

NICHOLAS INVESTMENT PARTNERS

INSTITUTIONAL PORTFOLIO MANAGEMENT

For Period Ending February 28, 2021
LEIA/NEW YORK STATE COMMON RETIREMENT FUND (NYSCRF)



Pursuant to recent amendments to Rule 206(4) under the Investment Advisers Act of 1940, Nicholas Investment Partners encourages its clients to compare the information set forth in this statement with the statements received directly from your custodian to ensure accuracy of all account transactions.

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Summary of Investment Performance

February 01, 2021 to February 28, 2021

Beginning Market Value	63,499,913.78
Beginning Accrued Income	227.90
Beginning Portfolio Value	63,500,141.68
Contributions	0.00
Withdrawals	(2,451.37)
Gain (Loss)	5,377,544.47
Interest and Dividends	2,679.05
Net Accrued Income	11,815.33
Ending Market Value	68,877,685.93
Ending Accrued Income	12,043.23
Ending Portfolio Value	68,889,729.16
Total Portfolio	8.49%
Total Net Of Fees	8.46%
Russell 2000 Growth TR	3.30%
Total Investment Return	\$5,392,038.85

Selected Period Performance

Sector	Market Value	1 Month	QTD	3 Month	YTD	1 Year	3 Year	5 Year	ITD
Total Portfolio	\$68,889,729	8.49%	8.30%	19.90%	8.30%	96.78%	30.49%	28.36%	18.63%
Total Portfolio Net of Fees	\$68,889,729	8.46%	8.24%	19.80%	8.24%	96.05%	30.03%	27.89%	18.19%
Russell 2000 Growth TR		3.30%	8.28%	18.40%	8.28%	58.88%	18.93%	21.13%	13.88%

Market values include accrued income. All values in USD terms.
 All rates of return are gross of investment management fees unless otherwise noted.
 All returns greater than 12 months are annualized.
 Performance inception date for the account is 03/16/2015.

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Cash						
U.S. DOLLARS	356,988.56	356,988.56	1.00	356,988.56	0.52	-
Total Cash		\$356,988.56		\$356,988.56	0.52	-
Equity						
Communication Services						
CARDLYTICS INC	5,198.00	174,878.14	132.43	688,371.14	1.00	513,493.00
Total Communication Services		\$174,878.14		\$688,371.14	1.00	\$513,493.00
Consumer Discretionary						
CAESARS ENTERTAINMENT INC	31,519.00	668,892.87	93.44	2,945,135.36	4.28	2,276,242.49
CALLAWAY GOLF COMPANY	65,624.00	988,496.24	27.95	1,834,190.80	2.66	845,694.56
STEVEN MADDEN LTD	31,007.00	956,739.95	36.99	1,146,948.93	1.67	190,208.98
CHEGG INC	10,832.00	501,442.43	96.53	1,045,612.96	1.52	544,170.53
KB HOME	16,564.00	706,069.60	40.38	668,854.32	0.97	(37,215.28)
EXTENDED STAY AMERICA INC	34,234.00	449,131.63	16.09	550,825.06	0.80	101,693.43
KONTOOR BRANDS INC	12,102.00	283,819.99	42.25	511,309.50	0.74	227,489.51
REALREAL INC./THE	18,027.00	370,409.31	25.54	460,409.58	0.67	90,000.27
CLARUS CORP	24,734.00	325,425.76	17.36	429,382.25	0.62	103,956.49
LINDBLAD EXPEDITIONS HOLDING	19,570.00	358,404.62	20.83	407,643.10	0.59	49,238.48

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Consumer Discretionary						
VISTEON CORP	2,848.00	216,154.94	127.17	362,180.16	0.53	146,025.22
CARLOTZ INC	30,405.00	348,283.27	8.92	271,212.60	0.39	(77,070.67)
Total Consumer Discretionary		\$6,173,270.61		\$10,633,704.62	15.44	\$4,460,434.01
Consumer Staples						
SUNOPTA INC	51,316.00	646,182.62	15.54	797,450.64	1.16	151,268.02
PRIMO WATER CORP	23,314.00	346,665.77	14.29	333,157.06	0.48	(13,508.71)
Total Consumer Staples		\$992,848.39		\$1,130,607.70	1.64	\$137,759.31
Financials						
WESTERN ALLIANCE BANCORP	29,171.00	1,809,733.97	91.51	2,669,438.21	3.88	859,704.24
Total Financials		\$1,809,733.97		\$2,669,438.21	3.88	\$859,704.24
Health Care						
BRIDGEBIO PHARMA INC	20,077.00	821,629.54	70.68	1,419,042.36	2.06	597,412.82
REPLIGEN CORP	6,487.00	347,068.56	212.39	1,377,773.93	2.00	1,030,705.37
ARENA PHARMACEUTICALS INC	12,957.00	886,575.65	80.35	1,041,094.95	1.51	154,519.30
HORIZON THERAPEUTICS PLC	10,847.00	263,108.51	90.91	986,100.77	1.43	722,992.26
BIOHAVEN PHARMACEUTICAL HOLD	11,207.00	461,685.52	84.98	952,370.86	1.38	490,685.34

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Health Care						
DERMTECH INC	13,943.00	467,600.99	66.73	930,416.39	1.35	462,815.40
ARGENX SE - ADR	2,751.00	237,626.05	330.68	909,700.68	1.32	672,074.63
NEOGENOMICS INC	17,243.00	219,848.25	50.97	878,875.71	1.28	659,027.46
TG THERAPEUTICS INC	20,029.00	528,423.82	43.77	876,669.33	1.27	348,245.51
SCHRODINGER INC	8,212.00	544,233.76	102.48	841,565.76	1.22	297,332.00
DECIPHERA PHARMACEUTICALS IN	19,006.00	1,053,438.88	43.78	832,082.68	1.21	(221,356.20)
NOVOCURE LTD	5,551.00	234,265.75	149.10	827,654.10	1.20	593,388.35
KRYSTAL BIOTECH INC	10,473.00	365,699.25	78.89	826,214.97	1.20	460,515.72
TURNING POINT THERAPEUTICS I	6,636.00	248,186.87	117.91	782,450.76	1.14	534,263.89
ALX ONCOLOGY HOLDINGS INC	9,311.00	798,153.28	80.29	747,580.19	1.09	(50,573.09)
INSPIRE MEDICAL SYSTEMS INC	2,951.00	181,886.08	232.79	686,963.29	1.00	505,077.21
INTRA-CELLULAR THERAPIES INC	18,593.00	705,432.27	35.43	658,749.99	0.96	(46,682.28)
GUARDANT HEALTH INC	4,369.00	337,121.52	147.18	643,029.42	0.93	305,907.90
AVANTOR INC	21,913.00	535,451.82	27.87	610,715.31	0.89	75,263.49
TALIS BIOMEDICAL CORP	33,653.00	538,448.00	17.26	580,850.78	0.84	42,402.78
KALVISTA PHARMACEUTICALS INC	16,159.00	591,456.78	32.87	531,146.33	0.77	(60,310.45)
AXSOME THERAPEUTICS INC	7,579.00	583,476.40	67.36	510,521.44	0.74	(72,954.96)
BERKELEY LIGHTS INC	7,343.00	520,192.86	61.97	455,045.71	0.66	(65,147.15)
OAK STREET HEALTH INC	8,459.00	369,326.65	53.03	448,580.77	0.65	79,254.12

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Health Care						
INSULET CORP	1,697.00	288,005.70	259.10	439,692.70	0.64	151,687.00
SEER INC	8,997.00	555,206.83	48.23	433,925.31	0.63	(121,281.52)
IOVANCE BIOTHERAPEUTICS INC	11,426.00	308,575.13	37.30	426,189.80	0.62	117,614.67
TWIST BIOSCIENCE CORP	3,072.00	85,669.99	137.64	422,830.08	0.61	337,160.09
EXACT SCIENCES CORP	2,445.00	174,537.98	136.12	332,813.40	0.48	158,275.42
ACUTUS MEDICAL INC	15,756.00	305,883.76	20.76	327,094.56	0.47	21,210.80
Total Health Care		\$13,558,216.45		\$21,737,742.33	31.56	\$8,179,525.88
Industrials						
JETBLUE AIRWAYS CORP	169,903.00	2,433,550.28	18.43	3,131,312.29	4.55	697,762.01
KORNIT DIGITAL LTD	22,821.00	675,402.20	113.13	2,581,739.73	3.75	1,906,337.53
WILLSCOT MOBILE MINI HOLDING	75,937.00	1,535,773.56	27.73	2,105,733.01	3.06	569,959.45
CHART INDUSTRIES INC	13,192.00	1,589,383.34	143.09	1,887,643.28	2.74	298,259.94
AMERESCO INC-CL A	30,032.00	1,017,877.74	57.12	1,715,427.84	2.49	697,550.10
SAIA INC	7,028.00	1,338,963.78	200.53	1,409,324.84	2.05	70,361.06
REGAL BELOIT CORP	6,454.00	879,220.43	136.67	882,068.18	1.28	2,847.75
TFI INTERNATIONAL INC	12,057.00	500,573.22	69.82	841,819.74	1.22	341,246.52
TREX COMPANY INC	5,639.00	252,973.18	91.64	516,757.96	0.75	263,784.78
ENERGY RECOVERY INC	23,864.00	358,908.15	17.60	420,006.40	0.61	61,098.25

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Total Industrials		\$10,582,625.88		\$15,491,833.27	22.49	\$4,909,207.39
Information Technology						
MONOLITHIC POWER SYSTEMS INC	6,675.00	919,567.17	374.52	2,499,921.00	3.63	1,580,353.83
LATTICE SEMICONDUCTOR CORP	51,295.00	1,004,546.78	48.12	2,468,315.40	3.58	1,463,768.62
ELASTIC NV	11,578.00	919,148.10	134.39	1,555,967.42	2.26	636,819.32
FIVE9 INC	5,924.00	542,311.71	185.24	1,097,361.76	1.59	555,050.05
COUPA SOFTWARE INC	3,149.00	578,700.51	346.26	1,090,372.74	1.58	511,672.23
MEDALLIA INC	23,140.00	664,804.16	40.37	934,161.80	1.36	269,357.64
SMARTSHEET INC-CLASS A	13,328.00	1,006,201.25	69.25	922,964.00	1.34	(83,237.25)
EVERBRIDGE INC	4,541.00	485,904.70	153.23	695,817.43	1.01	209,912.73
ADVANCED ENERGY INDUSTRIES	4,284.00	493,901.41	104.45	447,463.80	0.65	(46,437.61)
908 DEVICES INC	6,997.00	297,497.77	53.19	372,170.43	0.54	74,672.66
Total Information Technology		\$6,912,583.56		\$12,084,515.78	17.54	\$5,171,932.22
Materials						
ELEMENT SOLUTIONS INC	80,248.00	1,453,422.61	18.05	1,448,476.40	2.10	(4,946.21)
Total Materials		\$1,453,422.61		\$1,448,476.40	2.10	\$(4,946.21)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Real Estate						
INNOVATIVE INDUSTRIAL PROPER	7,198.00	866,547.16	195.00	1,403,610.00	2.04	537,062.84
REALOGY HOLDINGS CORP	81,724.00	822,124.09	15.08	1,232,397.92	1.79	410,273.83
Total Real Estate		\$1,688,671.25		\$2,636,007.92	3.83	\$947,336.67
Total Equity		\$43,346,250.86		\$68,520,697.37	99.48	\$25,174,446.51
Total Portfolio		\$43,703,239.42		\$68,877,685.93	100.00	\$25,174,446.51

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Buy						
INNOVATIVE INDUSTRIAL PROPER	45781V101	2/2/2021	2/4/2021	1,369.00	200.31	(274,278.05)
ELEMENT SOLUTIONS INC	28618M106	2/3/2021	2/5/2021	855.00	17.82	(15,266.03)
ELEMENT SOLUTIONS INC	28618M106	2/3/2021	2/5/2021	7,679.00	17.81	(137,084.74)
ON24 INC	68339B104	2/3/2021	2/5/2021	105.00	50.00	(5,250.00)
SAIA INC	78709Y105	2/3/2021	2/5/2021	2,178.00	181.49	(395,361.45)
SMARTSHEET INC-CLASS A	83200N103	2/3/2021	2/5/2021	3,785.00	72.35	(273,986.69)
STEVEN MADDEN LTD	556269108	2/4/2021	2/8/2021	1,307.00	35.22	(46,088.22)
FATE THERAPEUTICS INC	31189P102	2/5/2021	2/9/2021	2,613.00	104.51	(273,183.40)
KB HOME	48666K109	2/5/2021	2/9/2021	4,568.00	42.03	(192,188.55)
LINDBLAD EXPEDITIONS HOLDING	535219109	2/5/2021	2/9/2021	3,591.00	17.63	(63,447.22)
STEVEN MADDEN LTD	556269108	2/5/2021	2/9/2021	2,167.00	36.13	(78,370.86)
WESTERN ALLIANCE BANCORP	957638109	2/5/2021	2/9/2021	1,830.00	73.85	(135,226.75)
WILLSCOT MOBILE MINI HOLDING	971378104	2/5/2021	2/9/2021	8,949.00	25.59	(229,388.82)
INTRA-CELLULAR THERAPIES INC	46116X101	2/8/2021	2/10/2021	4,403.00	37.19	(163,917.53)
LINDBLAD EXPEDITIONS HOLDING	535219109	2/8/2021	2/10/2021	3,428.00	18.68	(64,175.59)
STEVEN MADDEN LTD	556269108	2/8/2021	2/10/2021	882.00	36.79	(32,479.83)
INTRA-CELLULAR THERAPIES INC	46116X101	2/9/2021	2/11/2021	3,658.00	38.34	(140,394.04)
INTRA-CELLULAR THERAPIES INC	46116X101	2/9/2021	2/11/2021	10,532.00	38.05	(401,120.70)

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
LINDBLAD EXPEDITIONS HOLDING	535219109	2/9/2021	2/11/2021	2,517.00	18.35	(46,277.31)
CHART INDUSTRIES INC	16115Q308	2/10/2021	2/12/2021	1,347.00	149.58	(201,532.75)
CHART INDUSTRIES INC	16115Q308	2/10/2021	2/12/2021	1,326.00	150.70	(199,874.61)
ELEMENT SOLUTIONS INC	28618M106	2/10/2021	2/12/2021	1,406.00	17.51	(24,668.27)
ELEMENT SOLUTIONS INC	28618M106	2/10/2021	2/12/2021	5,984.00	17.40	(104,383.10)
KALVISTA PHARMACEUTICALS INC	483497103	2/10/2021	2/12/2021	6,223.00	36.64	(228,268.35)
KALVISTA PHARMACEUTICALS INC	483497103	2/10/2021	2/12/2021	2,836.00	37.81	(107,346.57)
KALVISTA PHARMACEUTICALS INC	483497103	2/10/2021	2/12/2021	665.00	36.32	(24,181.86)
LINDBLAD EXPEDITIONS HOLDING	535219109	2/10/2021	2/12/2021	1,807.00	18.43	(33,377.64)
SMARTSHEET INC-CLASS A	83200N103	2/10/2021	2/12/2021	842.00	78.92	(66,480.11)
SMARTSHEET INC-CLASS A	83200N103	2/10/2021	2/12/2021	1,904.00	77.61	(147,849.98)
ENERGY RECOVERY INC	29270J100	2/11/2021	2/16/2021	762.00	14.75	(11,269.60)
ENERGY RECOVERY INC	29270J100	2/11/2021	2/16/2021	2,509.00	14.76	(37,120.66)
KALVISTA PHARMACEUTICALS INC	483497103	2/11/2021	2/16/2021	6,435.00	36.00	(231,660.00)
LINDBLAD EXPEDITIONS HOLDING	535219109	2/11/2021	2/16/2021	6,135.00	18.10	(111,286.45)
ELEMENT SOLUTIONS INC	28618M106	2/12/2021	2/17/2021	8,325.00	17.84	(148,816.04)
ENERGY RECOVERY INC	29270J100	2/12/2021	2/17/2021	6,005.00	14.98	(90,165.68)
LINDBLAD EXPEDITIONS HOLDING	535219109	2/12/2021	2/17/2021	366.00	17.74	(6,505.65)
SMARTSHEET INC-CLASS A	83200N103	2/12/2021	2/17/2021	2,181.00	83.92	(183,121.99)
TALIS BIOMEDICAL CORP	87424L108	2/12/2021	2/17/2021	33,653.00	16.00	(538,448.00)

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
ENERGY RECOVERY INC	29270J100	2/16/2021	2/18/2021	4,808.00	15.31	(73,820.59)
LINDBLAD EXPEDITIONS HOLDING	535219109	2/16/2021	2/18/2021	1,726.00	19.27	(33,334.76)
CHEGG INC	163092109	2/17/2021	2/19/2021	1,386.00	103.36	(143,314.06)
ENERGY RECOVERY INC	29270J100	2/17/2021	2/19/2021	5,404.00	14.95	(81,021.09)
ENERGY RECOVERY INC	29270J100	2/18/2021	2/22/2021	2,553.00	14.73	(37,719.81)
ENERGY RECOVERY INC	29270J100	2/19/2021	2/23/2021	1,823.00	15.20	(27,790.72)
REGAL BELOIT CORP	758750103	2/19/2021	2/23/2021	1,929.00	133.30	(257,216.14)
REGAL BELOIT CORP	758750103	2/22/2021	2/24/2021	2,116.00	136.56	(289,044.75)
EVERBRIDGE INC	29978A104	2/23/2021	2/25/2021	1,089.00	155.75	(169,651.17)
REGAL BELOIT CORP	758750103	2/23/2021	2/25/2021	1,198.00	139.14	(166,734.29)
REGAL BELOIT CORP	758750103	2/26/2021	3/2/2021	1,211.00	137.22	(166,225.25)
Total Buy						\$(6,909,715.72)

Sell

FUBOTV INC	35953D104	2/1/2021	2/3/2021	(4,078.00)	46.47	189,330.83
FUBOTV INC	35953D104	2/1/2021	2/3/2021	(4,024.00)	48.73	195,930.27
IOVANCE BIOTHERAPEUTICS INC	462260100	2/1/2021	2/3/2021	(1,371.00)	44.22	60,573.42
ALTERYX INC - CLASS A	02156B103	2/2/2021	2/4/2021	(1,262.00)	128.40	161,983.08
ON24 INC	68339B104	2/3/2021	2/5/2021	(105.00)	73.25	7,687.31
SILVERGATE CAPITAL CORP-CL A	82837P408	2/3/2021	2/5/2021	(558.00)	110.95	61,887.25

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
EXTENDED STAY AMERICA INC	30224P200	2/5/2021	2/9/2021	(10,148.00)	14.96	151,413.93
AMERESCO INC-CL A	02361E108	2/8/2021	2/10/2021	(2,481.00)	68.44	169,702.36
EXTENDED STAY AMERICA INC	30224P200	2/8/2021	2/10/2021	(9,462.00)	15.24	143,800.29
INNOVATIVE INDUSTRIAL PROPER	45781V101	2/8/2021	2/10/2021	(961.00)	212.15	203,835.89
AMERESCO INC-CL A	02361E108	2/9/2021	2/11/2021	(747.00)	68.52	51,149.92
EXTENDED STAY AMERICA INC	30224P200	2/9/2021	2/11/2021	(5,095.00)	14.97	76,076.85
EXTENDED STAY AMERICA INC	30224P200	2/9/2021	2/11/2021	(728.00)	14.85	10,777.80
ALTERYX INC - CLASS A	02156B103	2/10/2021	2/12/2021	(1,493.00)	118.58	176,977.36
CACI INTERNATIONAL INC -CL A	127190304	2/10/2021	2/12/2021	(1,491.00)	239.50	357,027.72
EXTENDED STAY AMERICA INC	30224P200	2/10/2021	2/12/2021	(10,917.00)	14.91	162,342.02
EXTENDED STAY AMERICA INC	30224P200	2/10/2021	2/12/2021	(1,456.00)	14.91	21,642.96
REALREAL INC./THE	88339P101	2/10/2021	2/12/2021	(1,431.00)	25.40	36,289.36
REALREAL INC./THE	88339P101	2/10/2021	2/12/2021	(2,247.00)	25.26	56,656.86
VISTEON CORP	92839U206	2/10/2021	2/12/2021	(3,644.00)	136.25	496,340.09
ALTERYX INC - CLASS A	02156B103	2/11/2021	2/16/2021	(1,342.00)	115.85	155,418.68
CACI INTERNATIONAL INC -CL A	127190304	2/11/2021	2/16/2021	(671.00)	238.97	160,321.11
SILVERGATE CAPITAL CORP-CL A	82837P408	2/11/2021	2/16/2021	(442.00)	149.22	65,938.18
SILVERGATE CAPITAL CORP-CL A	82837P408	2/11/2021	2/16/2021	(435.00)	148.68	64,655.75
SILVERGATE CAPITAL CORP-CL A	82837P408	2/11/2021	2/16/2021	(713.00)	151.74	108,161.64
SILVERGATE CAPITAL CORP-CL A	82837P408	2/11/2021	2/16/2021	(1,419.00)	149.83	212,552.56

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
CACI INTERNATIONAL INC -CL A	127190304	2/12/2021	2/17/2021	(959.00)	239.04	229,195.93
CACI INTERNATIONAL INC -CL A	127190304	2/12/2021	2/17/2021	(1,240.00)	238.43	295,590.87
EXACT SCIENCES CORP	30063P105	2/12/2021	2/17/2021	(686.00)	145.64	99,878.98
KORNIT DIGITAL LTD	M6372Q113	2/17/2021	2/19/2021	(1,020.00)	112.67	114,879.45
EXACT SCIENCES CORP	30063P105	2/19/2021	2/23/2021	(1,342.00)	145.54	195,258.02
KORNIT DIGITAL LTD	M6372Q113	2/19/2021	2/23/2021	(653.00)	120.50	78,660.99
REALREAL INC/THE	88339P101	2/19/2021	2/23/2021	(3,034.00)	29.27	88,675.49
VISTEON CORP	92839U206	2/19/2021	2/23/2021	(2,333.00)	123.43	287,860.64
KORNIT DIGITAL LTD	M6372Q113	2/22/2021	2/24/2021	(1,202.00)	120.48	144,761.95
CARLOTZ INC	142552108	2/23/2021	2/25/2021	(26,786.00)	8.57	228,874.49
FATE THERAPEUTICS INC	31189P102	2/23/2021	2/25/2021	(3,275.00)	90.32	295,676.63
WESTERN ALLIANCE BANCORP	957638109	2/24/2021	2/26/2021	(806.00)	95.39	76,849.37
Total Sell						\$5,694,636.30

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
Purchases							
EQUITY							
INNOVATIVE INDUSTRIAL PROPER	45781V101	02/02/2021	1,369	274,223.29	0.040	54.76	JEFFERIES LLC
ELEMENT SOLUTIONS INC	28618M106	02/03/2021	855	15,231.83	0.040	34.20	Liquidnet
ELEMENT SOLUTIONS INC	28618M106	02/03/2021	7,679	136,777.58	0.040	307.16	GOLDMAN SACHS
ON24 INC	68339B104	02/03/2021	105	5,250.00	0.000	0.00	GOLDMAN SACHS
SAIA INC	78709Y105	02/03/2021	2,178	395,274.33	0.040	87.12	STEPHENS, INC.
SMARTSHEET INC-CLASS A	83200N103	02/03/2021	3,785	273,835.29	0.040	151.40	GOLDMAN SACHS
STEVEN MADDEN LTD	556269108	02/04/2021	1,307	46,035.94	0.040	52.28	ITG Algo Desk
FATE THERAPEUTICS INC	31189P102	02/05/2021	2,613	273,078.88	0.040	104.52	ITG Algo Desk
KB HOME	48666K109	02/05/2021	4,568	192,005.83	0.040	182.72	Instinet Inc
LINDBLAD EXPEDITIONS HOLDING	535219109	02/05/2021	3,591	63,303.58	0.040	143.64	STIFEL NICOLAUS
STEVEN MADDEN LTD	556269108	02/05/2021	2,167	78,284.18	0.040	86.68	ITG Algo Desk
WESTERN ALLIANCE BANCORP	957638109	02/05/2021	1,830	135,153.55	0.040	73.20	JANNEY CAPITAL MARKETS
WILLSCOT MOBILE MINI HOLDING	971378104	02/05/2021	8,949	229,030.86	0.040	357.96	ITG Algo Desk
INTRA-CELLULAR THERAPIES INC	46116X101	02/08/2021	4,403	163,741.41	0.040	176.12	Leerink Swann
LINDBLAD EXPEDITIONS HOLDING	535219109	02/08/2021	3,428	64,038.47	0.040	137.12	STIFEL NICOLAUS
STEVEN MADDEN LTD	556269108	02/08/2021	882	32,444.55	0.040	35.28	ITG Algo Desk
INTRA-CELLULAR THERAPIES INC	46116X101	02/09/2021	3,658	140,247.72	0.040	146.32	Liquidnet
INTRA-CELLULAR THERAPIES INC	46116X101	02/09/2021	10,532	400,699.42	0.040	421.28	Leerink Swann
LINDBLAD EXPEDITIONS HOLDING	535219109	02/09/2021	2,517	46,176.63	0.040	100.68	STIFEL NICOLAUS

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
CHART INDUSTRIES INC	16115Q308	02/10/2021	1,326	199,821.57	0.040	53.04	Liquidnet
CHART INDUSTRIES INC	16115Q308	02/10/2021	1,347	201,478.87	0.040	53.88	ISI Group
ELEMENT SOLUTIONS INC	28618M106	02/10/2021	1,406	24,612.03	0.040	56.24	Liquidnet
ELEMENT SOLUTIONS INC	28618M106	02/10/2021	5,984	104,143.74	0.040	239.36	GOLDMAN SACHS
KALVISTA PHARMACEUTICALS INC	483497103	02/10/2021	665	24,155.26	0.040	26.60	Liquidnet
KALVISTA PHARMACEUTICALS INC	483497103	02/10/2021	2,836	107,233.13	0.040	113.44	JEFFERIES LLC
KALVISTA PHARMACEUTICALS INC	483497103	02/10/2021	6,223	228,019.43	0.040	248.92	ITG Algo Desk
LINDBLAD EXPEDITIONS HOLDING	535219109	02/10/2021	1,807	33,305.36	0.040	72.28	STIFEL NICOLAUS
SMARTSHEET INC-CLASS A	83200N103	02/10/2021	842	66,446.43	0.040	33.68	Liquidnet
SMARTSHEET INC-CLASS A	83200N103	02/10/2021	1,904	147,773.82	0.040	76.16	GOLDMAN SACHS
ENERGY RECOVERY INC	29270J100	02/11/2021	762	11,239.12	0.040	30.48	RAYMOND JAMES AND ASSOCIATES; INC.
ENERGY RECOVERY INC	29270J100	02/11/2021	2,509	37,020.30	0.040	100.36	Liquidnet
KALVISTA PHARMACEUTICALS INC	483497103	02/11/2021	6,435	231,660.00	0.000	0.00	JEFFERIES LLC
LINDBLAD EXPEDITIONS HOLDING	535219109	02/11/2021	6,135	111,041.05	0.040	245.40	STIFEL NICOLAUS
ELEMENT SOLUTIONS INC	28618M106	02/12/2021	8,325	148,483.04	0.040	333.00	GOLDMAN SACHS
ENERGY RECOVERY INC	29270J100	02/12/2021	6,005	89,925.48	0.040	240.20	RAYMOND JAMES AND ASSOCIATES; INC.
LINDBLAD EXPEDITIONS HOLDING	535219109	02/12/2021	366	6,491.01	0.040	14.64	STIFEL NICOLAUS
SMARTSHEET INC-CLASS A	83200N103	02/12/2021	2,181	183,034.75	0.040	87.24	Piper Jaffray & Co.
TALIS BIOMEDICAL CORP	87424L108	02/12/2021	33,653	538,448.00	0.000	0.00	J.P. MORGAN
ENERGY RECOVERY INC	29270J100	02/16/2021	4,808	73,628.27	0.040	192.32	RAYMOND JAMES AND ASSOCIATES; INC.
LINDBLAD EXPEDITIONS HOLDING	535219109	02/16/2021	1,726	33,265.72	0.040	69.04	STIFEL NICOLAUS

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
CHEGG INC	163092109	02/17/2021	1,386	143,258.62	0.040	55.44	ITG Algo Desk
ENERGY RECOVERY INC	29270J100	02/17/2021	5,404	80,804.93	0.040	216.16	RAYMOND JAMES AND ASSOCIATES, INC.
ENERGY RECOVERY INC	29270J100	02/18/2021	2,553	37,617.69	0.040	102.12	RAYMOND JAMES AND ASSOCIATES, INC.
ENERGY RECOVERY INC	29270J100	02/19/2021	1,823	27,717.80	0.040	72.92	RAYMOND JAMES AND ASSOCIATES, INC.
REGAL BELOIT CORP	758750103	02/19/2021	1,929	257,138.98	0.040	77.16	ROBERT W. BAIRD & CO.
REGAL BELOIT CORP	758750103	02/22/2021	2,116	288,960.11	0.040	84.64	ROBERT W. BAIRD & CO.
EVERBRIDGE INC	29978A104	02/23/2021	1,089	169,607.61	0.040	43.56	Suntrust Robinson Humphrey
REGAL BELOIT CORP	758750103	02/23/2021	1,198	166,686.37	0.040	47.92	ROBERT W. BAIRD & CO.
REGAL BELOIT CORP	758750103	02/26/2021	1,211	166,176.81	0.040	48.44	ROBERT W. BAIRD & CO.
Total EQUITY				\$6,904,028.62		\$5,687.08	
Average Commission Per EQUITY						0.031	
Total Purchases				\$6,904,028.62		\$5,687.08	
Average Commission Per Purchases						0.031	

Sales

EQUITY							
FUBOTV INC	35953D104	02/01/2021	4,078	189,498.14	0.040	163.12	NEEDHAM AND CO.
FUBOTV INC	35953D104	02/01/2021	4,024	196,095.56	0.040	160.96	ITG Algo Desk
IOVANCE BIOTHERAPEUTICS INC	462260100	02/01/2021	1,371	60,629.60	0.040	54.84	COWEN AND CO.
ALTERYX INC - CLASS A	02156B103	02/02/2021	1,262	162,037.14	0.040	50.48	STIFEL NICOLAUS
ON24 INC	68339B104	02/03/2021	105	7,691.68	0.040	4.20	GOLDMAN SACHS

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
SILVERGATE CAPITAL CORP-CL A	82837P408	02/03/2021	558	61,910.94	0.040	22.32	Compass Capital
EXTENDED STAY AMERICA INC	30224P200	02/05/2021	10,148	151,823.21	0.040	405.92	GOLDMAN SACHS
AMERESCO INC-CL A	02361E108	02/08/2021	2,481	169,805.35	0.040	99.24	RAYMOND JAMES AND ASSOCIATES; INC.
EXTENDED STAY AMERICA INC	30224P200	02/08/2021	9,462	144,181.96	0.040	378.48	GOLDMAN SACHS
INNOVATIVE INDUSTRIAL PROPER	45781V101	02/08/2021	961	203,878.84	0.040	38.44	Compass Capital
AMERESCO INC-CL A	02361E108	02/09/2021	747	51,180.93	0.040	29.88	RAYMOND JAMES AND ASSOCIATES; INC.
EXTENDED STAY AMERICA INC	30224P200	02/09/2021	5,095	76,282.34	0.040	203.80	GOLDMAN SACHS
EXTENDED STAY AMERICA INC	30224P200	02/09/2021	728	10,807.16	0.040	29.12	Liquidnet
ALTERYX INC - CLASS A	02156B103	02/10/2021	1,493	177,040.99	0.040	59.72	GOLDMAN SACHS
CACI INTERNATIONAL INC -CL A	127190304	02/10/2021	1,491	357,095.25	0.040	59.64	STIFEL NICOLAUS
EXTENDED STAY AMERICA INC	30224P200	02/10/2021	10,917	162,782.30	0.040	436.68	GOLDMAN SACHS
EXTENDED STAY AMERICA INC	30224P200	02/10/2021	1,456	21,701.68	0.040	58.24	Liquidnet
REALREAL INC/THE	88339P101	02/10/2021	2,247	56,747.99	0.040	89.88	Liquidnet
REALREAL INC/THE	88339P101	02/10/2021	1,431	36,347.40	0.040	57.24	Piper Jaffray & Co.
VISTEON CORP	92839U206	02/10/2021	3,644	496,496.82	0.040	145.76	ROBERT W. BAIRD & CO.
ALTERYX INC - CLASS A	02156B103	02/11/2021	1,342	155,475.80	0.040	53.68	Piper Jaffray & Co.
CACI INTERNATIONAL INC -CL A	127190304	02/11/2021	671	160,351.49	0.040	26.84	COWEN AND CO.
SILVERGATE CAPITAL CORP-CL A	82837P408	02/11/2021	1,419	212,614.02	0.040	56.76	Compass Capital
SILVERGATE CAPITAL CORP-CL A	82837P408	02/11/2021	713	108,192.55	0.040	28.52	Compass Capital
SILVERGATE CAPITAL CORP-CL A	82837P408	02/11/2021	442	65,957.32	0.040	17.68	Compass Capital
SILVERGATE CAPITAL CORP-CL A	82837P408	02/11/2021	435	64,674.58	0.040	17.40	Compass Capital
CACI INTERNATIONAL INC -CL A	127190304	02/12/2021	1,240	295,647.00	0.040	49.60	Liquidnet

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
CACI INTERNATIONAL INC -CL A	127190304	02/12/2021	959	229,239.36	0.040	38.36	COWEN AND CO.
EXACT SCIENCES CORP	30063P105	02/12/2021	686	99,908.63	0.040	27.44	ISI Group
KORNIT DIGITAL LTD	M6372Q113	02/17/2021	1,020	114,922.79	0.040	40.80	William Blair & Company LLC
EXACT SCIENCES CORP	30063P105	02/19/2021	1,342	195,316.02	0.040	53.68	ISI Group
KORNIT DIGITAL LTD	M6372Q113	02/19/2021	653	78,688.85	0.040	26.12	William Blair & Company LLC
REALREAL INC/THE	88339P101	02/19/2021	3,034	88,798.81	0.040	121.36	Piper Jaffray & Co.
VISTEON CORP	92839U206	02/19/2021	2,333	287,960.32	0.040	93.32	ROBERT W. BAIRD & CO.
KORNIT DIGITAL LTD	M6372Q113	02/22/2021	1,202	144,813.23	0.040	48.08	William Blair & Company LLC
CARLOTZ INC	142552108	02/23/2021	26,786	229,679.24	0.030	803.58	GOLDMAN SACHS
FATE THERAPEUTICS INC	31189P102	02/23/2021	3,275	295,809.14	0.040	131.00	JEFFERIES LLC
WESTERN ALLIANCE BANCORP	957638109	02/24/2021	806	76,882.00	0.040	32.24	JANNEY CAPITAL MARKETS
Total EQUITY				\$5,698,966.38		\$4,214.42	
Average Commission Per EQUITY						0.038	
Total Sales				\$5,698,966.38		\$4,214.42	
Average Commission Per Sales						0.038	
Total All Trades				\$12,602,995.01		\$9,901.50	
Average Commission Per Trade						0.034	

Contributions and Withdrawals Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Withdrawal						
U.S. DOLLARS	USD	2/9/2021	2/9/2021			(33.62)
U.S. DOLLARS	USD	2/18/2021	2/18/2021			(1,799.40)
U.S. DOLLARS	USD	2/23/2021	2/23/2021			(618.35)
Total Withdrawal						\$(2,451.37)

Income and Expense Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Dividend						
UTZ BRANDS INC	918090101	12/18/2020	1/11/2021			(218.46)
UTZ BRANDS INC	918090101	12/18/2020	1/11/2021			252.08
KB HOME	48666K109	2/3/2021	2/18/2021			1,799.40
CLARUS CORP	18270P109	2/5/2021	2/19/2021			618.35
Total Dividend						\$2,451.37
Interest						
U.S. DOLLARS	USD	1/29/2021	2/1/2021			227.90
U.S. DOLLARS	USD	2/26/2021	2/26/2021			(0.22)
Total Interest						\$227.68