                       |              |                      |
| INTRA-CELLULAR THERAPIES INC | 9,461.00  | 481,549.02            | 55.48         | 524,896.28            | 2.34         | 43,347.26            |
| ARENA PHARMACEUTICALS INC    | 4,800.00  | 447,744.96            | 94.97         | 455,856.00            | 2.03         | 8,111.04             |
| ARGENX SE - ADR              | 1,581.00  | 491,416.51            | 287.71        | 454,869.51            | 2.03         | (36,547.00)          |
| AVANTOR INC                  | 9,453.00  | 384,652.02            | 34.69         | 327,924.57            | 1.46         | (56,727.45)          |
| REPLIGEN CORP                | 1,154.00  | 295,179.47            | 196.70        | 226,991.80            | 1.01         | (68,187.67)          |
| BIOHAVEN PHARMACEUTICAL HOLD | 1,890.00  | 261,161.52            | 118.71        | 224,361.90            | 1.00         | (36,799.62)          |
| KRYSTAL BIOTECH INC          | 3,318.00  | 237,539.60            | 63.55         | 210,858.90            | 0.94         | (26,680.70)          |

## Portfolio Holdings

| Description                  | Amount    | Total Cost            | Current Price | Market Value          | % of Total   | Unrealized Gain/Loss  |
|------------------------------|-----------|-----------------------|---------------|-----------------------|--------------|-----------------------|
| <b>Health Care</b>           |           |                       |               |                       |              |                       |
| INARI MEDICAL INC            | 2,241.00  | 175,152.53            | 87.98         | 197,163.18            | 0.88         | 22,010.65             |
| SOMALOGIC INC                | 21,507.00 | 242,606.95            | 9.09          | 195,498.63            | 0.87         | (47,108.32)           |
| INSTIL BIO INC               | 17,067.00 | 304,512.83            | 10.73         | 183,128.91            | 0.82         | (121,383.92)          |
| IVERIC BIO INC               | 11,086.00 | 170,078.63            | 16.04         | 177,819.44            | 0.79         | 7,740.81              |
| VERVE THERAPEUTICS INC       | 5,381.00  | 208,063.90            | 32.65         | 175,689.65            | 0.78         | (32,374.25)           |
| ESTABLISHMENT LABS HOLDINGS  | 2,785.00  | 189,997.16            | 60.43         | 168,297.55            | 0.75         | (21,699.61)           |
| TWIST BIOSCIENCE CORP        | 2,878.00  | 236,536.78            | 55.94         | 160,995.32            | 0.72         | (75,541.46)           |
| ASCENDIS PHARMA A/S - ADR    | 1,312.00  | 161,850.94            | 112.39        | 147,455.68            | 0.66         | (14,395.26)           |
| ALPHA TEKNOVA INC            | 8,648.00  | 185,989.94            | 17.00         | 147,016.00            | 0.65         | (38,973.94)           |
| INSULET CORP                 | 477.00    | 130,078.90            | 264.69        | 126,257.13            | 0.56         | (3,821.77)            |
| GUARDANT HEALTH INC          | 1,618.00  | 162,135.90            | 66.27         | 107,224.86            | 0.48         | (54,911.04)           |
| VISTAGEN THERAPEUTICS INC    | 68,705.00 | 142,473.56            | 1.40          | 96,187.00             | 0.43         | (46,286.56)           |
| NEOGENOMICS INC              | 3,880.00  | 134,769.47            | 21.41         | 83,070.80             | 0.37         | (51,698.67)           |
| SINGULAR GENOMICS SYSTEMS IN | 8,013.00  | 96,608.73             | 8.23          | 65,946.99             | 0.29         | (30,661.74)           |
| <b>Total Health Care</b>     |           | <b>\$5,140,099.32</b> |               | <b>\$4,457,510.10</b> | <b>19.86</b> | <b>\$(682,589.22)</b> |
| <b>Industrials</b>           |           |                       |               |                       |              |                       |
| WILLSCOT MOBILE MINI HOLDING | 21,926.00 | 886,134.90            | 35.53         | 779,030.78            | 3.47         | (107,104.12)          |
| KORNIT DIGITAL LTD           | 6,280.00  | 930,135.87            | 94.83         | 595,532.40            | 2.65         | (334,603.47)          |

## Portfolio Holdings

| Description                   | Amount    | Total Cost            | Current Price | Market Value          | % of Total   | Unrealized Gain/Loss  |
|-------------------------------|-----------|-----------------------|---------------|-----------------------|--------------|-----------------------|
| <b>Industrials</b>            |           |                       |               |                       |              |                       |
| TFI INTERNATIONAL INC         | 5,409.00  | 599,376.16            | 104.29        | 564,104.61            | 2.51         | (35,271.55)           |
| AMERESCO INC-CL A             | 6,921.00  | 571,599.16            | 64.25         | 444,674.25            | 1.98         | (126,924.91)          |
| REGAL REXNORD CORP            | 2,763.00  | 470,064.67            | 160.35        | 443,047.05            | 1.97         | (27,017.62)           |
| CACI INTERNATIONAL INC -CL A  | 1,257.00  | 343,134.94            | 279.79        | 351,696.03            | 1.57         | 8,561.09              |
| JOHN BEAN TECHNOLOGIES CORP   | 2,468.00  | 397,023.55            | 113.37        | 279,797.16            | 1.25         | (117,226.39)          |
| FEDERAL SIGNAL CORP           | 5,888.00  | 250,774.61            | 36.11         | 212,615.68            | 0.95         | (38,158.93)           |
| ENERGY RECOVERY INC           | 5,655.00  | 121,700.69            | 19.00         | 107,445.00            | 0.48         | (14,255.69)           |
| <b>Total Industrials</b>      |           | <b>\$4,569,944.55</b> |               | <b>\$3,777,942.96</b> | <b>16.83</b> | <b>\$(792,001.59)</b> |
| <b>Information Technology</b> |           |                       |               |                       |              |                       |
| MONOLITHIC POWER SYSTEMS INC  | 1,885.00  | 928,612.08            | 458.70        | 864,649.50            | 3.85         | (63,962.58)           |
| LATTICE SEMICONDUCTOR CORP    | 13,677.00 | 1,072,023.77          | 62.62         | 856,453.74            | 3.82         | (215,570.03)          |
| VERRA MOBILITY CORP           | 32,653.00 | 524,578.04            | 16.80         | 548,570.40            | 2.44         | 23,992.36             |
| BILL.COM HOLDINGS INC         | 1,775.00  | 421,454.70            | 237.88        | 422,237.00            | 1.88         | 782.30                |
| DESCARTES SYSTEMS GRP/THE     | 3,318.00  | 267,546.60            | 71.26         | 236,440.68            | 1.05         | (31,105.92)           |
| SWITCH INC - A                | 8,297.00  | 210,525.62            | 26.02         | 215,887.94            | 0.96         | 5,362.32              |
| ASANA INC - CL A              | 3,466.00  | 247,243.65            | 54.79         | 189,902.14            | 0.85         | (57,341.51)           |
| VARONIS SYSTEMS INC           | 3,583.00  | 147,121.94            | 43.60         | 156,218.80            | 0.70         | 9,096.86              |
| SMARTSHEET INC-CLASS A        | 2,841.00  | 215,688.15            | 53.17         | 151,055.97            | 0.67         | (64,632.18)           |

## Portfolio Holdings

| Description                         | Amount    | Total Cost            | Current Price | Market Value          | % of Total   | Unrealized Gain/Loss  |
|-------------------------------------|-----------|-----------------------|---------------|-----------------------|--------------|-----------------------|
| <b>Information Technology</b>       |           |                       |               |                       |              |                       |
| 908 DEVICES INC                     | 4,805.00  | 126,494.99            | 16.63         | 79,907.15             | 0.36         | (46,587.84)           |
| <b>Total Information Technology</b> |           | <b>\$4,161,289.54</b> |               | <b>\$3,721,323.32</b> | <b>16.58</b> | <b>\$(439,966.22)</b> |
| <b>Materials</b>                    |           |                       |               |                       |              |                       |
| ELEMENT SOLUTIONS INC               | 39,913.00 | 976,971.55            | 24.58         | 981,061.54            | 4.37         | 4,089.99              |
| MP MATERIALS CORP                   | 3,825.00  | 180,655.50            | 45.62         | 174,496.50            | 0.78         | (6,159.00)            |
| <b>Total Materials</b>              |           | <b>\$1,157,627.05</b> |               | <b>\$1,155,558.04</b> | <b>5.15</b>  | <b>\$(2,069.01)</b>   |
| <b>Real Estate</b>                  |           |                       |               |                       |              |                       |
| DIGITALBRIDGE GROUP INC             | 90,279.00 | 737,109.05            | 7.25          | 654,522.75            | 2.92         | (82,586.30)           |
| INNOVATIVE INDUSTRIAL PROPER        | 2,666.00  | 665,442.13            | 188.46        | 502,434.36            | 2.24         | (163,007.77)          |
| APPLE HOSPITALITY REIT INC          | 12,931.00 | 212,178.31            | 17.69         | 228,749.39            | 1.02         | 16,571.08             |
| UMH PROPERTIES INC                  | 8,345.00  | 222,092.16            | 23.06         | 192,435.70            | 0.86         | (29,656.46)           |
| PARK HOTELS & RESORTS INC           | 10,092.00 | 195,371.03            | 18.84         | 190,133.28            | 0.85         | (5,237.75)            |
| <b>Total Real Estate</b>            |           | <b>\$2,032,192.68</b> |               | <b>\$1,768,275.48</b> | <b>7.88</b>  | <b>\$(263,917.20)</b> |

**Portfolio Holdings**

| Description     | Amount | Total<br>Cost   | Current<br>Price | Market<br>Value | % of<br>Total | Unrealized<br>Gain/Loss |
|-----------------|--------|-----------------|------------------|-----------------|---------------|-------------------------|
| Total Equity    |        | \$23,929,656.25 |                  | \$21,406,638.34 | 95.36         | \$(2,523,017.91)        |
| Total Portfolio |        | \$24,970,520.42 |                  | \$22,447,502.51 | 100.00        | \$(2,523,017.91)        |

## Trade Report

| Transaction/Description      | Security ID | Trade Date | Settle Date | Quantity | Price  | Net Amount   |
|------------------------------|-------------|------------|-------------|----------|--------|--------------|
| <b>Buy</b>                   |             |            |             |          |        |              |
| ARGENX SE - ADR              | 04016X101   | 2/1/2022   | 2/3/2022    | 411.00   | 277.55 | (114,090.27) |
| ASCENDIS PHARMA A/S - ADR    | 04351P101   | 2/1/2022   | 2/3/2022    | 1,312.00 | 123.32 | (161,850.94) |
| ENVIVA INC                   | 29415B103   | 2/1/2022   | 2/3/2022    | 195.00   | 69.49  | (13,557.71)  |
| INTRA-CELLULAR THERAPIES INC | 46116X101   | 2/1/2022   | 2/3/2022    | 310.00   | 47.89  | (14,858.83)  |
| ARGENX SE - ADR              | 04016X101   | 2/2/2022   | 2/4/2022    | 181.00   | 279.00 | (50,505.99)  |
| BANCORP INC/THE              | 05969A105   | 2/2/2022   | 2/4/2022    | 1,577.00 | 30.43  | (48,046.93)  |
| ENVIVA INC                   | 29415B103   | 2/2/2022   | 2/4/2022    | 351.00   | 72.09  | (25,316.93)  |
| BANCORP INC/THE              | 05969A105   | 2/3/2022   | 2/7/2022    | 2,066.00 | 30.71  | (63,524.54)  |
| CHESAPEAKE ENERGY CORP       | 165167735   | 2/4/2022   | 2/8/2022    | 187.00   | 68.09  | (12,739.47)  |
| IVERIC BIO INC               | 46583P102   | 2/7/2022   | 2/9/2022    | 4,611.00 | 14.94  | (69,076.01)  |
| KURA SUSHI USA INC-CLASS A   | 501270102   | 2/7/2022   | 2/9/2022    | 282.00   | 46.53  | (13,133.61)  |
| IVERIC BIO INC               | 46583P102   | 2/8/2022   | 2/10/2022   | 756.00   | 15.27  | (11,570.58)  |
| IVERIC BIO INC               | 46583P102   | 2/8/2022   | 2/10/2022   | 288.00   | 15.33  | (4,425.12)   |
| IVERIC BIO INC               | 46583P102   | 2/8/2022   | 2/10/2022   | 2,779.00 | 15.12  | (42,122.97)  |
| KURA SUSHI USA INC-CLASS A   | 501270102   | 2/8/2022   | 2/10/2022   | 5.00     | 46.25  | (231.45)     |
| IVERIC BIO INC               | 46583P102   | 2/9/2022   | 2/11/2022   | 522.00   | 15.73  | (8,229.33)   |
| IVERIC BIO INC               | 46583P102   | 2/9/2022   | 2/11/2022   | 965.00   | 16.00  | (15,478.60)  |
| SOMALOGIC INC                | 83444K105   | 2/9/2022   | 2/11/2022   | 1,212.00 | 9.52   | (11,570.60)  |

Account: 1083-3-OSOMD

## Trade Report

| Transaction/Description    | Security ID | Trade Date | Settle Date | Quantity | Price  | Net Amount   |
|----------------------------|-------------|------------|-------------|----------|--------|--------------|
| SOMALOGIC INC              | 83444K105   | 2/9/2022   | 2/11/2022   | 551.00   | 9.67   | (5,344.64)   |
| BILL.COM HOLDINGS INC      | 090043100   | 2/10/2022  | 2/14/2022   | 60.00    | 256.49 | (15,391.82)  |
| ELASTIC NV                 | N14506104   | 2/10/2022  | 2/14/2022   | 162.00   | 95.54  | (15,484.45)  |
| FIVE9 INC                  | 338307101   | 2/10/2022  | 2/14/2022   | 113.00   | 134.54 | (15,206.99)  |
| INARI MEDICAL INC          | 45332Y109   | 2/10/2022  | 2/14/2022   | 2,241.00 | 78.12  | (175,152.53) |
| IVERIC BIO INC             | 46583P102   | 2/10/2022  | 2/14/2022   | 1,165.00 | 16.42  | (19,176.02)  |
| SOMALOGIC INC              | 83444K105   | 2/10/2022  | 2/14/2022   | 2,996.00 | 10.10  | (30,361.46)  |
| VARONIS SYSTEMS INC        | 922280102   | 2/10/2022  | 2/14/2022   | 736.00   | 41.33  | (30,450.01)  |
| BILL.COM HOLDINGS INC      | 090043100   | 2/14/2022  | 2/16/2022   | 60.00    | 248.40 | (14,906.33)  |
| ELASTIC NV                 | N14506104   | 2/14/2022  | 2/16/2022   | 161.00   | 93.22  | (15,014.18)  |
| FIVE9 INC                  | 338307101   | 2/14/2022  | 2/16/2022   | 114.00   | 137.26 | (15,652.74)  |
| VARONIS SYSTEMS INC        | 922280102   | 2/14/2022  | 2/16/2022   | 743.00   | 41.84  | (31,113.27)  |
| BILL.COM HOLDINGS INC      | 090043100   | 2/16/2022  | 2/18/2022   | 59.00    | 247.88 | (14,627.43)  |
| ELASTIC NV                 | N14506104   | 2/16/2022  | 2/18/2022   | 161.00   | 90.47  | (14,571.34)  |
| FIVE9 INC                  | 338307101   | 2/16/2022  | 2/18/2022   | 113.00   | 134.54 | (15,207.36)  |
| VARONIS SYSTEMS INC        | 922280102   | 2/16/2022  | 2/18/2022   | 743.00   | 40.97  | (30,469.46)  |
| KURA SUSHI USA INC-CLASS A | 501270102   | 2/17/2022  | 2/22/2022   | 887.00   | 52.42  | (46,531.67)  |
| BANCORP INC/THE            | 05969A105   | 2/18/2022  | 2/23/2022   | 424.00   | 29.48  | (12,514.36)  |
| BANCORP INC/THE            | 05969A105   | 2/18/2022  | 2/23/2022   | 1,439.00 | 29.34  | (42,282.86)  |
| BANCORP INC/THE            | 05969A105   | 2/18/2022  | 2/23/2022   | 319.00   | 29.31  | (9,362.65)   |

## Trade Report

| Transaction/Description      | Security ID | Trade Date | Settle Date | Quantity | Price  | Net Amount              |
|------------------------------|-------------|------------|-------------|----------|--------|-------------------------|
| KURA SUSHI USA INC-CLASS A   | 501270102   | 2/18/2022  | 2/23/2022   | 66.00    | 52.44  | (3,463.69)              |
| ELEMENT SOLUTIONS INC        | 28618M106   | 2/25/2022  | 3/1/2022    | 4,596.00 | 24.23  | (111,553.19)            |
| VARONIS SYSTEMS INC          | 922280102   | 2/25/2022  | 3/1/2022    | 1,361.00 | 40.44  | (55,089.20)             |
| CACI INTERNATIONAL INC -CL A | 127190304   | 2/28/2022  | 3/2/2022    | 153.00   | 272.76 | (41,738.81)             |
| <b>Total Buy</b>             |             |            |             |          |        | <b>\$(1,460,996.34)</b> |

## Sell

|                         |           |           |           |            |        |            |
|-------------------------|-----------|-----------|-----------|------------|--------|------------|
| MAXCYTE INC             | 57777K106 | 2/1/2022  | 2/3/2022  | (1,696.00) | 6.45   | 10,888.26  |
| MAXCYTE INC             | 57777K106 | 2/1/2022  | 2/3/2022  | (2,167.00) | 6.53   | 14,084.78  |
| MAXCYTE INC             | 57777K106 | 2/2/2022  | 2/4/2022  | (1,246.00) | 6.28   | 7,781.35   |
| ENERGY RECOVERY INC     | 29270J100 | 2/4/2022  | 2/8/2022  | (1,296.00) | 18.99  | 24,557.13  |
| FEDERAL SIGNAL CORP     | 313855108 | 2/4/2022  | 2/8/2022  | (5,297.00) | 36.89  | 195,173.32 |
| ENERGY RECOVERY INC     | 29270J100 | 2/7/2022  | 2/9/2022  | (1,889.00) | 18.80  | 35,431.04  |
| MIRATI THERAPEUTICS INC | 60468T105 | 2/10/2022 | 2/14/2022 | (242.00)   | 106.11 | 25,669.25  |
| MIRATI THERAPEUTICS INC | 60468T105 | 2/10/2022 | 2/14/2022 | (445.00)   | 108.74 | 48,372.32  |
| BOOT BARN HOLDINGS INC  | 099406100 | 2/11/2022 | 2/15/2022 | (1,270.00) | 84.21  | 106,897.76 |
| KONTOOR BRANDS INC      | 50050N103 | 2/11/2022 | 2/15/2022 | (2,278.00) | 47.84  | 108,888.52 |
| MIRATI THERAPEUTICS INC | 60468T105 | 2/11/2022 | 2/15/2022 | (269.00)   | 101.96 | 27,416.34  |
| MIRATI THERAPEUTICS INC | 60468T105 | 2/11/2022 | 2/15/2022 | (224.00)   | 103.95 | 23,276.66  |
| MIRATI THERAPEUTICS INC | 60468T105 | 2/14/2022 | 2/16/2022 | (326.00)   | 102.39 | 33,364.66  |

## Trade Report

| Transaction/Description     | Security ID | Trade Date | Settle Date | Quantity   | Price  | Net Amount            |
|-----------------------------|-------------|------------|-------------|------------|--------|-----------------------|
| MIRATI THERAPEUTICS INC     | 60468T105   | 2/14/2022  | 2/16/2022   | (196.00)   | 101.81 | 19,946.82             |
| MIRATI THERAPEUTICS INC     | 60468T105   | 2/15/2022  | 2/17/2022   | (213.00)   | 105.54 | 22,471.62             |
| CROCS INC                   | 227046109   | 2/16/2022  | 2/18/2022   | (690.00)   | 96.94  | 66,862.32             |
| ASANA INC - CL A            | 04342Y104   | 2/22/2022  | 2/24/2022   | (636.00)   | 62.73  | 39,867.52             |
| JOHN BEAN TECHNOLOGIES CORP | 477839104   | 2/23/2022  | 2/25/2022   | (324.00)   | 117.39 | 38,019.59             |
| JOHN BEAN TECHNOLOGIES CORP | 477839104   | 2/23/2022  | 2/25/2022   | (404.00)   | 106.50 | 43,007.60             |
| JOHN BEAN TECHNOLOGIES CORP | 477839104   | 2/23/2022  | 2/25/2022   | (1,541.00) | 107.88 | 166,180.28            |
| STEVEN MADDEN LTD           | 556269108   | 2/23/2022  | 2/25/2022   | (1,229.00) | 41.23  | 50,620.04             |
| ELASTIC NV                  | N14506104   | 2/24/2022  | 2/28/2022   | (3,088.00) | 75.11  | 231,804.17            |
| FIVE9 INC                   | 338307101   | 2/24/2022  | 2/28/2022   | (2,159.00) | 98.76  | 213,126.75            |
| <b>Total Sell</b>           |             |            |             |            |        | <b>\$1,553,708.10</b> |

## Commission Report

| Security Name                | CUSIP     | Trade Date | Quantity | Principal  | Commission Per Share | Total Commission | Brokerage Firm                     |
|------------------------------|-----------|------------|----------|------------|----------------------|------------------|------------------------------------|
| <b>Purchases</b>             |           |            |          |            |                      |                  |                                    |
| <b>EQUITY</b>                |           |            |          |            |                      |                  |                                    |
| ARGENX SE - ADR              | 04016X101 | 02/01/2022 | 411      | 114,073.83 | 0.040                | 16.44            | Leerink Swann                      |
| ASCENDIS PHARMA A/S - ADR    | 04351P101 | 02/01/2022 | 1,312    | 161,798.46 | 0.040                | 52.48            | COWEN AND CO.                      |
| ENVIVA INC                   | 29415B103 | 02/01/2022 | 195      | 13,549.91  | 0.040                | 7.80             | RAYMOND JAMES AND ASSOCIATES; INC. |
| INTRA-CELLULAR THERAPIES INC | 46116X101 | 02/01/2022 | 310      | 14,846.43  | 0.040                | 12.40            | Leerink Swann                      |
| ARGENX SE - ADR              | 04016X101 | 02/02/2022 | 181      | 50,498.75  | 0.040                | 7.24             | Leerink Swann                      |
| BANCORP INC/THE              | 05969A105 | 02/02/2022 | 1,577    | 47,983.85  | 0.040                | 63.08            | RAYMOND JAMES AND ASSOCIATES; INC. |
| ENVIVA INC                   | 29415B103 | 02/02/2022 | 351      | 25,302.89  | 0.040                | 14.04            | RAYMOND JAMES AND ASSOCIATES; INC. |
| BANCORP INC/THE              | 05969A105 | 02/03/2022 | 2,066    | 63,441.90  | 0.040                | 82.64            | RAYMOND JAMES AND ASSOCIATES; INC. |
| CHESAPEAKE ENERGY CORP       | 165167735 | 02/04/2022 | 187      | 12,731.99  | 0.040                | 7.48             | Suntrust Robinson Humphrey         |
| IVERIC BIO INC               | 46583P102 | 02/07/2022 | 4,611    | 68,891.57  | 0.040                | 184.44           | MORGAN STANLEY                     |
| KURA SUSHI USA INC-CLASS A   | 501270102 | 02/07/2022 | 282      | 13,122.33  | 0.040                | 11.28            | William Blair & Company LLC        |
| IVERIC BIO INC               | 46583P102 | 02/08/2022 | 288      | 4,413.60   | 0.040                | 11.52            | Liquidnet                          |
| IVERIC BIO INC               | 46583P102 | 02/08/2022 | 756      | 11,540.34  | 0.040                | 30.24            | Liquidnet                          |
| IVERIC BIO INC               | 46583P102 | 02/08/2022 | 2,779    | 42,011.81  | 0.040                | 111.16           | MORGAN STANLEY                     |
| KURA SUSHI USA INC-CLASS A   | 501270102 | 02/08/2022 | 5        | 231.25     | 0.040                | 0.20             | William Blair & Company LLC        |
| IVERIC BIO INC               | 46583P102 | 02/09/2022 | 522      | 8,208.45   | 0.040                | 20.88            | Liquidnet                          |
| IVERIC BIO INC               | 46583P102 | 02/09/2022 | 965      | 15,440.00  | 0.040                | 38.60            | MORGAN STANLEY                     |

Account: 1083-3-OSOMD

## Commission Report

| Security Name              | CUSIP     | Trade Date | Quantity | Principal  | Commission Per Share | Total Commission | Brokerage Firm                     |
|----------------------------|-----------|------------|----------|------------|----------------------|------------------|------------------------------------|
| SOMALOGIC INC              | 83444K105 | 02/09/2022 | 551      | 5,328.11   | 0.030                | 16.53            | COWEN AND CO.                      |
| SOMALOGIC INC              | 83444K105 | 02/09/2022 | 1,212    | 11,534.24  | 0.030                | 36.36            | Liquidnet                          |
| BILL.COM HOLDINGS INC      | 090043100 | 02/10/2022 | 60       | 15,389.42  | 0.040                | 2.40             | UBS SECURITIES LLC                 |
| ELASTIC NV                 | N14506104 | 02/10/2022 | 162      | 15,477.97  | 0.040                | 6.48             | UBS SECURITIES LLC                 |
| FIVE9 INC                  | 338307101 | 02/10/2022 | 113      | 15,202.47  | 0.040                | 4.52             | UBS SECURITIES LLC                 |
| INARI MEDICAL INC          | 45332Y109 | 02/10/2022 | 2,241    | 175,062.89 | 0.040                | 89.64            | Leerink Swann                      |
| IVERIC BIO INC             | 46583P102 | 02/10/2022 | 1,165    | 19,129.42  | 0.040                | 46.60            | MORGAN STANLEY                     |
| SOMALOGIC INC              | 83444K105 | 02/10/2022 | 2,996    | 30,271.58  | 0.030                | 89.88            | COWEN AND CO.                      |
| VARONIS SYSTEMS INC        | 922280102 | 02/10/2022 | 736      | 30,420.57  | 0.040                | 29.44            | UBS SECURITIES LLC                 |
| BILL.COM HOLDINGS INC      | 090043100 | 02/14/2022 | 60       | 14,903.93  | 0.040                | 2.40             | UBS SECURITIES LLC                 |
| ELASTIC NV                 | N14506104 | 02/14/2022 | 161      | 15,007.74  | 0.040                | 6.44             | UBS SECURITIES LLC                 |
| FIVE9 INC                  | 338307101 | 02/14/2022 | 114      | 15,648.18  | 0.040                | 4.56             | UBS SECURITIES LLC                 |
| VARONIS SYSTEMS INC        | 922280102 | 02/14/2022 | 743      | 31,083.55  | 0.040                | 29.72            | UBS SECURITIES LLC                 |
| BILL.COM HOLDINGS INC      | 090043100 | 02/16/2022 | 59       | 14,625.07  | 0.040                | 2.36             | UBS SECURITIES LLC                 |
| ELASTIC NV                 | N14506104 | 02/16/2022 | 161      | 14,564.90  | 0.040                | 6.44             | UBS SECURITIES LLC                 |
| FIVE9 INC                  | 338307101 | 02/16/2022 | 113      | 15,202.84  | 0.040                | 4.52             | UBS SECURITIES LLC                 |
| VARONIS SYSTEMS INC        | 922280102 | 02/16/2022 | 743      | 30,439.74  | 0.040                | 29.72            | UBS SECURITIES LLC                 |
| KURA SUSHI USA INC-CLASS A | 501270102 | 02/17/2022 | 887      | 46,496.19  | 0.040                | 35.48            | William Blair & Company LLC        |
| BANCORP INC/THE            | 05969A105 | 02/18/2022 | 319      | 9,349.89   | 0.040                | 12.76            | Liquidnet                          |
| BANCORP INC/THE            | 05969A105 | 02/18/2022 | 424      | 12,497.40  | 0.040                | 16.96            | Liquidnet                          |
| BANCORP INC/THE            | 05969A105 | 02/18/2022 | 1,439    | 42,225.30  | 0.040                | 57.56            | RAYMOND JAMES AND ASSOCIATES; INC. |

## Commission Report

| Security Name                           | CUSIP     | Trade Date | Quantity | Principal             | Commission Per Share | Total Commission  | Brokerage Firm              |
|-----------------------------------------|-----------|------------|----------|-----------------------|----------------------|-------------------|-----------------------------|
| KURA SUSHI USA INC-CLASS A              | 501270102 | 02/18/2022 | 66       | 3,461.05              | 0.040                | 2.64              | William Blair & Company LLC |
| ELEMENT SOLUTIONS INC                   | 28618M106 | 02/25/2022 | 4,596    | 111,369.35            | 0.040                | 183.84            | UBS SECURITIES LLC          |
| VARONIS SYSTEMS INC                     | 922280102 | 02/25/2022 | 1,361    | 55,034.76             | 0.040                | 54.44             | UBS SECURITIES LLC          |
| CACI INTERNATIONAL INC -CL A            | 127190304 | 02/28/2022 | 153      | 41,732.69             | 0.040                | 6.12              | 22VS                        |
| <b>Total EQUITY</b>                     |           |            |          | <b>\$1,459,546.60</b> |                      | <b>\$1,449.73</b> |                             |
| <b>Average Commission Per EQUITY</b>    |           |            |          |                       |                      | <b>0.039</b>      |                             |
| <b>Total Purchases</b>                  |           |            |          | <b>\$1,459,546.60</b> |                      | <b>\$1,449.73</b> |                             |
| <b>Average Commission Per Purchases</b> |           |            |          |                       |                      | <b>0.039</b>      |                             |

## Sales

|                         |           |            |       |            |       |        |                                    |
|-------------------------|-----------|------------|-------|------------|-------|--------|------------------------------------|
| <b>EQUITY</b>           |           |            |       |            |       |        |                                    |
| MAXCYTE INC             | 57777K106 | 02/01/2022 | 2,167 | 14,149.86  | 0.030 | 65.01  | COWEN AND CO.                      |
| MAXCYTE INC             | 57777K106 | 02/01/2022 | 1,696 | 10,939.20  | 0.030 | 50.88  | Liquidnet                          |
| MAXCYTE INC             | 57777K106 | 02/02/2022 | 1,246 | 7,818.77   | 0.030 | 37.38  | COWEN AND CO.                      |
| ENERGY RECOVERY INC     | 29270J100 | 02/04/2022 | 1,296 | 24,609.10  | 0.040 | 51.84  | RAYMOND JAMES AND ASSOCIATES; INC. |
| FEDERAL SIGNAL CORP     | 313855108 | 02/04/2022 | 5,297 | 195,386.20 | 0.040 | 211.88 | ROBERT W. BAIRD & CO.              |
| ENERGY RECOVERY INC     | 29270J100 | 02/07/2022 | 1,889 | 35,506.78  | 0.040 | 75.56  | RAYMOND JAMES AND ASSOCIATES; INC. |
| MIRATI THERAPEUTICS INC | 60468T105 | 02/10/2022 | 445   | 48,390.37  | 0.040 | 17.80  | JEFFERIES LLC                      |
| MIRATI THERAPEUTICS INC | 60468T105 | 02/10/2022 | 242   | 25,679.06  | 0.040 | 9.68   | Liquidnet                          |
| BOOT BARN HOLDINGS INC  | 099406100 | 02/11/2022 | 1,270 | 106,949.11 | 0.040 | 50.80  | GOLDMAN SACHS                      |
| KONTOOR BRANDS INC      | 50050N103 | 02/11/2022 | 2,278 | 108,980.20 | 0.040 | 91.12  | UBS SECURITIES LLC                 |

## Commission Report

| Security Name                        | CUSIP     | Trade Date | Quantity | Principal             | Commission Per Share | Total Commission  | Brokerage Firm     |
|--------------------------------------|-----------|------------|----------|-----------------------|----------------------|-------------------|--------------------|
| MIRATI THERAPEUTICS INC              | 60468T105 | 02/11/2022 | 269      | 27,427.24             | 0.040                | 10.76             | Liquidnet          |
| MIRATI THERAPEUTICS INC              | 60468T105 | 02/11/2022 | 224      | 23,285.74             | 0.040                | 8.96              | Leerink Swann      |
| MIRATI THERAPEUTICS INC              | 60468T105 | 02/14/2022 | 326      | 33,377.87             | 0.040                | 13.04             | Leerink Swann      |
| MIRATI THERAPEUTICS INC              | 60468T105 | 02/14/2022 | 196      | 19,954.76             | 0.040                | 7.84              | Liquidnet          |
| MIRATI THERAPEUTICS INC              | 60468T105 | 02/15/2022 | 213      | 22,480.25             | 0.040                | 8.52              | Leerink Swann      |
| CROCS INC                            | 227046109 | 02/16/2022 | 690      | 66,890.26             | 0.040                | 27.60             | JONES TRADING      |
| ASANA INC - CL A                     | 04342Y104 | 02/22/2022 | 636      | 39,893.16             | 0.040                | 25.44             | NEEDHAM AND CO.    |
| JOHN BEAN TECHNOLOGIES CORP          | 477839104 | 02/23/2022 | 1,541    | 166,242.77            | 0.040                | 61.64             | JONES TRADING      |
| JOHN BEAN TECHNOLOGIES CORP          | 477839104 | 02/23/2022 | 404      | 43,023.98             | 0.040                | 16.16             | Liquidnet          |
| JOHN BEAN TECHNOLOGIES CORP          | 477839104 | 02/23/2022 | 324      | 38,032.74             | 0.040                | 12.96             | Liquidnet          |
| STEVEN MADDEN LTD                    | 556269108 | 02/23/2022 | 1,229    | 50,669.46             | 0.040                | 49.16             | ISLAND TRADING     |
| ELASTIC NV                           | N14506104 | 02/24/2022 | 3,088    | 231,928.87            | 0.040                | 123.52            | UBS SECURITIES LLC |
| FIVE9 INC                            | 338307101 | 02/24/2022 | 2,159    | 213,214.20            | 0.040                | 86.36             | UBS SECURITIES LLC |
| <b>Total EQUITY</b>                  |           |            |          | <b>\$1,554,829.96</b> |                      | <b>\$1,113.91</b> |                    |
| <b>Average Commission Per EQUITY</b> |           |            |          |                       |                      | <b>0.038</b>      |                    |
| <b>Total Sales</b>                   |           |            |          | <b>\$1,554,829.96</b> |                      | <b>\$1,113.91</b> |                    |
| <b>Average Commission Per Sales</b>  |           |            |          |                       |                      | <b>0.038</b>      |                    |
| <b>Total All Trades</b>              |           |            |          | <b>\$3,014,376.56</b> |                      | <b>\$2,563.64</b> |                    |
| <b>Average Commission Per Trade</b>  |           |            |          |                       |                      | <b>0.039</b>      |                    |

## Income and Expense Report

| Transaction/Description     | Security ID | Trade Date | Settle Date | Quantity | Price | Net Amount        |
|-----------------------------|-------------|------------|-------------|----------|-------|-------------------|
| <b>Dividend</b>             |             |            |             |          |       |                   |
| FIRST INTERSTATE BANCYSYS-A | 32055Y201   | 2/9/2022   | 2/21/2022   |          |       | 3,579.71          |
| WINTRUST FINANCIAL CORP     | 97650W108   | 2/9/2022   | 2/24/2022   |          |       | 1,449.42          |
| ENVIVA INC                  | 29415B103   | 2/11/2022  | 2/25/2022   |          |       | 1,360.52          |
| PACWEST BANCORP             | 695263103   | 2/14/2022  | 2/28/2022   |          |       | 3,456.75          |
| <b>Total Dividend</b>       |             |            |             |          |       | <b>\$9,846.40</b> |
| <b>Interest</b>             |             |            |             |          |       |                   |
| U.S. DOLLARS                | USD         | 1/31/2022  | 2/1/2022    |          |       | 36.04             |
| U.S. DOLLARS                | USD         | 2/3/2022   | 2/3/2022    |          |       | 7.18              |
| <b>Total Interest</b>       |             |            |             |          |       | <b>\$43.22</b>    |