

NICHOLAS INVESTMENT PARTNERS

INSTITUTIONAL PORTFOLIO MANAGEMENT

For Period Ending August 31, 2021
LEIA/NEW YORK STATE COMMON RETIREMENT FUND (NYSCRF)



Pursuant to recent amendments to Rule 206(4) under the Investment Advisers Act of 1940, Nicholas Investment Partners encourages its clients to compare the information set forth in this statement with the statements received directly from your custodian to ensure accuracy of all account transactions.

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Summary of Investment Performance

August 01, 2021 to August 31, 2021

Beginning Market Value	87,693,799.18
Beginning Accrued Income	635.76
Beginning Portfolio Value	87,694,434.94
Contributions	0.00
Withdrawals	(15,899.66)
Gain (Loss)	2,061,049.99
Interest and Dividends	16,535.19
Net Accrued Income	21,630.66
Ending Market Value	89,755,484.70
Ending Accrued Income	22,266.42
Ending Portfolio Value	89,777,751.12
Total Portfolio	2.39%
Total Net Of Fees	2.37%
Russell 2000 Growth TR	1.82%
Total Investment Return	\$2,099,215.84

Selected Period Performance

Sector	Market Value	1 Month	QTD	3 Month	YTD	1 Year	3 Year	5 Year	ITD
Total Portfolio	\$89,777,751	2.39%	2.22%	6.94%	12.42%	49.89%	24.78%	25.35%	17.74%
Total Portfolio Net of Fees	\$89,777,751	2.37%	2.16%	6.85%	12.16%	49.39%	24.34%	24.90%	17.31%
Russell 2000 Growth TR		1.82%	-1.89%	2.71%	6.92%	35.61%	12.26%	16.57%	12.51%

Market values include accrued income. All values in USD terms.
 All rates of return are gross of investment management fees unless otherwise noted.
 All returns greater than 12 months are annualized.
 Performance inception date for the account is 03/16/2015.

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Cash						
U.S. DOLLARS	1,359,129.26	1,359,129.26	1.00	1,359,129.26	1.51	-
Total Cash		\$1,359,129.26		\$1,359,129.26	1.51	-
Equity						
Communication Services						
CARDLYTICS INC	5,198.00	174,878.14	90.78	471,874.44	0.53	296,996.30
Total Communication Services		\$174,878.14		\$471,874.44	0.53	\$296,996.30
Consumer Discretionary						
RED ROCK RESORTS INC-CLASS A	60,606.00	2,270,469.39	46.81	2,836,966.86	3.16	566,497.47
STEVEN MADDEN LTD	51,108.00	1,795,792.38	40.47	2,068,340.76	2.30	272,548.38
BOOT BARN HOLDINGS INC	19,835.00	1,589,133.26	89.28	1,770,868.80	1.97	181,735.54
CALLAWAY GOLF COMPANY	60,689.00	1,183,253.60	28.06	1,702,933.34	1.90	519,679.74
CHEGG INC	15,473.00	903,865.09	83.22	1,287,663.06	1.43	383,797.97
CLARUS CORP	42,252.00	763,087.54	27.09	1,144,606.69	1.28	381,519.15
KONTOOR BRANDS INC	19,758.00	742,063.26	53.96	1,066,141.68	1.19	324,078.42
SCIENTIFIC GAMES CORP	8,405.00	638,592.04	72.35	608,101.75	0.68	(30,490.29)
GOLDEN ENTERTAINMENT INC	11,285.00	354,482.70	47.72	538,520.20	0.60	184,037.50
MONARCH CASINO & RESORT INC	6,223.00	402,261.71	63.37	394,351.51	0.44	(7,910.20)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Consumer Discretionary						
LINDBLAD EXPEDITIONS HOLDING	18,738.00	335,171.62	14.71	275,635.98	0.31	(59,535.64)
Total Consumer Discretionary		\$10,978,172.59		\$13,694,130.63	15.26	\$2,715,958.04
Consumer Staples						
PRIMO WATER CORP	37,191.00	578,447.37	17.76	660,512.16	0.74	82,064.79
SPROUTS FARMERS MARKET INC	17,886.00	473,903.66	24.90	445,361.40	0.50	(28,542.26)
SUNOPTA INC	45,417.00	567,036.45	9.43	428,282.31	0.48	(138,754.14)
BEAUTY HEALTH CO/THE	16,432.00	410,390.70	25.71	422,466.72	0.47	12,076.02
Total Consumer Staples		\$2,029,778.18		\$1,956,622.59	2.18	\$(73,155.59)
Financials						
WESTERN ALLIANCE BANCORP	23,716.00	1,732,823.13	97.56	2,313,732.96	2.58	580,909.83
TEXAS CAPITAL BANCSHARES INC	23,283.00	1,586,643.56	67.99	1,583,011.17	1.76	(3,632.39)
PACWEST BANCORP	26,171.00	1,048,761.79	42.55	1,113,576.05	1.24	64,814.26
SILVERGATE CAPITAL CORP-CL A	7,184.00	811,228.55	112.98	811,648.32	0.90	419.77
Total Financials		\$5,179,457.03		\$5,821,968.50	6.49	\$642,511.47

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Health Care						
REPLIGEN CORP	8,159.00	681,114.43	282.98	2,308,833.82	2.57	1,627,719.39
BIOHAVEN PHARMACEUTICAL HOLD	14,095.00	744,917.46	131.24	1,849,827.80	2.06	1,104,910.34
MIRATI THERAPEUTICS INC	7,888.00	1,342,834.93	169.73	1,338,830.24	1.49	(4,004.69)
BRIDGEBIO PHARMA INC	22,165.00	1,067,265.69	50.11	1,110,688.15	1.24	43,422.46
INSTIL BIO INC	57,946.00	1,208,100.34	18.85	1,092,282.10	1.22	(115,818.24)
ALX ONCOLOGY HOLDINGS INC	15,314.00	1,140,065.56	70.00	1,071,980.00	1.19	(68,085.56)
NEOGENOMICS INC	21,686.00	421,341.42	48.62	1,054,373.32	1.17	633,031.90
TG THERAPEUTICS INC	37,258.00	1,151,277.79	27.07	1,008,574.06	1.12	(142,703.73)
DERMTECH INC	25,725.00	914,777.50	37.20	956,970.00	1.07	42,192.50
TWIST BIOSCIENCE CORP	8,262.00	715,770.56	113.21	935,341.02	1.04	219,570.46
INTRA-CELLULAR THERAPIES INC	26,712.00	1,017,040.97	33.20	886,838.40	0.99	(130,202.57)
ARENA PHARMACEUTICALS INC	16,296.00	1,115,608.02	52.92	862,384.32	0.96	(253,223.70)
ARGENX SE - ADR	2,367.00	334,684.95	331.02	783,524.34	0.87	448,839.39
KRYSTAL BIOTECH INC	13,172.00	555,767.96	57.94	763,185.68	0.85	207,417.72
AVANTOR INC	18,506.00	452,677.73	39.44	729,876.64	0.81	277,198.91
ESTABLISHMENT LABS HOLDINGS	9,455.00	768,204.42	75.00	709,125.00	0.79	(59,079.42)
GUARDANT HEALTH INC	5,495.00	477,638.89	127.27	699,348.65	0.78	221,709.76
MAXCYTE INC	41,700.00	645,382.39	15.36	640,512.00	0.71	(4,870.39)
SCHRODINGER INC	10,328.00	706,587.03	59.69	616,478.32	0.69	(90,108.71)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Health Care						
KALVISTA PHARMACEUTICALS INC	29,474.00	937,314.19	20.44	602,448.56	0.67	(334,865.63)
INSULET CORP	1,697.00	288,005.70	297.81	505,383.57	0.56	217,377.87
OAK STREET HEALTH INC	10,639.00	496,851.64	46.73	497,160.47	0.55	308.83
TURNING POINT THERAPEUTICS I	6,207.00	308,986.38	77.02	478,063.14	0.53	169,076.76
SINGULAR GENOMICS SYSTEMS IN	27,208.00	712,621.42	16.53	449,748.24	0.50	(262,873.18)
ALPHA TEKNOVA INC	22,647.00	438,650.30	19.01	430,519.47	0.48	(8,130.83)
VERVE THERAPEUTICS INC	5,259.00	267,220.49	69.96	367,919.64	0.41	100,699.15
BERKELEY LIGHTS INC	10,091.00	458,102.46	35.56	358,835.96	0.40	(99,266.50)
APELLIS PHARMACEUTICALS INC	3,987.00	253,501.88	65.85	262,543.95	0.29	9,042.07
TALIS BIOMEDICAL CORP	31,071.00	497,136.00	8.06	250,432.26	0.28	(246,703.74)
WEREWOLF THERAPEUTICS INC	8,088.00	144,739.54	17.80	143,966.40	0.16	(773.14)
Total Health Care		\$20,264,188.04		\$23,765,995.52	26.48	\$3,501,807.48

Industrials

KORNIT DIGITAL LTD	28,687.00	1,625,547.24	130.37	3,739,924.19	4.17	2,114,376.95
CHART INDUSTRIES INC	13,299.00	1,829,288.54	188.38	2,505,265.62	2.79	675,977.08
TFI INTERNATIONAL INC	18,364.00	1,621,345.61	113.10	2,076,968.40	2.31	455,622.79
WILLSCOT MOBILE MINI HOLDING	69,048.00	1,619,425.48	29.60	2,043,820.80	2.28	424,395.32
AMERESCO INC-CL A	23,499.00	1,091,371.05	69.15	1,624,955.85	1.81	533,584.80

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Industrials						
FEDERAL SIGNAL CORP	29,301.00	1,216,012.06	40.63	1,190,499.63	1.33	(25,512.43)
REGAL BELOIT CORP	7,400.00	1,028,490.82	149.42	1,105,708.00	1.23	77,217.18
CACI INTERNATIONAL INC -CL A	2,558.00	664,675.18	257.54	658,787.32	0.73	(5,887.86)
BYRNA TECHNOLOGIES INC	21,329.00	485,827.25	29.28	624,513.12	0.70	138,685.87
ENERGY RECOVERY INC	30,014.00	502,610.28	20.43	613,186.02	0.68	110,575.74
Total Industrials		\$11,684,593.51		\$16,183,628.95	18.03	\$4,499,035.44
Information Technology						
LATTICE SEMICONDUCTOR CORP	57,633.00	1,550,946.11	62.12	3,580,161.96	3.99	2,029,215.85
MONOLITHIC POWER SYSTEMS INC	7,074.00	1,056,553.37	494.93	3,501,134.82	3.90	2,444,581.45
ELASTIC NV	14,562.00	1,356,102.68	159.55	2,323,367.10	2.59	967,264.42
SMARTSHEET INC-CLASS A	20,865.00	1,517,710.17	79.57	1,660,228.05	1.85	142,517.88
BILL.COM HOLDINGS INC	6,037.00	958,673.03	274.39	1,656,492.43	1.85	697,819.40
EVERBRIDGE INC	10,379.00	1,300,699.11	156.97	1,629,191.63	1.82	328,492.52
FIVE9 INC	9,371.00	1,151,579.50	158.23	1,482,773.33	1.65	331,193.83
VERRA MOBILITY CORP	57,212.00	878,570.07	15.51	887,358.12	0.99	8,788.05
DESCARTES SYSTEMS GRP/THE	11,264.00	714,669.88	78.40	883,097.60	0.98	168,427.72
COUPA SOFTWARE INC	2,742.00	531,217.36	244.81	671,269.02	0.75	140,051.66
908 DEVICES INC	13,060.00	529,480.27	36.00	470,160.00	0.52	(59,320.27)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Information Technology						
SQUARESPACE INC - CLASS A	9,820.00	575,580.60	44.48	436,793.60	0.49	(138,787.00)
Total Information Technology		\$12,121,782.15		\$19,182,027.66	21.37	\$7,060,245.51
Materials						
ELEMENT SOLUTIONS INC	119,912.00	2,367,679.32	22.73	2,725,599.76	3.04	357,920.44
AMYRIS INC	40,678.00	771,269.40	15.05	612,203.90	0.68	(159,065.50)
Total Materials		\$3,138,948.72		\$3,337,803.66	3.72	\$198,854.94
Real Estate						
INNOVATIVE INDUSTRIAL PROPER	9,053.00	1,221,628.85	245.91	2,226,223.23	2.48	1,004,594.38
MACERICH CO/THE	64,824.00	964,474.88	17.08	1,107,193.92	1.23	142,719.04
APPLE HOSPITALITY REIT INC	43,903.00	679,742.59	14.78	648,886.34	0.72	(30,856.25)
Total Real Estate		\$2,865,846.32		\$3,982,303.49	4.44	\$1,116,457.17
Total Equity		\$68,437,644.68		\$88,396,355.44	98.49	\$19,958,710.76
Total Portfolio		\$69,796,773.94		\$89,755,484.70	100.00	\$19,958,710.76

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Buy						
ALPHA TEKNOVA INC	02080L102	8/2/2021	8/4/2021	1,246.00	22.52	(28,105.52)
MAXCYTE INC	57777K106	8/2/2021	8/4/2021	110.00	16.26	(1,792.65)
ALPHA TEKNOVA INC	02080L102	8/3/2021	8/5/2021	1,353.00	21.40	(29,010.62)
BYRNA TECHNOLOGIES INC	12448X201	8/3/2021	8/5/2021	3,132.00	24.52	(76,915.97)
ALPHA TEKNOVA INC	02080L102	8/4/2021	8/6/2021	2,041.00	21.49	(43,933.34)
ALPHA TEKNOVA INC	02080L102	8/5/2021	8/9/2021	733.00	21.32	(15,654.53)
SILVERGATE CAPITAL CORP-CL A	82837P408	8/5/2021	8/9/2021	1,055.00	104.22	(109,999.05)
ADAGIO THERAPEUTICS INC	00534A102	8/6/2021	8/10/2021	3,787.00	17.00	(64,379.00)
ALPHA TEKNOVA INC	02080L102	8/6/2021	8/10/2021	1,712.00	21.50	(36,876.48)
ALPHA TEKNOVA INC	02080L102	8/9/2021	8/11/2021	559.00	21.54	(12,060.48)
SILVERGATE CAPITAL CORP-CL A	82837P408	8/9/2021	8/11/2021	844.00	118.85	(100,341.05)
ALPHA TEKNOVA INC	02080L102	8/10/2021	8/12/2021	375.00	21.20	(7,965.90)
MAXCYTE INC	57777K106	8/10/2021	8/12/2021	870.00	16.04	(13,991.86)
SILVERGATE CAPITAL CORP-CL A	82837P408	8/10/2021	8/12/2021	2,712.00	116.47	(315,981.63)
ALPHA TEKNOVA INC	02080L102	8/11/2021	8/13/2021	711.00	21.44	(15,268.73)
BOOT BARN HOLDINGS INC	099406100	8/11/2021	8/13/2021	722.00	88.09	(63,627.48)
MAXCYTE INC	57777K106	8/11/2021	8/13/2021	4,538.00	16.29	(74,097.37)
REGAL BELOIT CORP	758750103	8/11/2021	8/13/2021	499.00	149.58	(74,662.33)

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
SILVERGATE CAPITAL CORP-CL A	82837P408	8/11/2021	8/13/2021	1,695.00	116.10	(196,855.10)
TEXAS CAPITAL BANCSHARES INC	88224Q107	8/11/2021	8/13/2021	3,050.00	65.85	(200,963.89)
MAXCYTE INC	57777K106	8/12/2021	8/16/2021	4,053.00	16.20	(65,800.86)
REGAL BELOIT CORP	758750103	8/12/2021	8/16/2021	268.00	152.13	(40,782.04)
MAXCYTE INC	57777K106	8/13/2021	8/17/2021	924.00	15.75	(14,587.00)
SILVERGATE CAPITAL CORP-CL A	82837P408	8/17/2021	8/19/2021	878.00	100.25	(88,051.72)
APELLIS PHARMACEUTICALS INC	03753U106	8/23/2021	8/25/2021	2,675.00	63.44	(169,815.96)
APELLIS PHARMACEUTICALS INC	03753U106	8/23/2021	8/25/2021	1,312.00	63.75	(83,685.92)
SCIENTIFIC GAMES CORP	80874P109	8/24/2021	8/26/2021	2,879.00	71.27	(205,306.67)
BEAUTY HEALTH CO/THE	88331L108	8/30/2021	9/1/2021	13,530.00	24.85	(336,729.23)
MAXCYTE INC	57777K106	8/30/2021	9/1/2021	10,398.00	15.88	(165,525.76)
MAXCYTE INC	57777K106	8/30/2021	9/1/2021	3,869.00	16.21	(62,867.77)
BEAUTY HEALTH CO/THE	88331L108	8/31/2021	9/2/2021	2,902.00	25.34	(73,661.47)
INTRA-CELLULAR THERAPIES INC	46116X101	8/31/2021	9/2/2021	3,328.00	33.02	(110,027.01)
Total Buy						\$(2,899,324.39)

Sell

AVANTOR INC	05352A100	8/2/2021	8/4/2021	(2,502.00)	37.81	94,491.05
RENEO PHARMACEUTICALS INC	75974E103	8/2/2021	8/4/2021	(4.00)	8.61	34.34
ADAGIO THERAPEUTICS INC	00534A102	8/6/2021	8/10/2021	(3,787.00)	21.57	81,521.19

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
RENEO PHARMACEUTICALS INC	75974E103	8/6/2021	8/10/2021	(29.00)	8.11	234.40
VIMEO INC	92719V100	8/6/2021	8/10/2021	(2,587.00)	37.92	97,999.46
VIMEO INC	92719V100	8/6/2021	8/10/2021	(1,886.00)	39.51	74,430.61
RENEO PHARMACEUTICALS INC	75974E103	8/9/2021	8/11/2021	(51.00)	8.26	419.55
VIMEO INC	92719V100	8/9/2021	8/11/2021	(4,832.00)	35.77	172,629.57
ADVANCED ENERGY INDUSTRIES	007973100	8/10/2021	8/12/2021	(583.00)	91.51	53,328.14
SQUARESPACE INC - CLASS A	85225A107	8/10/2021	8/12/2021	(3,979.00)	44.97	178,777.55
VIMEO INC	92719V100	8/10/2021	8/12/2021	(11,312.00)	35.11	396,741.48
ADVANCED ENERGY INDUSTRIES	007973100	8/11/2021	8/13/2021	(2,246.00)	89.13	200,104.10
RENEO PHARMACEUTICALS INC	75974E103	8/11/2021	8/13/2021	(9.00)	8.40	75.33
SQUARESPACE INC - CLASS A	85225A107	8/11/2021	8/13/2021	(3,110.00)	42.73	132,777.66
RENEO PHARMACEUTICALS INC	75974E103	8/12/2021	8/16/2021	(7.00)	8.50	59.30
RENEO PHARMACEUTICALS INC	75974E103	8/13/2021	8/17/2021	(14.00)	8.53	119.02
RENEO PHARMACEUTICALS INC	75974E103	8/16/2021	8/18/2021	(1.00)	8.55	8.52
RENEO PHARMACEUTICALS INC	75974E103	8/17/2021	8/19/2021	(21.00)	8.36	175.02
TALIS BIOMEDICAL CORP	87424L108	8/17/2021	8/19/2021	(1,057.00)	7.70	8,104.72
RENEO PHARMACEUTICALS INC	75974E103	8/18/2021	8/20/2021	(10.00)	8.15	81.17
TALIS BIOMEDICAL CORP	87424L108	8/18/2021	8/20/2021	(277.00)	7.51	2,072.09
RENEO PHARMACEUTICALS INC	75974E103	8/19/2021	8/23/2021	(5.00)	8.00	39.87
ADVANCED ENERGY INDUSTRIES	007973100	8/23/2021	8/25/2021	(2,559.00)	83.76	214,230.71

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
RENEO PHARMACEUTICALS INC	75974E103	8/23/2021	8/25/2021	(40.00)	7.73	308.00
RENEO PHARMACEUTICALS INC	75974E103	8/24/2021	8/26/2021	(26.00)	7.64	197.80
RENEO PHARMACEUTICALS INC	75974E103	8/25/2021	8/27/2021	(80.00)	7.41	590.66
RENEO PHARMACEUTICALS INC	75974E103	8/27/2021	8/31/2021	(833.00)	7.92	6,574.42
APPLE HOSPITALITY REIT INC	03784Y200	8/30/2021	9/1/2021	(8,737.00)	14.70	128,081.14
CACI INTERNATIONAL INC -CL A	127190304	8/30/2021	9/1/2021	(317.00)	258.12	81,811.83
LINDBLAD EXPEDITIONS HOLDING	535219109	8/30/2021	9/1/2021	(3,064.00)	15.02	45,900.02
RENEO PHARMACEUTICALS INC	75974E103	8/30/2021	9/1/2021	(299.00)	8.14	2,424.85
SPROUTS FARMERS MARKET INC	85208M102	8/30/2021	9/1/2021	(4,548.00)	24.80	112,587.89
SPROUTS FARMERS MARKET INC	85208M102	8/30/2021	9/1/2021	(2,105.00)	24.75	52,003.76
SUNOPTA INC	8676EP108	8/30/2021	9/1/2021	(3,104.00)	9.75	30,170.73
SUNOPTA INC	8676EP108	8/30/2021	9/1/2021	(9,786.00)	9.79	95,510.87
LINDBLAD EXPEDITIONS HOLDING	535219109	8/31/2021	9/2/2021	(2,811.00)	14.71	41,240.53
SQUARESPACE INC - CLASS A	85225A107	8/31/2021	9/2/2021	(5,611.00)	44.42	249,023.89
SUNOPTA INC	8676EP108	8/31/2021	9/2/2021	(4,690.00)	9.43	44,067.95
SUNOPTA INC	8676EP108	8/31/2021	9/2/2021	(1,543.00)	9.43	14,496.42
Total Sell						\$2,613,445.61

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
Purchases							
EQUITY							
ALPHA TEKNOVA INC	02080L102	08/02/2021	1,246	28,055.68	0.040	49.84	COWEN AND CO.
MAXCYTE INC	57777K106	08/02/2021	110	1,788.25	0.040	4.40	COWEN AND CO.
ALPHA TEKNOVA INC	02080L102	08/03/2021	1,353	28,956.50	0.040	54.12	COWEN AND CO.
BYRNA TECHNOLOGIES INC	12448X201	08/03/2021	3,132	76,790.69	0.040	125.28	RAYMOND JAMES AND ASSOCIATES; INC.
ALPHA TEKNOVA INC	02080L102	08/04/2021	2,041	43,851.70	0.040	81.64	COWEN AND CO.
ALPHA TEKNOVA INC	02080L102	08/05/2021	733	15,625.21	0.040	29.32	COWEN AND CO.
SILVERGATE CAPITAL CORP-CL A	82837P408	08/05/2021	1,055	109,956.85	0.040	42.20	Compass Capital
ADAGIO THERAPEUTICS INC	00534A102	08/06/2021	3,787	64,379.00	0.000	0.00	MORGAN STANLEY
ALPHA TEKNOVA INC	02080L102	08/06/2021	1,712	36,808.00	0.040	68.48	COWEN AND CO.
ALPHA TEKNOVA INC	02080L102	08/09/2021	559	12,038.12	0.040	22.36	COWEN AND CO.
SILVERGATE CAPITAL CORP-CL A	82837P408	08/09/2021	844	100,307.29	0.040	33.76	JONES TRADING
ALPHA TEKNOVA INC	02080L102	08/10/2021	375	7,950.90	0.040	15.00	COWEN AND CO.
MAXCYTE INC	57777K106	08/10/2021	870	13,957.06	0.040	34.80	COWEN AND CO.
SILVERGATE CAPITAL CORP-CL A	82837P408	08/10/2021	2,712	315,873.15	0.040	108.48	JONES TRADING
ALPHA TEKNOVA INC	02080L102	08/11/2021	711	15,240.29	0.040	28.44	COWEN AND CO.
BOOT BARN HOLDINGS INC	099406100	08/11/2021	722	63,598.60	0.040	28.88	J.P. MORGAN
MAXCYTE INC	57777K106	08/11/2021	4,538	73,915.85	0.040	181.52	COWEN AND CO.
REGAL BELOIT CORP	758750103	08/11/2021	499	74,642.37	0.040	19.96	Instinet Inc
SILVERGATE CAPITAL CORP-CL A	82837P408	08/11/2021	1,695	196,787.30	0.040	67.80	JONES TRADING

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
TEXAS CAPITAL BANCSHARES INC	88224Q107	08/11/2021	3,050	200,841.89	0.040	122.00	JANNEY CAPITAL MARKETS
MAXCYTE INC	57777K106	08/12/2021	4,053	65,638.74	0.040	162.12	COWEN AND CO.
REGAL BELOIT CORP	758750103	08/12/2021	268	40,771.32	0.040	10.72	Instinet Inc
MAXCYTE INC	57777K106	08/13/2021	924	14,550.04	0.040	36.96	COWEN AND CO.
SILVERGATE CAPITAL CORP-CL A	82837P408	08/17/2021	878	88,016.60	0.040	35.12	Compass Capital
APELLIS PHARMACEUTICALS INC	03753U106	08/23/2021	1,312	83,633.44	0.040	52.48	Liquidnet
APELLIS PHARMACEUTICALS INC	03753U106	08/23/2021	2,675	169,708.96	0.040	107.00	J.P. MORGAN
SCIENTIFIC GAMES CORP	80874P109	08/24/2021	2,879	205,191.51	0.040	115.16	JEFFERIES LLC
BEAUTY HEALTH CO/THE	88331L108	08/30/2021	13,530	336,188.03	0.040	541.20	JEFFERIES LLC
MAXCYTE INC	57777K106	08/30/2021	3,869	62,713.01	0.040	154.76	Liquidnet
MAXCYTE INC	57777K106	08/30/2021	10,398	165,109.84	0.040	415.92	COWEN AND CO.
BEAUTY HEALTH CO/THE	88331L108	08/31/2021	2,902	73,545.39	0.040	116.08	JEFFERIES LLC
INTRA-CELLULAR THERAPIES INC	46116X101	08/31/2021	3,328	109,893.89	0.040	133.12	ISI Group
Total EQUITY				\$2,896,325.46		\$2,998.92	
Average Commission Per EQUITY						0.038	
Total Purchases				\$2,896,325.46		\$2,998.92	
Average Commission Per Purchases						0.038	

Sales

EQUITY							
AVANTOR INC	05352A100	08/02/2021	2,502	94,591.61	0.040	100.08	COWEN AND CO.
RENEO PHARMACEUTICALS INC	75974E103	08/02/2021	4	34.46	0.030	0.12	JONES TRADING

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
ADAGIO THERAPEUTICS INC	00534A102	08/06/2021	3,787	81,673.09	0.040	151.48	JONES TRADING
RENEO PHARMACEUTICALS INC	75974E103	08/06/2021	29	235.27	0.030	0.87	JONES TRADING
VIMEO INC	92719V100	08/06/2021	2,587	98,103.44	0.040	103.48	Piper Jaffray & Co.
VIMEO INC	92719V100	08/06/2021	1,886	74,506.43	0.040	75.44	Liquidnet
RENEO PHARMACEUTICALS INC	75974E103	08/09/2021	51	421.08	0.030	1.53	JONES TRADING
VIMEO INC	92719V100	08/09/2021	4,832	172,823.73	0.040	193.28	STIFEL NICOLAUS
ADVANCED ENERGY INDUSTRIES	007973100	08/10/2021	583	53,351.73	0.040	23.32	RAYMOND JAMES AND ASSOCIATES; INC.
SQUARESPACE INC - CLASS A	85225A107	08/10/2021	3,979	178,937.62	0.040	159.16	RAYMOND JAMES AND ASSOCIATES; INC.
VIMEO INC	92719V100	08/10/2021	11,312	397,195.99	0.040	452.48	STIFEL NICOLAUS
ADVANCED ENERGY INDUSTRIES	007973100	08/11/2021	2,246	200,194.96	0.040	89.84	RAYMOND JAMES AND ASSOCIATES; INC.
RENEO PHARMACEUTICALS INC	75974E103	08/11/2021	9	75.60	0.030	0.27	JONES TRADING
SQUARESPACE INC - CLASS A	85225A107	08/11/2021	3,110	132,902.74	0.040	124.40	Piper Jaffray & Co.
RENEO PHARMACEUTICALS INC	75974E103	08/12/2021	7	59.51	0.030	0.21	JONES TRADING
RENEO PHARMACEUTICALS INC	75974E103	08/13/2021	14	119.44	0.030	0.42	JONES TRADING
RENEO PHARMACEUTICALS INC	75974E103	08/16/2021	1	8.55	0.030	0.03	JONES TRADING
RENEO PHARMACEUTICALS INC	75974E103	08/17/2021	21	175.65	0.030	0.63	JONES TRADING
TALIS BIOMEDICAL CORP	87424L108	08/17/2021	1,057	8,136.47	0.030	31.71	JONES TRADING
RENEO PHARMACEUTICALS INC	75974E103	08/18/2021	10	81.47	0.030	0.30	JONES TRADING
TALIS BIOMEDICAL CORP	87424L108	08/18/2021	277	2,080.41	0.030	8.31	JONES TRADING
RENEO PHARMACEUTICALS INC	75974E103	08/19/2021	5	40.02	0.030	0.15	JONES TRADING
ADVANCED ENERGY INDUSTRIES	007973100	08/23/2021	2,559	214,334.16	0.040	102.36	NEEDHAM AND CO.

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
RENEO PHARMACEUTICALS INC	75974E103	08/23/2021	40	309.20	0.030	1.20	Liquidnet
RENEO PHARMACEUTICALS INC	75974E103	08/24/2021	26	198.58	0.030	0.78	JONES TRADING
RENEO PHARMACEUTICALS INC	75974E103	08/25/2021	80	593.06	0.030	2.40	JONES TRADING
RENEO PHARMACEUTICALS INC	75974E103	08/27/2021	833	6,599.44	0.030	24.99	JONES TRADING
APPLE HOSPITALITY REIT INC	03784Y200	08/30/2021	8,737	128,431.28	0.040	349.48	Compass Capital
CACI INTERNATIONAL INC -CL A	127190304	08/30/2021	317	81,824.93	0.040	12.68	COWEN AND CO.
LINDBLAD EXPEDITIONS HOLDING	535219109	08/30/2021	3,064	46,022.81	0.040	122.56	STIFEL NICOLAUS
RENEO PHARMACEUTICALS INC	75974E103	08/30/2021	299	2,433.83	0.030	8.97	JONES TRADING
SPROUTS FARMERS MARKET INC	85208M102	08/30/2021	4,548	112,770.39	0.040	181.92	JONES TRADING
SPROUTS FARMERS MARKET INC	85208M102	08/30/2021	2,105	52,088.23	0.040	84.20	Liquidnet
SUNOPTA INC	8676EP108	08/30/2021	9,786	95,804.94	0.030	293.58	JONES TRADING
SUNOPTA INC	8676EP108	08/30/2021	3,104	30,264.00	0.030	93.12	William Blair & Company LLC
LINDBLAD EXPEDITIONS HOLDING	535219109	08/31/2021	2,811	41,353.18	0.040	112.44	STIFEL NICOLAUS
SQUARESPACE INC - CLASS A	85225A107	08/31/2021	5,611	249,249.60	0.040	224.44	Piper Jaffray & Co.
SUNOPTA INC	8676EP108	08/31/2021	4,690	44,208.88	0.030	140.70	William Blair & Company LLC
SUNOPTA INC	8676EP108	08/31/2021	1,543	14,542.78	0.030	46.29	Liquidnet
Total EQUITY				\$2,616,778.53		\$3,319.62	
Average Commission Per EQUITY						0.038	
Total Sales				\$2,616,778.53		\$3,319.62	
Average Commission Per Sales						0.038	
Total All Trades				\$5,513,103.99		\$6,318.54	
Average Commission Per Trade						0.038	

Account: 1083-3-23961

All values in USD terms unless otherwise noted.

Contributions and Withdrawals Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Withdrawal						
U.S. DOLLARS	USD	8/23/2021	8/23/2021			(1,056.31)
U.S. DOLLARS	USD	8/27/2021	8/27/2021			(8,300.60)
U.S. DOLLARS	USD	8/31/2021	8/31/2021			(6,542.75)
Total Withdrawal						\$(15,899.66)

Income and Expense Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Dividend						
CLARUS CORP	18270P109	8/6/2021	8/20/2021			1,056.30
WESTERN ALLIANCE BANCORP	957638109	8/12/2021	8/27/2021			8,300.60
PACWEST BANCORP	695263103	8/16/2021	8/31/2021			6,542.75
Total Dividend						\$15,899.65
Interest						
U.S. DOLLARS	USD	7/30/2021	8/2/2021			635.76
U.S. DOLLARS	USD	8/31/2021	8/31/2021			(0.22)
Total Interest						\$635.54