

NICHOLAS INVESTMENT PARTNERS

INSTITUTIONAL PORTFOLIO MANAGEMENT

For Period Ending November 30, 2021
LEIA/NEW YORK STATE COMMON RETIREMENT FUND (NYSCRF)



Pursuant to recent amendments to Rule 206(4) under the Investment Advisers Act of 1940, Nicholas Investment Partners encourages its clients to compare the information set forth in this statement with the statements received directly from your custodian to ensure accuracy of all account transactions.

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Summary of Investment Performance

November 01, 2021 to November 30, 2021

Beginning Market Value	92,638,427.35
Beginning Accrued Income	246.23
Beginning Portfolio Value	92,638,673.58
Contributions	0.00
Withdrawals	(16,305.68)
Gain (Loss)	(4,463,952.38)
Interest and Dividends	16,551.10
Net Accrued Income	212,754.32
Ending Market Value	88,174,720.39
Ending Accrued Income	213,000.55
Ending Portfolio Value	88,387,720.94
Total Portfolio	-4.57%
Total Net Of Fees	-4.60%
Russell 2000 Growth TR	-4.88%
Total Investment Return	(\$4,234,646.96)

Selected Period Performance

Sector	Market Value	1 Month	QTD	3 Month	YTD	1 Year	3 Year	5 Year	ITD
Total Portfolio	\$88,387,721	-4.57%	0.20%	-1.41%	10.84%	22.71%	31.35%	24.23%	16.78%
Total Portfolio Net of Fees	\$88,387,721	-4.60%	0.14%	-1.49%	10.49%	22.30%	30.90%	23.79%	16.35%
Russell 2000 Growth TR		-4.88%	-0.43%	-4.25%	2.38%	11.95%	16.06%	14.73%	11.30%

Market values include accrued income. All values in USD terms.
 All rates of return are gross of investment management fees unless otherwise noted.
 All returns greater than 12 months are annualized.
 Performance inception date for the account is 03/16/2015.

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Cash						
U.S. DOLLARS	1,952,029.10	1,952,029.10	1.00	1,952,029.10	2.21	-
Total Cash		\$1,952,029.10		\$1,952,029.10	2.21	-
Equity						
Communication Services						
CARDLYTICS INC	1,464.00	57,109.55	67.58	98,937.12	0.11	41,827.57
Total Communication Services		\$57,109.55		\$98,937.12	0.11	\$41,827.57
Consumer Discretionary						
RED ROCK RESORTS INC-CLASS A	60,606.00	2,270,469.39	47.54	2,881,209.24	3.27	610,739.85
BOOT BARN HOLDINGS INC	21,616.00	1,807,519.48	122.34	2,644,501.44	3.00	836,981.96
STEVEN MADDEN LTD	51,108.00	1,795,792.38	47.45	2,425,074.60	2.75	629,282.22
CLARUS CORP	57,144.00	1,165,171.54	26.39	1,508,030.16	1.71	342,858.62
CALLAWAY GOLF COMPANY	54,955.00	1,109,151.03	26.96	1,481,586.80	1.68	372,435.77
KONTOOR BRANDS INC	25,671.00	1,067,046.47	53.92	1,384,180.32	1.57	317,133.85
SCIENTIFIC GAMES CORP	11,324.00	870,885.18	63.92	723,830.08	0.82	(147,055.10)
GOLDEN ENTERTAINMENT INC	11,285.00	354,482.70	45.91	518,094.35	0.59	163,611.65
WARBY PARKER INC-CLASS A	8,578.00	468,102.21	50.75	435,333.50	0.49	(32,768.71)
Total Consumer Discretionary		\$10,908,620.38		\$14,001,840.49	15.88	\$3,093,220.11

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Consumer Staples						
BEAUTY HEALTH CO/THE	37,165.00	938,925.84	25.96	964,803.40	1.09	25,877.56
REAL GOOD FOOD CO INC/THE	38,359.00	427,526.90	8.05	308,789.95	0.35	(118,736.95)
Total Consumer Staples		\$1,366,452.74		\$1,273,593.35	1.44	\$(92,859.39)
Energy						
CHESAPEAKE ENERGY CORP	14,191.00	899,808.96	59.54	844,932.14	0.96	(54,876.82)
Total Energy		\$899,808.96		\$844,932.14	0.96	\$(54,876.82)
Financials						
WESTERN ALLIANCE BANCORP	23,716.00	1,732,823.13	109.78	2,603,542.48	2.95	870,719.35
PACWEST BANCORP	37,347.00	1,591,455.66	44.74	1,670,904.78	1.89	79,449.12
SILVERGATE CAPITAL CORP-CL A	7,184.00	811,228.55	204.48	1,468,984.32	1.67	657,755.77
BANC OF CALIFORNIA INC	34,193.00	699,593.10	19.59	669,840.87	0.76	(29,752.23)
FIRST INTERSTATE BANC SYS-A	15,797.00	665,709.13	40.80	644,517.60	0.73	(21,191.53)
Total Financials		\$5,500,809.57		\$7,057,790.05	8.00	\$1,556,980.48
Health Care						
REPLIGEN CORP	8,159.00	681,114.43	286.50	2,337,553.50	2.65	1,656,439.07

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Health Care						
INTRA-CELLULAR THERAPIES INC	39,995.00	1,477,397.55	40.48	1,618,997.60	1.84	141,600.05
BIOHAVEN PHARMACEUTICAL HOLD	14,095.00	744,917.46	112.24	1,582,022.80	1.79	837,105.34
INSTIL BIO INC	57,946.00	1,208,100.34	21.98	1,273,653.08	1.44	65,552.74
ARGENX SE - ADR	4,271.00	871,757.46	279.21	1,192,505.91	1.35	320,748.45
MIRATI THERAPEUTICS INC	7,888.00	1,342,834.93	136.77	1,078,841.76	1.22	(263,993.17)
BRIDGEBIO PHARMA INC	22,165.00	1,067,265.69	40.50	897,682.50	1.02	(169,583.19)
ARENA PHARMACEUTICALS INC	16,296.00	1,115,608.02	54.49	887,969.04	1.01	(227,638.98)
TWIST BIOSCIENCE CORP	8,262.00	715,770.56	95.50	789,021.00	0.89	73,250.44
NEOGENOMICS INC	21,686.00	421,341.42	34.26	742,962.36	0.84	321,620.94
AVANTOR INC	18,506.00	452,677.73	39.48	730,616.88	0.83	277,939.15
SOMALOGIC INC	56,863.00	692,233.04	11.57	657,904.91	0.75	(34,328.13)
ALPHA TEKNOVA INC	29,362.00	561,547.78	22.36	656,534.32	0.74	94,986.54
KRYSTAL BIOTECH INC	7,807.00	463,493.35	80.55	628,853.85	0.71	165,360.50
ESTABLISHMENT LABS HOLDINGS	9,455.00	768,204.42	64.54	610,225.70	0.69	(157,978.72)
GUARDANT HEALTH INC	5,495.00	477,638.89	105.12	577,634.40	0.66	99,995.51
INSULET CORP	1,697.00	288,005.70	288.44	489,482.68	0.56	201,476.98
ASCENDIS PHARMA A/S - ADR	3,059.00	515,329.74	137.02	419,144.18	0.48	(96,185.56)
MAXCYTE INC	41,700.00	645,382.39	9.98	416,166.00	0.47	(229,216.39)
SINGULAR GENOMICS SYSTEMS IN	27,208.00	712,621.42	11.42	310,715.36	0.35	(401,906.06)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Health Care						
VERVE THERAPEUTICS INC	5,259.00	267,220.49	34.08	179,226.72	0.20	(87,993.77)
TALIS BIOMEDICAL CORP	15,278.00	244,448.00	4.68	71,501.04	0.08	(172,946.96)
Total Health Care		\$15,734,910.81		\$18,149,215.59	20.58	\$2,414,304.78
Industrials						
KORNIT DIGITAL LTD	26,734.00	1,561,278.84	154.91	4,141,363.94	4.70	2,580,085.10
WILLSCOT MOBILE MINI HOLDING	69,048.00	1,619,425.48	38.09	2,630,038.32	2.98	1,010,612.84
CHART INDUSTRIES INC	13,299.00	1,829,288.54	174.55	2,321,340.45	2.63	492,051.91
AMERESCO INC-CL A	23,499.00	1,091,371.05	90.33	2,122,664.67	2.41	1,031,293.62
TFI INTERNATIONAL INC	18,364.00	1,621,345.61	101.75	1,868,537.00	2.12	247,191.39
REGAL REXNORD CORP	8,707.00	1,243,750.06	158.10	1,376,576.70	1.56	132,826.64
FEDERAL SIGNAL CORP	32,348.00	1,351,438.06	42.43	1,372,525.64	1.56	21,087.58
JOHN BEAN TECHNOLOGIES CORP	7,127.00	1,078,291.68	157.77	1,124,426.79	1.28	46,135.11
CACI INTERNATIONAL INC -CL A	3,314.00	883,321.95	259.43	859,751.02	0.98	(23,570.93)
ENERGY RECOVERY INC	30,014.00	502,610.28	21.25	637,797.50	0.72	135,187.22
BYRNA TECHNOLOGIES INC	29,664.00	624,059.74	14.72	436,654.08	0.50	(187,405.66)
Total Industrials		\$13,406,181.29		\$18,891,676.11	21.43	\$5,485,494.82

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Information Technology						
LATTICE SEMICONDUCTOR CORP	57,633.00	1,550,946.11	75.93	4,376,073.69	4.96	2,825,127.58
MONOLITHIC POWER SYSTEMS INC	7,074.00	1,056,553.37	553.46	3,915,176.04	4.44	2,858,622.67
ELASTIC NV	14,562.00	1,356,102.68	155.46	2,263,808.52	2.57	907,705.84
BILL.COM HOLDINGS INC	6,037.00	958,673.03	280.85	1,695,491.45	1.92	736,818.42
FIVE9 INC	9,371.00	1,151,579.50	142.33	1,333,774.43	1.51	182,194.93
VERRA MOBILITY CORP	88,680.00	1,355,599.11	14.39	1,276,105.20	1.45	(79,493.91)
SMARTSHEET INC-CLASS A	19,571.00	1,422,331.61	64.00	1,252,544.00	1.42	(169,787.61)
DESCARTES SYSTEMS GRP/THE	11,264.00	714,669.88	80.36	905,175.04	1.03	190,505.16
EVERBRIDGE INC	4,745.00	642,247.20	113.42	538,177.90	0.61	(104,069.30)
908 DEVICES INC	16,313.00	633,576.27	24.00	391,512.00	0.44	(242,064.27)
Total Information Technology		\$10,842,278.76		\$17,947,838.27	20.35	\$7,105,559.51
Materials						
ELEMENT SOLUTIONS INC	119,912.00	2,367,679.32	22.87	2,742,387.44	3.11	374,708.12
Total Materials		\$2,367,679.32		\$2,742,387.44	3.11	\$374,708.12
Real Estate						
INNOVATIVE INDUSTRIAL PROPER	9,053.00	1,221,628.85	256.83	2,325,081.99	2.64	1,103,453.14
DIGITALBRIDGE GROUP INC	126,359.00	1,009,841.44	7.96	1,005,817.64	1.14	(4,023.80)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Real Estate						
APPLE HOSPITALITY REIT INC	43,903.00	679,742.59	15.02	659,423.06	0.75	(20,319.53)
UMH PROPERTIES INC	28,335.00	695,374.50	23.08	653,971.80	0.74	(41,402.70)
PARK HOTELS & RESORTS INC	34,266.00	707,957.14	16.64	570,186.24	0.65	(137,770.90)
Total Real Estate		\$4,314,544.52		\$5,214,480.73	5.91	\$899,936.21
Total Equity		\$65,398,395.90		\$86,222,691.29	97.79	\$20,824,295.39
Total Portfolio		\$67,350,425.00		\$88,174,720.39	100.00	\$20,824,295.39

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Buy						
WARBY PARKER INC-CLASS A	93403J106	11/1/2021	11/3/2021	1,575.00	54.98	(86,656.50)
WARBY PARKER INC-CLASS A	93403J106	11/1/2021	11/3/2021	7,003.00	54.43	(381,445.71)
BOOT BARN HOLDINGS INC	099406100	11/4/2021	11/8/2021	963.00	116.61	(112,335.30)
CACI INTERNATIONAL INC -CL A	127190304	11/4/2021	11/8/2021	756.00	289.18	(218,646.77)
FEDERAL SIGNAL CORP	313855108	11/4/2021	11/8/2021	1,250.00	44.46	(55,625.00)
FEDERAL SIGNAL CORP	313855108	11/4/2021	11/8/2021	1,797.00	44.37	(79,801.00)
JOHN BEAN TECHNOLOGIES CORP	477839104	11/4/2021	11/8/2021	548.00	162.03	(88,812.99)
REGAL REXNORD CORP	758750103	11/4/2021	11/8/2021	1,307.00	164.66	(215,259.24)
PARK HOTELS & RESORTS INC	700517105	11/5/2021	11/9/2021	5,316.00	20.79	(110,711.55)
REAL GOOD FOOD CO INC/THE	75601G109	11/5/2021	11/9/2021	20,100.00	12.00	(241,200.00)
PARK HOTELS & RESORTS INC	700517105	11/8/2021	11/10/2021	9,959.00	21.08	(210,316.15)
PARK HOTELS & RESORTS INC	700517105	11/9/2021	11/12/2021	1,007.00	20.85	(21,033.21)
BEAUTY HEALTH CO/THE	88331L108	11/10/2021	11/15/2021	281.00	26.73	(7,520.97)
BEAUTY HEALTH CO/THE	88331L108	11/10/2021	11/15/2021	9,066.00	26.37	(239,413.11)
PARK HOTELS & RESORTS INC	700517105	11/10/2021	11/15/2021	3,480.00	20.52	(71,531.75)
908 DEVICES INC	65443P102	11/11/2021	11/15/2021	3,253.00	32.00	(104,096.00)
PARK HOTELS & RESORTS INC	700517105	11/11/2021	11/15/2021	14,504.00	20.26	(294,364.48)
DIGITALBRIDGE GROUP INC	25401T108	11/12/2021	11/16/2021	26,690.00	7.55	(202,224.79)

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
JOHN BEAN TECHNOLOGIES CORP	477839104	11/12/2021	11/16/2021	407.00	165.96	(67,561.55)
DIGITALBRIDGE GROUP INC	25401T108	11/15/2021	11/17/2021	37,376.00	8.01	(300,383.44)
REAL GOOD FOOD CO INC/THE	75601G109	11/15/2021	11/17/2021	1,737.00	11.23	(19,555.15)
SMARTSHEET INC-CLASS A	83200N103	11/15/2021	11/17/2021	1,391.00	71.38	(99,343.55)
BYRNA TECHNOLOGIES INC	12448X201	11/16/2021	11/18/2021	5,884.00	16.48	(97,202.50)
DIGITALBRIDGE GROUP INC	25401T108	11/16/2021	11/18/2021	62,293.00	8.11	(507,233.21)
BYRNA TECHNOLOGIES INC	12448X201	11/17/2021	11/19/2021	2,451.00	16.70	(41,029.99)
REAL GOOD FOOD CO INC/THE	75601G109	11/17/2021	11/19/2021	3,508.00	10.61	(37,342.66)
REAL GOOD FOOD CO INC/THE	75601G109	11/17/2021	11/19/2021	62.00	10.25	(637.98)
REAL GOOD FOOD CO INC/THE	75601G109	11/18/2021	11/22/2021	2,862.00	10.21	(29,336.07)
BOOT BARN HOLDINGS INC	099406100	11/19/2021	11/23/2021	818.00	129.61	(106,050.92)
REAL GOOD FOOD CO INC/THE	75601G109	11/19/2021	11/23/2021	3,542.00	10.20	(36,252.37)
REAL GOOD FOOD CO INC/THE	75601G109	11/22/2021	11/24/2021	3,507.00	9.78	(34,448.21)
PACWEST BANCORP	695263103	11/23/2021	11/26/2021	1,402.00	49.26	(69,115.24)
REAL GOOD FOOD CO INC/THE	75601G109	11/23/2021	11/26/2021	1,781.00	9.55	(17,062.34)
CHESAPEAKE ENERGY CORP	165167735	11/24/2021	11/29/2021	3,607.00	64.08	(231,293.10)
PACWEST BANCORP	695263103	11/24/2021	11/29/2021	2,346.00	49.23	(115,596.57)
REAL GOOD FOOD CO INC/THE	75601G109	11/24/2021	11/29/2021	967.00	9.25	(8,973.08)
REAL GOOD FOOD CO INC/THE	75601G109	11/26/2021	11/30/2021	293.00	9.25	(2,719.04)
ARGENX SE - ADR	04016X101	11/30/2021	12/2/2021	1,904.00	282.04	(537,072.51)

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Total Buy						\$(5,099,204.00)
Sell						
SONENDO INC	835431107	11/1/2021	11/3/2021	(2,127.00)	9.80	20,788.55
TEXAS CAPITAL BANCSHARES INC	88224Q107	11/1/2021	11/3/2021	(7,273.00)	62.57	454,784.19
WEREWOLF THERAPEUTICS INC	95075A107	11/1/2021	11/3/2021	(224.00)	16.04	3,583.80
CHEGG INC	163092109	11/2/2021	11/4/2021	(10,460.00)	36.90	385,547.35
KRYSTAL BIOTECH INC	501147102	11/2/2021	11/4/2021	(833.00)	52.42	43,635.90
SONENDO INC	835431107	11/2/2021	11/4/2021	(2,426.00)	9.51	23,006.37
WEREWOLF THERAPEUTICS INC	95075A107	11/2/2021	11/4/2021	(369.00)	16.02	5,898.03
WEREWOLF THERAPEUTICS INC	95075A107	11/2/2021	11/4/2021	(303.00)	15.99	4,831.32
KRYSTAL BIOTECH INC	501147102	11/3/2021	11/5/2021	(3,227.00)	52.38	168,897.42
SONENDO INC	835431107	11/3/2021	11/5/2021	(2,559.00)	9.14	23,308.28
WEREWOLF THERAPEUTICS INC	95075A107	11/3/2021	11/5/2021	(206.00)	16.05	3,297.20
ALX ONCOLOGY HOLDINGS INC	00166B105	11/4/2021	11/8/2021	(1,736.00)	56.06	97,241.54
ALX ONCOLOGY HOLDINGS INC	00166B105	11/4/2021	11/8/2021	(12,004.00)	52.37	628,134.90
KRYSTAL BIOTECH INC	501147102	11/4/2021	11/8/2021	(554.00)	52.07	28,823.20
SONENDO INC	835431107	11/4/2021	11/8/2021	(285.00)	9.34	2,651.92
SONENDO INC	835431107	11/4/2021	11/8/2021	(1,372.00)	9.30	12,723.31
WEREWOLF THERAPEUTICS INC	95075A107	11/4/2021	11/8/2021	(301.00)	16.15	4,847.98

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
ALX ONCOLOGY HOLDINGS INC	00166B105	11/5/2021	11/9/2021	(1,574.00)	50.35	79,189.43
KRYSTAL BIOTECH INC	501147102	11/5/2021	11/9/2021	(1,058.00)	51.95	54,923.36
SONENDO INC	835431107	11/5/2021	11/9/2021	(1,690.00)	9.10	15,324.33
WEREWOLF THERAPEUTICS INC	95075A107	11/5/2021	11/9/2021	(265.00)	16.08	4,249.97
KRYSTAL BIOTECH INC	501147102	11/8/2021	11/10/2021	(366.00)	52.42	19,170.69
WEREWOLF THERAPEUTICS INC	95075A107	11/8/2021	11/10/2021	(82.00)	16.18	1,323.82
WEREWOLF THERAPEUTICS INC	95075A107	11/8/2021	11/10/2021	(268.00)	16.00	4,277.26
KRYSTAL BIOTECH INC	501147102	11/9/2021	11/12/2021	(287.00)	48.31	13,852.04
WEREWOLF THERAPEUTICS INC	95075A107	11/9/2021	11/12/2021	(127.00)	16.02	2,029.83
WEREWOLF THERAPEUTICS INC	95075A107	11/9/2021	11/12/2021	(121.00)	15.63	1,885.78
DERMTECH INC	24984K105	11/10/2021	11/15/2021	(3,301.00)	26.86	88,538.97
DERMTECH INC	24984K105	11/10/2021	11/15/2021	(2,720.00)	27.40	74,426.44
DERMTECH INC	24984K105	11/10/2021	11/15/2021	(880.00)	28.25	24,824.67
WEREWOLF THERAPEUTICS INC	95075A107	11/10/2021	11/15/2021	(133.00)	16.02	2,125.53
DERMTECH INC	24984K105	11/11/2021	11/15/2021	(6,379.00)	24.58	156,518.81
WEREWOLF THERAPEUTICS INC	95075A107	11/11/2021	11/15/2021	(223.00)	16.02	3,563.61
COUPA SOFTWARE INC	22266L106	11/12/2021	11/16/2021	(1,075.00)	214.42	230,453.67
DERMTECH INC	24984K105	11/12/2021	11/16/2021	(4,688.00)	25.02	117,105.17
DERMTECH INC	24984K105	11/12/2021	11/16/2021	(1,781.00)	24.39	43,367.13
EVERBRIDGE INC	29978A104	11/12/2021	11/16/2021	(1,646.00)	132.16	217,468.25

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
EVERBRIDGE INC	29978A104	11/12/2021	11/16/2021	(1,543.00)	132.18	203,890.05
OAK STREET HEALTH INC	67181A107	11/12/2021	11/16/2021	(4,388.00)	37.90	166,135.85
SCHRODINGER INC	80810D103	11/12/2021	11/16/2021	(5,254.00)	47.00	246,706.09
WEREWOLF THERAPEUTICS INC	95075A107	11/12/2021	11/16/2021	(232.00)	16.03	3,710.45
DERMTECH INC	24984K105	11/15/2021	11/17/2021	(3,220.00)	24.04	77,274.46
WEREWOLF THERAPEUTICS INC	95075A107	11/15/2021	11/17/2021	(19.00)	16.01	303.36
DERMTECH INC	24984K105	11/16/2021	11/18/2021	(2,756.00)	23.20	63,828.08
TG THERAPEUTICS INC	88322Q108	11/16/2021	11/18/2021	(14,064.00)	26.60	373,488.71
WEREWOLF THERAPEUTICS INC	95075A107	11/16/2021	11/18/2021	(222.00)	15.83	3,505.92
WEREWOLF THERAPEUTICS INC	95075A107	11/16/2021	11/18/2021	(214.00)	16.00	3,416.25
TALIS BIOMEDICAL CORP	87424L108	11/17/2021	11/19/2021	(278.00)	4.53	1,253.96
TG THERAPEUTICS INC	88322Q108	11/17/2021	11/19/2021	(4,863.00)	27.06	131,418.50
WEREWOLF THERAPEUTICS INC	95075A107	11/17/2021	11/19/2021	(471.00)	16.06	7,544.96
OAK STREET HEALTH INC	67181A107	11/18/2021	11/22/2021	(4,054.00)	36.77	148,886.04
TALIS BIOMEDICAL CORP	87424L108	11/18/2021	11/22/2021	(423.00)	4.58	1,927.47
TALIS BIOMEDICAL CORP	87424L108	11/18/2021	11/22/2021	(1,508.00)	4.37	6,552.23
WEREWOLF THERAPEUTICS INC	95075A107	11/18/2021	11/22/2021	(420.00)	16.33	6,840.85
OAK STREET HEALTH INC	67181A107	11/19/2021	11/23/2021	(934.00)	36.56	34,113.53
TALIS BIOMEDICAL CORP	87424L108	11/19/2021	11/23/2021	(222.00)	4.56	1,007.96
WEREWOLF THERAPEUTICS INC	95075A107	11/19/2021	11/23/2021	(209.00)	16.11	3,358.25

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
OAK STREET HEALTH INC	67181A107	11/22/2021	11/24/2021	(299.00)	33.89	10,121.10
SCHRODINGER INC	80810D103	11/22/2021	11/24/2021	(1,863.00)	38.88	72,351.10
TALIS BIOMEDICAL CORP	87424L108	11/22/2021	11/24/2021	(702.00)	4.66	3,259.58
WEREWOLF THERAPEUTICS INC	95075A107	11/22/2021	11/24/2021	(16.00)	16.11	257.19
EVERBRIDGE INC	29978A104	11/23/2021	11/26/2021	(2,445.00)	107.55	262,848.63
OAK STREET HEALTH INC	67181A107	11/23/2021	11/26/2021	(964.00)	31.89	30,704.78
SCHRODINGER INC	80810D103	11/23/2021	11/26/2021	(2,502.00)	38.09	95,192.85
SCHRODINGER INC	80810D103	11/23/2021	11/26/2021	(709.00)	38.01	26,920.59
TALIS BIOMEDICAL CORP	87424L108	11/23/2021	11/26/2021	(2,472.00)	4.93	12,146.11
WEREWOLF THERAPEUTICS INC	95075A107	11/23/2021	11/26/2021	(100.00)	16.04	1,600.45
TALIS BIOMEDICAL CORP	87424L108	11/24/2021	11/29/2021	(867.00)	4.87	4,206.84
TALIS BIOMEDICAL CORP	87424L108	11/26/2021	11/30/2021	(197.00)	4.80	940.99
KRYSTAL BIOTECH INC	501147102	11/29/2021	12/1/2021	(1,189.00)	86.57	102,880.92
TALIS BIOMEDICAL CORP	87424L108	11/29/2021	12/1/2021	(234.00)	4.50	1,047.14
TALIS BIOMEDICAL CORP	87424L108	11/29/2021	12/1/2021	(1,581.00)	4.50	7,090.59
WEREWOLF THERAPEUTICS INC	95075A107	11/29/2021	12/1/2021	(444.00)	15.01	6,648.78
WEREWOLF THERAPEUTICS INC	95075A107	11/29/2021	12/1/2021	(476.00)	14.70	6,978.12
TALIS BIOMEDICAL CORP	87424L108	11/30/2021	12/2/2021	(7,309.00)	4.68	34,088.28
TG THERAPEUTICS INC	88322Q108	11/30/2021	12/2/2021	(18,331.00)	13.61	248,715.57
WEREWOLF THERAPEUTICS INC	95075A107	11/30/2021	12/2/2021	(463.00)	14.44	6,669.07

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Total Sell						\$5,486,451.67

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
Purchases							
EQUITY							
WARBY PARKER INC-CLASS A	93403J106	11/01/2021	1,575	86,593.50	0.040	63.00	Liquidnet
WARBY PARKER INC-CLASS A	93403J106	11/01/2021	7,003	381,165.59	0.040	280.12	RAYMOND JAMES AND ASSOCIATES; INC.
BOOT BARN HOLDINGS INC	099406100	11/04/2021	963	112,296.78	0.040	38.52	JEFFERIES LLC
CACI INTERNATIONAL INC -CL A	127190304	11/04/2021	756	218,616.53	0.040	30.24	COWEN AND CO.
FEDERAL SIGNAL CORP	313855108	11/04/2021	1,250	55,575.00	0.040	50.00	Liquidnet
FEDERAL SIGNAL CORP	313855108	11/04/2021	1,797	79,729.12	0.040	71.88	ROBERT W. BAIRD & CO.
JOHN BEAN TECHNOLOGIES CORP	477839104	11/04/2021	548	88,791.07	0.040	21.92	ROBERT W. BAIRD & CO.
REGAL REXNORD CORP	758750103	11/04/2021	1,307	215,206.96	0.040	52.28	ROBERT W. BAIRD & CO.
PARK HOTELS & RESORTS INC	700517105	11/05/2021	5,316	110,498.91	0.040	212.64	Compass Capital
REAL GOOD FOOD CO INC/THE	75601G109	11/05/2021	20,100	241,200.00	0.000	0.00	JEFFERIES LLC
PARK HOTELS & RESORTS INC	700517105	11/08/2021	9,959	209,917.79	0.040	398.36	Compass Capital
PARK HOTELS & RESORTS INC	700517105	11/09/2021	1,007	20,992.93	0.040	40.28	Compass Capital
BEAUTY HEALTH CO/THE	88331L108	11/10/2021	281	7,509.73	0.040	11.24	Liquidnet
BEAUTY HEALTH CO/THE	88331L108	11/10/2021	9,066	239,050.47	0.040	362.64	JEFFERIES LLC
PARK HOTELS & RESORTS INC	700517105	11/10/2021	3,480	71,392.55	0.040	139.20	Compass Capital
908 DEVICES INC	65443P102	11/11/2021	3,253	104,096.00	0.000	0.00	COWEN AND CO.
PARK HOTELS & RESORTS INC	700517105	11/11/2021	14,504	293,784.32	0.040	580.16	Compass Capital
DIGITALBRIDGE GROUP INC	25401T108	11/12/2021	26,690	201,424.09	0.030	800.70	COWEN AND CO.
JOHN BEAN TECHNOLOGIES CORP	477839104	11/12/2021	407	67,545.27	0.040	16.28	ROBERT W. BAIRD & CO.

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
DIGITALBRIDGE GROUP INC	25401T108	11/15/2021	37,376	299,262.16	0.030	1,121.28	GOLDMAN SACHS
REAL GOOD FOOD CO INC/THE	75601G109	11/15/2021	1,737	19,503.04	0.030	52.11	ISI Group
SMARTSHEET INC-CLASS A	83200N103	11/15/2021	1,391	99,287.91	0.040	55.64	Instinet Inc
BYRNA TECHNOLOGIES INC	12448X201	11/16/2021	5,884	96,967.14	0.040	235.36	GOLDMAN SACHS
DIGITALBRIDGE GROUP INC	25401T108	11/16/2021	62,293	505,364.42	0.030	1,868.79	GOLDMAN SACHS
BYRNA TECHNOLOGIES INC	12448X201	11/17/2021	2,451	40,931.95	0.040	98.04	GOLDMAN SACHS
REAL GOOD FOOD CO INC/THE	75601G109	11/17/2021	62	635.50	0.040	2.48	GOLDMAN SACHS
REAL GOOD FOOD CO INC/THE	75601G109	11/17/2021	3,508	37,202.34	0.040	140.32	Liquidnet
REAL GOOD FOOD CO INC/THE	75601G109	11/18/2021	2,862	29,221.59	0.040	114.48	GOLDMAN SACHS
BOOT BARN HOLDINGS INC	099406100	11/19/2021	818	106,018.20	0.040	32.72	GOLDMAN SACHS
REAL GOOD FOOD CO INC/THE	75601G109	11/19/2021	3,542	36,110.69	0.040	141.68	Liquidnet
REAL GOOD FOOD CO INC/THE	75601G109	11/22/2021	3,507	34,307.93	0.040	140.28	GOLDMAN SACHS
PACWEST BANCORP	695263103	11/23/2021	1,402	69,059.16	0.040	56.08	JANNEY CAPITAL MARKETS
REAL GOOD FOOD CO INC/THE	75601G109	11/23/2021	1,781	17,008.91	0.030	53.43	GOLDMAN SACHS
CHESAPEAKE ENERGY CORP	165167735	11/24/2021	3,607	231,148.82	0.040	144.28	GOLDMAN SACHS
PACWEST BANCORP	695263103	11/24/2021	2,346	115,502.73	0.040	93.84	JANNEY CAPITAL MARKETS
REAL GOOD FOOD CO INC/THE	75601G109	11/24/2021	967	8,944.07	0.030	29.01	GOLDMAN SACHS
REAL GOOD FOOD CO INC/THE	75601G109	11/26/2021	293	2,710.25	0.030	8.79	JONES TRADING
ARGENX SE - ADR	04016X101	11/30/2021	1,904	536,996.35	0.040	76.16	Instinet Inc
Total EQUITY				\$5,091,569.76		\$7,634.23	
Average Commission Per EQUITY						0.031	

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
Total Purchases				\$5,091,569.76		\$7,634.23	
Average Commission Per Purchases						0.031	

Sales

EQUITY							
SONENDO INC	835431107	11/01/2021	2,127	20,852.47	0.030	63.81	JONES TRADING
TEXAS CAPITAL BANCSHARES INC	88224Q107	11/01/2021	7,273	455,077.43	0.040	290.92	JANNEY CAPITAL MARKETS
WEREWOLF THERAPEUTICS INC	95075A107	11/01/2021	224	3,592.78	0.040	8.96	JONES TRADING
CHEGG INC	163092109	11/02/2021	10,460	385,967.72	0.040	418.40	NEEDHAM AND CO.
KRYSTAL BIOTECH INC	501147102	11/02/2021	833	43,669.44	0.040	33.32	GOLDMAN SACHS
SONENDO INC	835431107	11/02/2021	2,426	23,079.27	0.030	72.78	JONES TRADING
WEREWOLF THERAPEUTICS INC	95075A107	11/02/2021	369	5,912.82	0.040	14.76	JONES TRADING
WEREWOLF THERAPEUTICS INC	95075A107	11/02/2021	303	4,843.46	0.040	12.12	Liquidnet
KRYSTAL BIOTECH INC	501147102	11/03/2021	3,227	169,027.36	0.040	129.08	GOLDMAN SACHS
SONENDO INC	835431107	11/03/2021	2,559	23,385.17	0.030	76.77	JONES TRADING
WEREWOLF THERAPEUTICS INC	95075A107	11/03/2021	206	3,305.46	0.040	8.24	JONES TRADING
ALX ONCOLOGY HOLDINGS INC	00166B105	11/04/2021	12,004	628,618.27	0.040	480.16	Instinet Inc
ALX ONCOLOGY HOLDINGS INC	00166B105	11/04/2021	1,736	97,311.48	0.040	69.44	Liquidnet
KRYSTAL BIOTECH INC	501147102	11/04/2021	554	28,845.51	0.040	22.16	GOLDMAN SACHS
SONENDO INC	835431107	11/04/2021	1,372	12,764.54	0.030	41.16	JONES TRADING
SONENDO INC	835431107	11/04/2021	285	2,660.48	0.030	8.55	Liquidnet
WEREWOLF THERAPEUTICS INC	95075A107	11/04/2021	301	4,860.04	0.040	12.04	JONES TRADING

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
ALX ONCOLOGY HOLDINGS INC	00166B105	11/05/2021	1,574	79,252.79	0.040	62.96	Instinet Inc
KRYSTAL BIOTECH INC	501147102	11/05/2021	1,058	54,965.96	0.040	42.32	GOLDMAN SACHS
SONENDO INC	835431107	11/05/2021	1,690	15,375.11	0.030	50.70	JONES TRADING
WEREWOLF THERAPEUTICS INC	95075A107	11/05/2021	265	4,260.59	0.040	10.60	JONES TRADING
KRYSTAL BIOTECH INC	501147102	11/08/2021	366	19,185.43	0.040	14.64	GOLDMAN SACHS
WEREWOLF THERAPEUTICS INC	95075A107	11/08/2021	268	4,288.00	0.040	10.72	Liquidnet
WEREWOLF THERAPEUTICS INC	95075A107	11/08/2021	82	1,327.11	0.040	3.28	JONES TRADING
KRYSTAL BIOTECH INC	501147102	11/09/2021	287	13,863.59	0.040	11.48	GOLDMAN SACHS
WEREWOLF THERAPEUTICS INC	95075A107	11/09/2021	127	2,034.92	0.040	5.08	JONES TRADING
WEREWOLF THERAPEUTICS INC	95075A107	11/09/2021	121	1,890.63	0.040	4.84	Liquidnet
DERMTECH INC	24984K105	11/10/2021	3,301	88,671.46	0.040	132.04	GOLDMAN SACHS
DERMTECH INC	24984K105	11/10/2021	2,720	74,535.62	0.040	108.80	Leerink Swann
DERMTECH INC	24984K105	11/10/2021	880	24,860.00	0.040	35.20	Liquidnet
WEREWOLF THERAPEUTICS INC	95075A107	11/10/2021	133	2,130.86	0.040	5.32	JONES TRADING
DERMTECH INC	24984K105	11/11/2021	6,379	156,774.77	0.040	255.16	GOLDMAN SACHS
WEREWOLF THERAPEUTICS INC	95075A107	11/11/2021	223	3,572.55	0.040	8.92	JONES TRADING
COUPA SOFTWARE INC	22266L106	11/12/2021	1,075	230,497.85	0.040	43.00	STIFEL NICOLAUS
DERMTECH INC	24984K105	11/12/2021	4,688	117,293.29	0.040	187.52	GOLDMAN SACHS
DERMTECH INC	24984K105	11/12/2021	1,781	43,438.59	0.040	71.24	Liquidnet
EVERBRIDGE INC	29978A104	11/12/2021	1,646	217,535.20	0.040	65.84	STIFEL NICOLAUS
EVERBRIDGE INC	29978A104	11/12/2021	1,543	203,952.81	0.040	61.72	NEEDHAM AND CO.
OAK STREET HEALTH INC	67181A107	11/12/2021	4,388	166,312.22	0.040	175.52	GOLDMAN SACHS
SCHRODINGER INC	80810D103	11/12/2021	5,254	246,917.51	0.040	210.16	Instinet Inc

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
WEREWOLF THERAPEUTICS INC	95075A107	11/12/2021	232	3,719.75	0.040	9.28	JONES TRADING
DERMTECH INC	24984K105	11/15/2021	3,220	77,403.65	0.040	128.80	GOLDMAN SACHS
WEREWOLF THERAPEUTICS INC	95075A107	11/15/2021	19	304.12	0.040	0.76	JONES TRADING
DERMTECH INC	24984K105	11/16/2021	2,756	63,938.65	0.040	110.24	GOLDMAN SACHS
TG THERAPEUTICS INC	88322Q108	11/16/2021	14,064	374,053.18	0.040	562.56	ISI Group
WEREWOLF THERAPEUTICS INC	95075A107	11/16/2021	222	3,514.82	0.040	8.88	Liquidnet
WEREWOLF THERAPEUTICS INC	95075A107	11/16/2021	214	3,424.83	0.040	8.56	JONES TRADING
TALIS BIOMEDICAL CORP	87424L108	11/17/2021	278	1,259.53	0.020	5.56	Instinet Inc
TG THERAPEUTICS INC	88322Q108	11/17/2021	4,863	131,613.69	0.040	194.52	ISI Group
WEREWOLF THERAPEUTICS INC	95075A107	11/17/2021	471	7,563.84	0.040	18.84	JONES TRADING
OAK STREET HEALTH INC	67181A107	11/18/2021	4,054	149,048.96	0.040	162.16	JONES TRADING
TALIS BIOMEDICAL CORP	87424L108	11/18/2021	1,508	6,582.42	0.020	30.16	Liquidnet
TALIS BIOMEDICAL CORP	87424L108	11/18/2021	423	1,935.94	0.020	8.46	ISI Group
WEREWOLF THERAPEUTICS INC	95075A107	11/18/2021	420	6,857.68	0.040	16.80	JONES TRADING
OAK STREET HEALTH INC	67181A107	11/19/2021	934	34,151.06	0.040	37.36	GOLDMAN SACHS
TALIS BIOMEDICAL CORP	87424L108	11/19/2021	222	1,012.41	0.020	4.44	ISI Group
WEREWOLF THERAPEUTICS INC	95075A107	11/19/2021	209	3,366.63	0.040	8.36	JONES TRADING
OAK STREET HEALTH INC	67181A107	11/22/2021	299	10,133.11	0.040	11.96	Liquidnet
SCHRODINGER INC	80810D103	11/22/2021	1,863	72,425.99	0.040	74.52	GOLDMAN SACHS
TALIS BIOMEDICAL CORP	87424L108	11/22/2021	702	3,273.64	0.020	14.04	ISI Group
WEREWOLF THERAPEUTICS INC	95075A107	11/22/2021	16	257.83	0.040	0.64	JONES TRADING
EVERBRIDGE INC	29978A104	11/23/2021	2,445	262,947.77	0.040	97.80	GOLDMAN SACHS
OAK STREET HEALTH INC	67181A107	11/23/2021	964	30,743.50	0.040	38.56	ISI Group

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
SCHRODINGER INC	80810D103	11/23/2021	2,502	95,293.42	0.040	100.08	GOLDMAN SACHS
SCHRODINGER INC	80810D103	11/23/2021	709	26,949.09	0.040	28.36	Liquidnet
TALIS BIOMEDICAL CORP	87424L108	11/23/2021	2,472	12,195.61	0.020	49.44	ISI Group
WEREWOLF THERAPEUTICS INC	95075A107	11/23/2021	100	1,604.46	0.040	4.00	JONES TRADING
TALIS BIOMEDICAL CORP	87424L108	11/24/2021	867	4,224.20	0.020	17.34	ISI Group
TALIS BIOMEDICAL CORP	87424L108	11/26/2021	197	944.93	0.020	3.94	ISI Group
KRYSTAL BIOTECH INC	501147102	11/29/2021	1,189	102,929.00	0.040	47.56	COWEN AND CO.
TALIS BIOMEDICAL CORP	87424L108	11/29/2021	1,581	7,122.25	0.020	31.62	ISI Group
TALIS BIOMEDICAL CORP	87424L108	11/29/2021	234	1,051.83	0.020	4.68	Liquidnet
WEREWOLF THERAPEUTICS INC	95075A107	11/29/2021	476	6,997.20	0.040	19.04	Liquidnet
WEREWOLF THERAPEUTICS INC	95075A107	11/29/2021	444	6,666.57	0.040	17.76	JONES TRADING
TALIS BIOMEDICAL CORP	87424L108	11/30/2021	7,309	34,234.63	0.020	146.18	ISI Group
TG THERAPEUTICS INC	88322Q108	11/30/2021	18,331	249,450.08	0.040	733.24	GOLDMAN SACHS
WEREWOLF THERAPEUTICS INC	95075A107	11/30/2021	463	6,687.62	0.040	18.52	JONES TRADING
Total EQUITY				\$5,492,594.38		\$6,114.75	
Average Commission Per EQUITY						0.037	
Total Sales				\$5,492,594.38		\$6,114.75	
Average Commission Per Sales						0.037	
Total All Trades				\$10,584,164.14		\$13,748.98	
Average Commission Per Trade						0.034	

Contributions and Withdrawals Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Withdrawal						
U.S. DOLLARS	USD	11/2/2021	11/2/2021			(0.56)
U.S. DOLLARS	USD	11/18/2021	11/18/2021			(6,476.77)
U.S. DOLLARS	USD	11/23/2021	11/23/2021			(1,428.60)
U.S. DOLLARS	USD	11/30/2021	11/30/2021			(8,399.75)
Total Withdrawal						\$(16,305.68)

Income and Expense Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Dividend						
FIRST INTERSTATE BANCYSYS-A	32055Y201	11/5/2021	11/18/2021			6,476.77
CLARUS CORP	18270P109	11/5/2021	11/19/2021			1,428.60
PACWEST BANCORP	695263103	11/12/2021	11/30/2021			8,399.75
Total Dividend						\$16,305.12
Interest						
U.S. DOLLARS	USD	10/29/2021	11/1/2021			246.23
U.S. DOLLARS	USD	11/30/2021	11/30/2021			(0.25)
Total Interest						\$245.98