

NICHOLAS INVESTMENT PARTNERS

INSTITUTIONAL PORTFOLIO MANAGEMENT

For Period Ending October 31, 2019

LEIA/NEW YORK STATE COMMON RETIREMENT FUND (NYSCRF)



Pursuant to recent amendments to Rule 206(4) under the Investment Advisers Act of 1940, Nicholas Investment Partners encourages its clients to compare the information set forth in this statement with the statements received directly from your custodian to ensure accuracy of all account transactions.

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Summary of Investment Performance

October 01, 2019 to October 31, 2019

Beginning Market Value	33,191,273.50
Beginning Accrued Income	12,424.25
Beginning Portfolio Value	33,203,697.75
Contributions	0.00
Withdrawals	(14,589.89)
Gain (Loss)	991,220.54
Interest and Dividends	17,251.39
Net Accrued Income	(9,896.27)
Ending Market Value	34,185,155.54
Ending Accrued Income	2,527.98
Ending Portfolio Value	34,187,683.52
Total Portfolio	3.01%
Total Net Of Fees	2.98%
Russell 2000 Growth TR	2.85%
Total Investment Return	\$998,575.66

Selected Period Performance

Sector	Market Value	1 Month	QTD	3 Month	YTD	1 Year	3 Year	ITD
Total Portfolio	\$34,187,684	3.01%	3.01%	-5.31%	26.02%	6.66%	15.92%	6.99%
Total Portfolio Net of Fees	\$34,187,684	2.98%	2.98%	-5.39%	25.66%	6.30%	15.50%	6.59%
Russell 2000 Growth TR		2.85%	2.85%	-2.40%	18.62%	6.40%	13.22%	7.11%

Market values include accrued income. All values in USD terms.
 All rates of return are gross of investment management fees unless otherwise noted.
 All returns greater than 12 months are annualized.
 Performance inception date for the account is 03/16/2015.

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Cash						
U.S. DOLLARS	1,293,582.84	1,293,582.84	1.00	1,293,582.84	3.78	-
Total Cash		\$1,293,582.84		\$1,293,582.84	3.78	-
Equity						
Communication Services						
CARDLYTICS INC	13,013.00	356,707.61	41.91	545,374.83	1.60	188,667.22
Total Communication Services		\$356,707.61		\$545,374.83	1.60	\$188,667.22
Consumer Discretionary						
CALLAWAY GOLF COMPANY	48,702.00	750,879.47	20.22	984,754.44	2.88	233,874.97
PAPA JOHN'S INTL INC	11,859.00	587,869.40	58.55	694,344.45	2.03	106,475.05
FRONTDOOR INC	13,417.00	574,895.86	48.23	647,101.91	1.89	72,206.05
STONERIDGE INC	18,942.00	591,784.25	30.88	584,928.96	1.71	(6,855.29)
VISTEON CORP	5,410.00	469,749.32	93.02	503,238.20	1.47	33,488.88
FIVE BELOW	3,266.00	387,364.54	125.11	408,609.26	1.20	21,244.72
PLANET FITNESS INC - CL A	5,777.00	356,031.07	63.66	367,763.82	1.08	11,732.75
CLARUS CORP	28,059.00	331,168.23	12.75	357,752.25	1.05	26,584.02
CHEGG INC	11,191.00	427,762.59	30.66	343,116.06	1.00	(84,646.53)
KONTOOR BRANDS INC	8,786.00	311,860.62	38.00	333,868.00	0.98	22,007.38

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Consumer Discretionary						
WENDY'S CO/THE	15,672.00	243,753.24	21.18	331,932.96	0.97	88,179.72
BOOT BARN HOLDINGS INC	9,162.00	339,918.91	35.05	321,128.10	0.94	(18,790.81)
DENNY'S CORP	11,311.00	204,873.42	20.12	227,577.32	0.67	22,703.90
Total Consumer Discretionary		\$5,577,910.92		\$6,106,115.73	17.86	\$528,204.81
Consumer Staples						
SIMPLY GOOD FOODS CO/THE	41,123.00	786,408.73	24.54	1,009,158.42	2.95	222,749.69
FRESHPET INC	17,360.00	519,311.09	52.26	907,233.60	2.65	387,922.51
NOMAD FOODS LTD	26,768.00	556,264.06	19.51	522,243.68	1.53	(34,020.38)
CHEFS' WAREHOUSE INC/THE	11,253.00	386,359.36	33.13	372,755.64	1.09	(13,603.72)
INTER PARFUMS INC	4,774.00	342,121.11	77.43	369,650.82	1.08	27,529.71
GROCERY OUTLET HOLDING CORP	9,488.00	332,982.36	31.90	302,667.20	0.89	(30,315.16)
HOSTESS BRANDS INC	5,752.00	80,600.67	12.78	73,510.56	0.22	(7,090.11)
Total Consumer Staples		\$3,004,047.38		\$3,557,219.92	10.41	\$553,172.54
Financials						
KEMPER CORP	12,130.00	897,764.17	71.88	871,904.40	2.55	(25,859.77)
ESSENT GROUP LTD	8,755.00	418,011.73	52.09	456,047.95	1.33	38,036.22
EHEALTH INC	5,375.00	385,499.91	69.04	371,090.00	1.09	(14,409.91)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Financials						
CAROLINA FINANCIAL CORP	9,420.00	341,304.04	37.95	357,489.00	1.05	16,184.96
FIRST FOUNDATION INC	8,165.00	127,387.28	16.01	130,721.65	0.38	3,334.37
Total Financials		\$2,169,967.13		\$2,187,253.00	6.40	\$17,285.87
Health Care						
REATA PHARMACEUTICALS INC-A	3,662.00	325,953.98	206.08	754,664.96	2.21	428,710.98
NOVOCURE LTD	7,887.00	249,949.63	71.64	565,024.68	1.65	315,075.05
REPLIGEN CORP	6,487.00	347,068.56	79.49	515,651.63	1.51	168,583.07
MEDICINES COMPANY	9,139.00	339,140.12	52.49	479,706.11	1.40	140,565.99
TELADOC HEALTH INC	5,854.00	120,716.70	76.60	448,416.40	1.31	327,699.70
BIOHAVEN PHARMACEUTICAL HOLD	9,102.00	349,921.32	45.92	417,963.84	1.22	68,042.52
NEOGENOMICS INC	17,243.00	219,848.25	22.93	395,381.99	1.16	175,533.74
AMARIN CORP PLC -ADR	23,203.00	434,184.92	16.42	380,993.26	1.11	(53,191.66)
UNIQUE NV	7,528.00	285,018.72	50.04	376,701.12	1.10	91,682.40
ARGENX SE - ADR	2,794.00	188,541.92	122.46	342,153.24	1.00	153,611.32
HORIZON THERAPEUTICS PLC	11,797.00	286,870.10	28.91	341,051.27	1.00	54,181.17
MYOKARDIA INC	5,925.00	342,394.17	57.33	339,680.25	0.99	(2,713.92)
PHREESIA INC	11,211.00	286,981.47	29.63	332,181.93	0.97	45,200.46
IMMUNOMEDICS INC	20,648.00	323,211.61	16.00	330,368.00	0.97	7,156.39

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Health Care						
EXACT SCIENCES CORP	3,581.00	153,744.91	87.00	311,547.00	0.91	157,802.09
SAGE THERAPEUTICS INC	1,981.00	279,344.25	135.65	268,722.65	0.79	(10,621.60)
INSPIRE MEDICAL SYSTEMS INC	4,386.00	260,742.91	60.98	267,458.28	0.78	6,715.37
GLOBAL BLOOD THERAPEUTICS IN	5,427.00	239,072.61	47.95	260,224.65	0.76	21,152.04
KRYSTAL BIOTECH INC	6,010.00	144,877.88	41.07	246,830.70	0.72	101,952.82
SILK ROAD MEDICAL INC	7,202.00	299,694.44	33.12	238,530.24	0.70	(61,164.20)
GUARDANT HEALTH INC	3,344.00	234,464.39	69.50	232,408.00	0.68	(2,056.39)
GW PHARMACEUTICALS -ADR	1,730.00	222,535.09	133.82	231,508.60	0.68	8,973.51
TURNING POINT THERAPEUTICS I	5,476.00	183,078.74	38.36	210,059.36	0.61	26,980.62
SAREPTA THERAPEUTICS INC	2,043.00	232,708.20	83.06	169,691.58	0.50	(63,016.62)
PERSONALIS INC	14,534.00	247,078.00	10.36	150,572.24	0.44	(96,505.76)
Total Health Care		\$6,597,142.89		\$8,607,491.98	25.18	\$2,010,349.09

Industrials

SKYWEST INC	13,047.00	762,550.96	59.55	776,948.85	2.27	14,397.89
KORNIT DIGITAL LTD	22,549.00	673,677.26	33.99	766,440.51	2.24	92,763.25
SAIA INC	7,515.00	727,077.74	89.20	670,338.00	1.96	(56,739.74)
TREX COMPANY INC	7,433.00	661,031.85	87.89	653,286.37	1.91	(7,745.48)
COPA HOLDINGS SA-CLASS A	3,316.00	341,406.31	101.74	337,369.84	0.99	(4,036.47)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Industrials						
CHART INDUSTRIES INC	5,562.00	411,414.12	58.63	326,100.06	0.95	(85,314.06)
Total Industrials		\$3,577,158.24		\$3,530,483.63	10.33	\$(46,674.61)
Information Technology						
CACI INTERNATIONAL INC -CL A	5,222.00	944,440.19	223.75	1,168,422.50	3.42	223,982.31
MONOLITHIC POWER SYSTEMS INC	6,990.00	936,880.42	149.92	1,047,940.80	3.07	111,060.38
LATTICE SEMICONDUCTOR CORP	34,800.00	695,987.68	19.59	681,732.00	1.99	(14,255.68)
MANTECH INTERNATIONAL CORP-A	5,855.00	337,058.38	79.18	463,598.90	1.36	126,540.52
EVERBRIDGE INC	5,862.00	347,287.59	69.51	407,467.62	1.19	60,180.03
AVALARA INC	5,101.00	301,661.02	71.00	362,171.00	1.06	60,509.98
ASPEN TECHNOLOGY INC	2,416.00	186,798.22	115.11	278,105.76	0.81	91,307.54
RAPID7 INC	5,490.00	181,872.31	50.09	274,994.10	0.80	93,121.79
SMARTSHEET INC-CLASS A	6,860.00	296,235.58	39.40	270,284.00	0.79	(25,951.58)
ALTERYX INC - CLASS A	2,664.00	183,890.21	91.50	243,756.00	0.71	59,865.79
COUPA SOFTWARE INC	1,332.00	155,399.38	137.49	183,136.68	0.54	27,737.30
WORKIVA INC	4,302.00	166,168.21	41.67	179,264.34	0.52	13,096.13
ELASTIC NV	2,417.00	186,485.32	72.01	174,048.17	0.51	(12,437.15)

Portfolio Holdings

Description	Amount	Total Cost	Current Price	Market Value	% of Total	Unrealized Gain/Loss
Total Information Technology						
		\$4,920,164.51		\$5,734,921.87	16.78	\$814,757.36
Materials						
GRAPHIC PACKAGING HOLDING CO	21,665.00	337,931.96	15.66	339,273.90	0.99	1,341.94
Total Materials		\$337,931.96		\$339,273.90	0.99	\$1,341.94
Real Estate						
AMERICOLD REALTY TRUST	24,793.00	753,743.94	40.09	993,951.37	2.91	240,207.43
TERRENO REALTY CORP	15,098.00	639,358.28	56.41	851,678.18	2.49	212,319.90
NEXPOINT RESIDENTIAL	8,977.00	422,448.51	48.77	437,808.29	1.28	15,359.78
Total Real Estate		\$1,815,550.73		\$2,283,437.84	6.68	\$467,887.11
Total Equity		\$28,356,581.37		\$32,891,572.70	96.22	\$4,534,991.33
Total Portfolio		\$29,650,164.21		\$34,185,155.54	100.00	\$4,534,991.33

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Buy						
EXACT SCIENCES CORP	30063P105	10/3/2019	10/7/2019	743.00	90.53	(67,295.00)
NEXPOINT RESIDENTIAL	65341D102	10/4/2019	10/4/2019	33.00	47.03	(1,552.10)
GROCERY OUTLET HOLDING CORP	39874R101	10/4/2019	10/8/2019	2,367.00	34.00	(80,581.44)
GROCERY OUTLET HOLDING CORP	39874R101	10/4/2019	10/8/2019	79.00	33.75	(2,666.25)
SAIA INC	78709Y105	10/14/2019	10/16/2019	1,720.00	96.14	(165,434.93)
TREX COMPANY INC	89531P105	10/14/2019	10/16/2019	509.00	90.45	(46,057.17)
KORNIT DIGITAL LTD	M6372Q113	10/15/2019	10/17/2019	1,259.00	32.05	(40,405.21)
INTER PARFUMS INC	458334109	10/16/2019	10/18/2019	810.00	71.30	(57,781.35)
INTER PARFUMS INC	458334109	10/16/2019	10/18/2019	596.00	71.03	(42,357.30)
KORNIT DIGITAL LTD	M6372Q113	10/16/2019	10/18/2019	176.00	32.30	(5,691.10)
MONOLITHIC POWER SYSTEMS INC	609839105	10/16/2019	10/18/2019	1,149.00	143.64	(165,084.99)
BOOT BARN HOLDINGS INC	099406100	10/17/2019	10/21/2019	1,109.00	37.05	(41,135.25)
BOOT BARN HOLDINGS INC	099406100	10/17/2019	10/21/2019	1,013.00	37.09	(37,612.69)
CARDLYTICS INC	14161W105	10/17/2019	10/21/2019	726.00	39.03	(28,361.26)
CARDLYTICS INC	14161W105	10/17/2019	10/21/2019	699.00	39.11	(27,365.85)
EHEALTH INC	28238P109	10/17/2019	10/21/2019	938.00	59.00	(55,381.11)
INSPIRE MEDICAL SYSTEMS INC	457730109	10/17/2019	10/21/2019	537.00	55.53	(29,841.09)
INSPIRE MEDICAL SYSTEMS INC	457730109	10/17/2019	10/21/2019	333.00	55.23	(18,405.41)

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
KONTOOR BRANDS INC	50050N103	10/17/2019	10/21/2019	1,459.00	38.89	(56,805.29)
KORNIT DIGITAL LTD	M6372Q113	10/17/2019	10/21/2019	247.00	33.05	(8,173.85)
NEXPOINT RESIDENTIAL	65341D102	10/17/2019	10/21/2019	383.00	48.40	(18,542.95)
NEXPOINT RESIDENTIAL	65341D102	10/17/2019	10/21/2019	107.00	49.13	(5,260.93)
REPLIGEN CORP	759916109	10/17/2019	10/21/2019	244.00	79.08	(19,304.67)
SAIA INC	78709Y105	10/17/2019	10/21/2019	895.00	96.58	(86,476.87)
SIMPLY GOOD FOODS CO/THE	82900L102	10/17/2019	10/21/2019	2,404.00	24.98	(60,154.81)
TREX COMPANY INC	89531P105	10/17/2019	10/21/2019	1,240.00	90.49	(112,260.92)
TREX COMPANY INC	89531P105	10/17/2019	10/21/2019	767.00	90.52	(69,455.69)
UNIQUE NV	N90064101	10/17/2019	10/21/2019	700.00	44.62	(31,262.00)
UNIQUE NV	N90064101	10/17/2019	10/21/2019	772.00	44.52	(34,403.10)
VISTEON CORP	92839U206	10/17/2019	10/21/2019	1,503.00	83.02	(124,839.18)
INSPIRE MEDICAL SYSTEMS INC	457730109	10/18/2019	10/22/2019	771.00	55.05	(42,477.47)
KORNIT DIGITAL LTD	M6372Q113	10/18/2019	10/22/2019	251.00	32.65	(8,205.11)
NEXPOINT RESIDENTIAL	65341D102	10/18/2019	10/22/2019	553.00	49.39	(27,334.13)
SAIA INC	78709Y105	10/18/2019	10/22/2019	753.00	99.17	(74,705.13)
SIMPLY GOOD FOODS CO/THE	82900L102	10/18/2019	10/22/2019	3,696.00	25.37	(93,916.84)
SIMPLY GOOD FOODS CO/THE	82900L102	10/18/2019	10/22/2019	1,789.00	25.49	(45,673.17)
VISTEON CORP	92839U206	10/18/2019	10/22/2019	1,879.00	84.75	(159,328.87)
VISTEON CORP	92839U206	10/18/2019	10/22/2019	73.00	83.50	(6,098.42)

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
FIRST FOUNDATION INC	32026V104	10/21/2019	10/23/2019	1,074.00	15.35	(16,502.01)
MONOLITHIC POWER SYSTEMS INC	609839105	10/21/2019	10/23/2019	679.00	145.24	(98,647.36)
NEXPOINT RESIDENTIAL	65341D102	10/21/2019	10/23/2019	587.00	49.75	(29,226.73)
SAIA INC	78709Y105	10/21/2019	10/23/2019	572.00	99.78	(57,094.18)
SAIA INC	78709Y105	10/21/2019	10/23/2019	1,028.00	99.61	(102,444.52)
SKYWEST INC	830879102	10/21/2019	10/23/2019	1,510.00	57.96	(87,579.25)
SKYWEST INC	830879102	10/21/2019	10/23/2019	775.00	57.99	(44,969.38)
VISTEON CORP	92839U206	10/21/2019	10/23/2019	597.00	84.19	(50,283.82)
CAROLINA FINANCIAL CORP	143873107	10/22/2019	10/24/2019	2,829.00	35.96	(101,854.75)
FIRST FOUNDATION INC	32026V104	10/22/2019	10/24/2019	5,067.00	15.48	(78,657.57)
PAPA JOHN'S INTL INC	698813102	10/22/2019	10/24/2019	1,112.00	56.98	(63,403.13)
CAROLINA FINANCIAL CORP	143873107	10/23/2019	10/25/2019	1,227.00	36.27	(44,549.67)
GRAPHIC PACKAGING HOLDING CO	388689101	10/23/2019	10/25/2019	2,836.00	15.51	(44,085.62)
GRAPHIC PACKAGING HOLDING CO	388689101	10/23/2019	10/25/2019	13,557.00	15.55	(211,330.58)
PAPA JOHN'S INTL INC	698813102	10/23/2019	10/25/2019	981.00	57.17	(56,125.66)
CAROLINA FINANCIAL CORP	143873107	10/24/2019	10/28/2019	4,010.00	36.28	(145,627.56)
CAROLINA FINANCIAL CORP	143873107	10/24/2019	10/28/2019	1,354.00	36.35	(49,272.06)
EHEALTH INC	28238P109	10/24/2019	10/28/2019	1,041.00	62.38	(64,982.34)
FIRST FOUNDATION INC	32026V104	10/24/2019	10/28/2019	2,012.00	15.89	(32,041.10)
GRAPHIC PACKAGING HOLDING CO	388689101	10/24/2019	10/28/2019	5,272.00	15.61	(82,515.76)

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
INSPIRE MEDICAL SYSTEMS INC	457730109	10/28/2019	10/30/2019	1,368.00	58.43	(79,989.97)
KORNIT DIGITAL LTD	M6372Q113	10/28/2019	10/30/2019	1,743.00	34.06	(59,428.11)
VISTEON CORP	92839U206	10/28/2019	10/30/2019	1,358.00	95.10	(129,199.03)
LATTICE SEMICONDUCTOR CORP	518415104	10/30/2019	11/1/2019	2,633.00	19.88	(52,449.36)
LATTICE SEMICONDUCTOR CORP	518415104	10/30/2019	11/1/2019	6,492.00	19.80	(128,796.09)
SAIA INC	78709Y105	10/30/2019	11/1/2019	530.00	92.45	(49,020.87)
COPA HOLDINGS SA-CLASS A	P31076105	10/31/2019	11/4/2019	1,531.00	103.30	(158,213.54)
COPA HOLDINGS SA-CLASS A	P31076105	10/31/2019	11/4/2019	1,785.00	102.59	(183,192.77)
FIRST FOUNDATION INC	32026V104	10/31/2019	11/4/2019	12.00	15.51	(186.60)
Total Buy						\$(4,299,358.29)

Sell

CALLAWAY GOLF COMPANY	131193104	10/7/2019	10/9/2019	(5,301.00)	18.69	98,880.15
CHEESECAKE FACTORY INC/THE	163072101	10/7/2019	10/9/2019	(2,660.00)	39.61	105,258.01
ETSY INC	29786A106	10/7/2019	10/9/2019	(4,044.00)	55.98	226,212.22
FIVE BELOW	33829M101	10/7/2019	10/9/2019	(886.00)	126.31	111,871.13
FRONTDOOR INC	35905A109	10/7/2019	10/9/2019	(676.00)	49.64	33,530.19
LOVESAC CO/THE	54738L109	10/7/2019	10/9/2019	(3,182.00)	17.60	55,890.35
LOVESAC CO/THE	54738L109	10/8/2019	10/10/2019	(1,174.00)	17.18	20,119.71
STEVEN MADDEN LTD	556269108	10/8/2019	10/10/2019	(2,707.00)	33.53	90,657.99

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
LOVESAC CO/THE	54738L109	10/9/2019	10/11/2019	(618.00)	16.37	10,091.85
FORESCOUT TECHNOLOGIES INC	34553D101	10/10/2019	10/15/2019	(3,331.00)	26.67	88,691.36
LOVESAC CO/THE	54738L109	10/10/2019	10/15/2019	(762.00)	16.39	12,458.14
HUBSPOT INC	443573100	10/15/2019	10/17/2019	(443.00)	164.41	72,825.56
HUBSPOT INC	443573100	10/15/2019	10/17/2019	(444.00)	164.42	72,982.81
MATADOR RESOURCES CO	576485205	10/16/2019	10/18/2019	(4,817.00)	13.49	64,806.09
CHEESECAKE FACTORY INC/THE	163072101	10/17/2019	10/21/2019	(2,500.00)	38.49	96,118.76
HOSTESS BRANDS INC	44109J106	10/17/2019	10/21/2019	(6,156.00)	13.25	81,434.80
MATADOR RESOURCES CO	576485205	10/17/2019	10/21/2019	(2,101.00)	13.07	27,375.46
MATADOR RESOURCES CO	576485205	10/17/2019	10/21/2019	(7,043.00)	13.44	94,401.71
CHEESECAKE FACTORY INC/THE	163072101	10/18/2019	10/22/2019	(1,125.00)	38.57	43,341.19
HOSTESS BRANDS INC	44109J106	10/18/2019	10/22/2019	(1,260.00)	13.15	16,543.46
HOSTESS BRANDS INC	44109J106	10/18/2019	10/22/2019	(2,519.00)	13.18	33,160.94
MATADOR RESOURCES CO	576485205	10/18/2019	10/22/2019	(2,037.00)	13.36	27,122.10
MATADOR RESOURCES CO	576485205	10/18/2019	10/22/2019	(86.00)	13.50	1,157.54
AVALARA INC	05338G106	10/21/2019	10/23/2019	(1,106.00)	66.67	73,687.60
CHEESECAKE FACTORY INC/THE	163072101	10/21/2019	10/23/2019	(563.00)	39.17	22,027.87
COUPA SOFTWARE INC	22266L106	10/21/2019	10/23/2019	(810.00)	127.35	103,120.90
EVERBRIDGE INC	29978A104	10/21/2019	10/23/2019	(785.00)	71.74	56,280.11
EVERBRIDGE INC	29978A104	10/21/2019	10/23/2019	(667.00)	71.64	47,756.88

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
HOSTESS BRANDS INC	44109J106	10/21/2019	10/23/2019	(1,945.00)	13.20	25,643.71
HUBSPOT INC	443573100	10/21/2019	10/23/2019	(614.00)	153.80	94,422.04
HUBSPOT INC	443573100	10/21/2019	10/23/2019	(395.00)	154.31	60,936.30
MATADOR RESOURCES CO	576485205	10/21/2019	10/23/2019	(3,573.00)	12.47	44,419.69
RAPID7 INC	753422104	10/21/2019	10/23/2019	(1,323.00)	46.32	61,226.11
SMARTSHEET INC-CLASS A	83200N103	10/21/2019	10/23/2019	(2,035.00)	37.11	75,437.52
UPLAND SOFTWARE INC	91544A109	10/21/2019	10/23/2019	(1,619.00)	39.00	63,078.98
HOSTESS BRANDS INC	44109J106	10/22/2019	10/24/2019	(2,601.00)	13.03	33,843.77
MATADOR RESOURCES CO	576485205	10/22/2019	10/24/2019	(1,378.00)	12.60	17,306.08
MATADOR RESOURCES CO	576485205	10/22/2019	10/24/2019	(1,784.00)	12.83	22,809.04
NOMAD FOODS LTD	G6564A105	10/22/2019	10/24/2019	(2,253.00)	18.80	42,254.14
NOMAD FOODS LTD	G6564A105	10/22/2019	10/24/2019	(4,085.00)	18.96	77,266.18
NOMAD FOODS LTD	G6564A105	10/22/2019	10/24/2019	(2,039.00)	18.95	38,566.07
UPLAND SOFTWARE INC	91544A109	10/22/2019	10/24/2019	(460.00)	38.38	17,634.70
ELASTIC NV	N14506104	10/23/2019	10/25/2019	(968.00)	69.28	67,018.09
HOSTESS BRANDS INC	44109J106	10/23/2019	10/25/2019	(6,245.00)	12.88	80,315.28
INSULET CORP	45784P101	10/23/2019	10/25/2019	(2,650.00)	146.49	388,086.32
NOMAD FOODS LTD	G6564A105	10/23/2019	10/25/2019	(2,655.00)	18.89	50,058.72
UPLAND SOFTWARE INC	91544A109	10/23/2019	10/25/2019	(1,591.00)	37.59	59,745.26
WORKIVA INC	98139A105	10/23/2019	10/25/2019	(767.00)	39.05	29,916.98

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
HOSTESS BRANDS INC	44109J106	10/24/2019	10/28/2019	(4,628.00)	12.82	59,245.04
INSULET CORP	45784P101	10/24/2019	10/28/2019	(496.00)	148.01	73,393.04
UPLAND SOFTWARE INC	91544A109	10/24/2019	10/28/2019	(2,428.00)	37.47	90,881.07
WENDY'S CO/THE	95058W100	10/24/2019	10/28/2019	(1,469.00)	21.38	31,349.72
HOSTESS BRANDS INC	44109J106	10/25/2019	10/29/2019	(2,874.00)	12.82	36,793.05
NOMAD FOODS LTD	G6564A105	10/25/2019	10/29/2019	(6,296.00)	19.11	120,032.64
NOMAD FOODS LTD	G6564A105	10/25/2019	10/29/2019	(1,606.00)	18.91	30,304.59
FORESCOUT TECHNOLOGIES INC	34553D101	10/28/2019	10/30/2019	(1,301.00)	30.45	39,562.59
FORESCOUT TECHNOLOGIES INC	34553D101	10/28/2019	10/30/2019	(906.00)	30.41	27,512.02
HOSTESS BRANDS INC	44109J106	10/28/2019	10/30/2019	(1,429.00)	12.83	18,297.97
NOMAD FOODS LTD	G6564A105	10/28/2019	10/30/2019	(3,488.00)	19.32	67,264.33
FORESCOUT TECHNOLOGIES INC	34553D101	10/29/2019	10/31/2019	(2,440.00)	30.71	74,840.81
HOSTESS BRANDS INC	44109J106	10/29/2019	10/31/2019	(1,386.00)	12.73	17,612.50
NOMAD FOODS LTD	G6564A105	10/29/2019	10/31/2019	(1,515.00)	19.33	29,222.98
NOMAD FOODS LTD	G6564A105	10/29/2019	10/31/2019	(501.00)	19.30	9,646.56
FORESCOUT TECHNOLOGIES INC	34553D101	10/30/2019	11/1/2019	(1,608.00)	30.80	49,464.43
HOSTESS BRANDS INC	44109J106	10/30/2019	11/1/2019	(737.00)	12.62	9,286.97
NOMAD FOODS LTD	G6564A105	10/30/2019	11/1/2019	(274.00)	19.30	5,275.76
NOMAD FOODS LTD	G6564A105	10/30/2019	11/1/2019	(869.00)	19.27	16,713.82
HOSTESS BRANDS INC	44109J106	10/31/2019	11/4/2019	(4,646.00)	12.71	58,938.87

Trade Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
HOSTESS BRANDS INC	44109J106	10/31/2019	11/4/2019	(1,768.00)	12.65	22,294.02
MANTECH INTERNATIONAL CORP-A	564563104	10/31/2019	11/4/2019	(213.00)	80.95	17,232.47
SKYWEST INC	830879102	10/31/2019	11/4/2019	(1,451.00)	59.65	86,492.32
SKYWEST INC	830879102	10/31/2019	11/4/2019	(3,003.00)	59.61	178,884.10
TERRENO REALTY CORP	88146M101	10/31/2019	11/4/2019	(1,124.00)	56.35	63,291.13
TERRENO REALTY CORP	88146M101	10/31/2019	11/4/2019	(1,609.00)	56.60	91,004.44
Total Sell						\$4,462,627.10

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
Purchases							
EQUITY							
EXACT SCIENCES CORP	30063P105	10/03/2019	743	67,265.28	0.040	29.72	William Blair & Company LLC
NEXPOINT RESIDENTIAL	65341D102	10/04/2019	33	1,552.10	0.000	0.00	Default broker code
GROCERY OUTLET HOLDING CORP	39874R101	10/04/2019	79	2,666.25	0.000	0.00	MORGAN STANLEY
GROCERY OUTLET HOLDING CORP	39874R101	10/04/2019	2,367	80,486.76	0.040	94.68	MORGAN STANLEY
SAIA INC	78709Y105	10/14/2019	1,720	165,366.13	0.040	68.80	STEPHENS, INC.
TREX COMPANY INC	89531P105	10/14/2019	509	46,036.81	0.040	20.36	William Blair & Company LLC
KORNIT DIGITAL LTD	M6372Q113	10/15/2019	1,259	40,354.85	0.040	50.36	William Blair & Company LLC
INTER PARFUMS INC	458334109	10/16/2019	596	42,333.46	0.040	23.84	JEFFERIES LLC
INTER PARFUMS INC	458334109	10/16/2019	810	57,748.95	0.040	32.40	Liquidnet
KORNIT DIGITAL LTD	M6372Q113	10/16/2019	176	5,684.06	0.040	7.04	William Blair & Company LLC
MONOLITHIC POWER SYSTEMS INC	609839105	10/16/2019	1,149	165,039.03	0.040	45.96	Suntrust Robinson Humphrey
BOOT BARN HOLDINGS INC	099406100	10/17/2019	1,013	37,572.17	0.040	40.52	Liquidnet
BOOT BARN HOLDINGS INC	099406100	10/17/2019	1,109	41,090.89	0.040	44.36	RAYMOND JAMES AND ASSOCIATES; INC.
CARDLYTICS INC	14161W105	10/17/2019	699	27,337.89	0.040	27.96	Liquidnet
CARDLYTICS INC	14161W105	10/17/2019	726	28,332.22	0.040	29.04	ROBERT W. BAIRD & CO.
EHEALTH INC	28238P109	10/17/2019	938	55,343.59	0.040	37.52	ISI Group
INSPIRE MEDICAL SYSTEMS INC	457730109	10/17/2019	333	18,392.09	0.040	13.32	Leerink Swann

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
INSPIRE MEDICAL SYSTEMS INC	457730109	10/17/2019	537	29,819.61	0.040	21.48	Liquidnet
KONTOOR BRANDS INC	50050N103	10/17/2019	1,459	56,746.93	0.040	58.36	STEPHENS, INC.
KORNIT DIGITAL LTD	M6372Q113	10/17/2019	247	8,163.97	0.040	9.88	William Blair & Company LLC
NEXPOINT RESIDENTIAL	65341D102	10/17/2019	107	5,256.65	0.040	4.28	RAYMOND JAMES AND ASSOCIATES; INC.
NEXPOINT RESIDENTIAL	65341D102	10/17/2019	383	18,537.20	0.015	5.75	Liquidnet
REPLIGEN CORP	759916109	10/17/2019	244	19,294.91	0.040	9.76	JANNEY CAPITAL MARKETS
SAIA INC	78709Y105	10/17/2019	895	86,441.07	0.040	35.80	Liquidnet
SIMPLY GOOD FOODS CO/THE	82900L102	10/17/2019	2,404	60,058.65	0.040	96.16	North South Capital
TREX COMPANY INC	89531P105	10/17/2019	767	69,425.01	0.040	30.68	Liquidnet
TREX COMPANY INC	89531P105	10/17/2019	1,240	112,211.32	0.040	49.60	STIFEL NICOLAUS
UNIQUE NV	N90064101	10/17/2019	700	31,234.00	0.040	28.00	Liquidnet
UNIQUE NV	N90064101	10/17/2019	772	34,372.22	0.040	30.88	STIFEL NICOLAUS
VISTEON CORP	92839U206	10/17/2019	1,503	124,779.06	0.040	60.12	Liquidnet
INSPIRE MEDICAL SYSTEMS INC	457730109	10/18/2019	771	42,446.63	0.040	30.84	Leerink Swann
KORNIT DIGITAL LTD	M6372Q113	10/18/2019	251	8,195.07	0.040	10.04	William Blair & Company LLC
NEXPOINT RESIDENTIAL	65341D102	10/18/2019	553	27,312.01	0.040	22.12	RAYMOND JAMES AND ASSOCIATES; INC.
SAIA INC	78709Y105	10/18/2019	753	74,675.01	0.040	30.12	Liquidnet
SIMPLY GOOD FOODS CO/THE	82900L102	10/18/2019	1,789	45,601.61	0.040	71.56	Liquidnet
SIMPLY GOOD FOODS CO/THE	82900L102	10/18/2019	3,696	93,769.00	0.040	147.84	North South Capital
VISTEON CORP	92839U206	10/18/2019	73	6,095.50	0.040	2.92	ROBERT W. BAIRD & CO.

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
VISTEON CORP	92839U206	10/18/2019	1,879	159,253.71	0.040	75.16	Liquidnet
FIRST FOUNDATION INC	32026V104	10/21/2019	1,074	16,480.53	0.020	21.48	JONES TRADING
MONOLITHIC POWER SYSTEMS INC	609839105	10/21/2019	679	98,620.20	0.040	27.16	NEEDHAM AND CO.
NEXPOINT RESIDENTIAL	65341D102	10/21/2019	587	29,203.25	0.040	23.48	RAYMOND JAMES AND ASSOCIATES; INC.
SAIA INC	78709Y105	10/21/2019	572	57,071.30	0.040	22.88	Liquidnet
SAIA INC	78709Y105	10/21/2019	1,028	102,403.40	0.040	41.12	ROBERT W. BAIRD & CO.
SKYWEST INC	830879102	10/21/2019	775	44,938.38	0.040	31.00	Liquidnet
SKYWEST INC	830879102	10/21/2019	1,510	87,518.85	0.040	60.40	RAYMOND JAMES AND ASSOCIATES; INC.
VISTEON CORP	92839U206	10/21/2019	597	50,259.94	0.040	23.88	ROBERT W. BAIRD & CO.
CAROLINA FINANCIAL CORP	143873107	10/22/2019	2,829	101,741.59	0.040	113.16	RAYMOND JAMES AND ASSOCIATES; INC.
FIRST FOUNDATION INC	32026V104	10/22/2019	5,067	78,454.89	0.040	202.68	North South Capital
PAPA JOHN'S INTL INC	698813102	10/22/2019	1,112	63,358.65	0.040	44.48	STIFEL NICOLAUS
CAROLINA FINANCIAL CORP	143873107	10/23/2019	1,227	44,500.59	0.040	49.08	RAYMOND JAMES AND ASSOCIATES; INC.
GRAPHIC PACKAGING HOLDING CO	388689101	10/23/2019	2,836	43,972.18	0.040	113.44	Liquidnet
GRAPHIC PACKAGING HOLDING CO	388689101	10/23/2019	13,557	210,788.30	0.040	542.28	ROBERT W. BAIRD & CO.
PAPA JOHN'S INTL INC	698813102	10/23/2019	981	56,086.42	0.040	39.24	STEPHENS, INC.
CAROLINA FINANCIAL CORP	143873107	10/24/2019	1,354	49,217.90	0.040	54.16	Liquidnet
CAROLINA FINANCIAL CORP	143873107	10/24/2019	4,010	145,467.16	0.040	160.40	North South Capital
EHEALTH INC	28238P109	10/24/2019	1,041	64,940.70	0.040	41.64	ISI Group
FIRST FOUNDATION INC	32026V104	10/24/2019	2,012	31,960.62	0.040	80.48	Liquidnet
GRAPHIC PACKAGING HOLDING CO	388689101	10/24/2019	5,272	82,304.88	0.040	210.88	ROBERT W. BAIRD & CO.

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
INSPIRE MEDICAL SYSTEMS INC	457730109	10/28/2019	1,368	79,935.25	0.040	54.72	Leerink Swann
KORNIT DIGITAL LTD	M6372Q113	10/28/2019	1,743	59,358.39	0.040	69.72	William Blair & Company LLC
VISTEON CORP	92839U206	10/28/2019	1,358	129,144.71	0.040	54.32	ROBERT W. BAIRD & CO.
LATTICE SEMICONDUCTOR CORP	518415104	10/30/2019	2,633	52,344.04	0.040	105.32	Liquidnet
LATTICE SEMICONDUCTOR CORP	518415104	10/30/2019	6,492	128,536.41	0.040	259.68	STIFEL NICOLAUS
SAIA INC	78709Y105	10/30/2019	530	48,999.67	0.040	21.20	STEPHENS, INC.
COPA HOLDINGS SA-CLASS A	P31076105	10/31/2019	1,531	158,152.30	0.040	61.24	Liquidnet
COPA HOLDINGS SA-CLASS A	P31076105	10/31/2019	1,785	183,121.37	0.040	71.40	COWEN AND CO.
FIRST FOUNDATION INC	32026V104	10/31/2019	12	186.12	0.040	0.48	ITG Algo Desk
Total EQUITY				\$4,295,359.65		\$3,998.63	
Average Commission Per EQUITY						0.040	
Total Purchases				\$4,295,359.65		\$3,998.63	
Average Commission Per Purchases						0.040	

Sales

EQUITY							
CALLAWAY GOLF COMPANY	131193104	10/07/2019	5,301	99,094.24	0.040	212.04	Compass Capital
CHEESECAKE FACTORY INC/THE	163072101	10/07/2019	2,660	105,366.59	0.040	106.40	William Blair & Company LLC
ETSY INC	29786A106	10/07/2019	4,044	226,378.67	0.040	161.76	Pacific Crest
FIVE BELOW	33829M101	10/07/2019	886	111,908.89	0.040	35.44	North South Capital
FRONTDOOR INC	35905A109	10/07/2019	676	33,557.92	0.040	27.04	Compass Capital
LOVESAC CO/THE	54738L109	10/07/2019	3,182	56,018.79	0.040	127.28	North South Capital

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
LOVESAC CO/THE	54738L109	10/08/2019	1,174	20,167.09	0.040	46.96	North South Capital
STEVEN MADDEN LTD	556269108	10/08/2019	2,707	90,768.15	0.040	108.28	North South Capital
LOVESAC CO/THE	54738L109	10/09/2019	618	10,116.78	0.040	24.72	North South Capital
FORESCOUT TECHNOLOGIES INC	34553D101	10/10/2019	3,331	88,826.44	0.040	133.24	ITG Algo Desk
LOVESAC CO/THE	54738L109	10/10/2019	762	12,488.88	0.040	30.48	North South Capital
HUBSPOT INC	443573100	10/15/2019	444	73,002.08	0.040	17.76	RAYMOND JAMES AND ASSOCIATES; INC.
HUBSPOT INC	443573100	10/15/2019	443	72,833.72	0.015	6.65	Liquidnet
MATADOR RESOURCES CO	576485205	10/16/2019	4,817	65,000.12	0.040	192.68	ISI Group
CHEESECAKE FACTORY INC/THE	163072101	10/17/2019	2,500	96,220.75	0.040	100.00	ROBERT W. BAIRD & CO.
HOSTESS BRANDS INC	44109J106	10/17/2019	6,156	81,559.61	0.020	123.12	Academy Securities
MATADOR RESOURCES CO	576485205	10/17/2019	7,043	94,685.39	0.040	281.72	ISI Group
MATADOR RESOURCES CO	576485205	10/17/2019	2,101	27,460.07	0.040	84.04	Liquidnet
CHEESECAKE FACTORY INC/THE	163072101	10/18/2019	1,125	43,387.09	0.040	45.00	ROBERT W. BAIRD & CO.
HOSTESS BRANDS INC	44109J106	10/18/2019	2,519	33,212.01	0.020	50.38	Academy Securities
HOSTESS BRANDS INC	44109J106	10/18/2019	1,260	16,562.70	0.015	18.90	Liquidnet
MATADOR RESOURCES CO	576485205	10/18/2019	2,037	27,204.14	0.040	81.48	Liquidnet
MATADOR RESOURCES CO	576485205	10/18/2019	86	1,161.00	0.040	3.44	STEPHENS, INC.
AVALARA INC	05338G106	10/21/2019	1,106	73,733.37	0.040	44.24	Suntrust Robinson Humphrey
CHEESECAKE FACTORY INC/THE	163072101	10/21/2019	563	22,050.85	0.040	22.52	ROBERT W. BAIRD & CO.
COUPA SOFTWARE INC	22266L106	10/21/2019	810	103,155.44	0.040	32.40	Suntrust Robinson Humphrey
EVERBRIDGE INC	29978A104	10/21/2019	785	56,312.68	0.040	31.40	ROBERT W. BAIRD & CO.
EVERBRIDGE INC	29978A104	10/21/2019	667	47,784.55	0.040	26.68	Liquidnet

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
HOSTESS BRANDS INC	44109J106	10/21/2019	1,945	25,683.14	0.020	38.90	Academy Securities
HUBSPOT INC	443573100	10/21/2019	614	94,433.20	0.015	9.21	Liquidnet
HUBSPOT INC	443573100	10/21/2019	395	60,953.36	0.040	15.80	Suntrust Robinson Humphrey
MATADOR RESOURCES CO	576485205	10/21/2019	3,573	44,563.53	0.040	142.92	STEPHENS, INC.
RAPID7 INC	753422104	10/21/2019	1,323	61,280.30	0.040	52.92	ITG Algo Desk
SMARTSHEET INC-CLASS A	83200N103	10/21/2019	2,035	75,520.48	0.040	81.40	NEEDHAM AND CO.
UPLAND SOFTWARE INC	91544A109	10/21/2019	1,619	63,145.05	0.040	64.76	ROBERT W. BAIRD & CO.
HOSTESS BRANDS INC	44109J106	10/22/2019	2,601	33,896.49	0.020	52.02	Academy Securities
MATADOR RESOURCES CO	576485205	10/22/2019	1,784	22,880.87	0.040	71.36	STEPHENS, INC.
MATADOR RESOURCES CO	576485205	10/22/2019	1,378	17,361.56	0.040	55.12	Liquidnet
NOMAD FOODS LTD	G6564A105	10/22/2019	4,085	77,431.18	0.040	163.40	Liquidnet
NOMAD FOODS LTD	G6564A105	10/22/2019	2,253	42,345.14	0.040	90.12	Liquidnet
NOMAD FOODS LTD	G6564A105	10/22/2019	2,039	38,648.43	0.040	81.56	STEPHENS, INC.
UPLAND SOFTWARE INC	91544A109	10/22/2019	460	17,653.47	0.040	18.40	ROBERT W. BAIRD & CO.
ELASTIC NV	N14506104	10/23/2019	968	67,058.20	0.040	38.72	Liquidnet
HOSTESS BRANDS INC	44109J106	10/23/2019	6,245	80,441.85	0.020	124.90	Academy Securities
INSULET CORP	45784P101	10/23/2019	2,650	388,200.36	0.040	106.00	J.P. MORGAN
NOMAD FOODS LTD	G6564A105	10/23/2019	2,655	50,165.96	0.040	106.20	STEPHENS, INC.
UPLAND SOFTWARE INC	91544A109	10/23/2019	1,591	59,810.14	0.040	63.64	ROBERT W. BAIRD & CO.
WORKIVA INC	98139A105	10/23/2019	767	29,948.28	0.040	30.68	Pacific Crest
HOSTESS BRANDS INC	44109J106	10/24/2019	4,628	59,338.83	0.020	92.56	Academy Securities
INSULET CORP	45784P101	10/24/2019	496	73,414.40	0.040	19.84	J.P. MORGAN
UPLAND SOFTWARE INC	91544A109	10/24/2019	2,428	90,980.07	0.040	97.12	ROBERT W. BAIRD & CO.

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
WENDY'S CO/THE	95058W100	10/24/2019	1,469	31,409.13	0.040	58.76	ISI Group
HOSTESS BRANDS INC	44109J106	10/25/2019	2,874	36,851.29	0.020	57.48	Academy Securities
NOMAD FOODS LTD	G6564A105	10/25/2019	6,296	120,286.97	0.040	251.84	STEPHENS, INC.
NOMAD FOODS LTD	G6564A105	10/25/2019	1,606	30,369.46	0.040	64.24	Liquidnet
FORESCOUT TECHNOLOGIES INC	34553D101	10/28/2019	1,301	39,615.45	0.040	52.04	Liquidnet
FORESCOUT TECHNOLOGIES INC	34553D101	10/28/2019	906	27,548.83	0.040	36.24	Suntrust Robinson Humphrey
HOSTESS BRANDS INC	44109J106	10/28/2019	1,429	18,326.93	0.020	28.58	Academy Securities
NOMAD FOODS LTD	G6564A105	10/28/2019	3,488	67,405.25	0.040	139.52	STEPHENS, INC.
FORESCOUT TECHNOLOGIES INC	34553D101	10/29/2019	2,440	74,939.96	0.040	97.60	Suntrust Robinson Humphrey
HOSTESS BRANDS INC	44109J106	10/29/2019	1,386	17,640.59	0.020	27.72	Academy Securities
NOMAD FOODS LTD	G6564A105	10/29/2019	1,515	29,284.19	0.040	60.60	STEPHENS, INC.
NOMAD FOODS LTD	G6564A105	10/29/2019	501	9,666.80	0.040	20.04	Liquidnet
FORESCOUT TECHNOLOGIES INC	34553D101	10/30/2019	1,608	49,529.78	0.040	64.32	Suntrust Robinson Humphrey
HOSTESS BRANDS INC	44109J106	10/30/2019	737	9,301.90	0.020	14.74	Academy Securities
NOMAD FOODS LTD	G6564A105	10/30/2019	869	16,748.93	0.040	34.76	STEPHENS, INC.
NOMAD FOODS LTD	G6564A105	10/30/2019	274	5,286.83	0.040	10.96	Liquidnet
HOSTESS BRANDS INC	44109J106	10/31/2019	4,646	59,033.01	0.020	92.92	Academy Securities
HOSTESS BRANDS INC	44109J106	10/31/2019	1,768	22,365.20	0.040	70.72	Liquidnet
MANTECH INTERNATIONAL CORP-A	564563104	10/31/2019	213	17,241.35	0.040	8.52	Suntrust Robinson Humphrey
SKYWEST INC	830879102	10/31/2019	3,003	179,007.93	0.040	120.12	COWEN AND CO.
SKYWEST INC	830879102	10/31/2019	1,451	86,552.15	0.040	58.04	Liquidnet
TERRENO REALTY CORP	88146M101	10/31/2019	1,609	91,070.69	0.040	64.36	ROBERT W. BAIRD & CO.
TERRENO REALTY CORP	88146M101	10/31/2019	1,124	63,337.40	0.040	44.96	Liquidnet

Commission Report

Security Name	CUSIP	Trade Date	Quantity	Principal	Commission Per Share	Total Commission	Brokerage Firm
Total EQUITY				\$4,467,992.29		\$5,272.68	
Average Commission Per EQUITY						0.035	
Total Sales				\$4,467,992.29		\$5,272.68	
Average Commission Per Sales						0.035	
Total All Trades				\$8,763,351.93		\$9,271.31	
Average Commission Per Trade						0.037	

Contributions and Withdrawals Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Withdrawal						
U.S. DOLLARS	USD	10/4/2019	10/4/2019			(1,825.92)
U.S. DOLLARS	USD	10/15/2019	10/15/2019			(7,949.60)
U.S. DOLLARS	USD	10/18/2019	10/18/2019			(4,814.37)
Total Withdrawal						\$(14,589.89)

Income and Expense Report

Transaction/Description	Security ID	Trade Date	Settle Date	Quantity	Price	Net Amount
Dividend						
SKYWEST INC	830879102	9/27/2019	10/4/2019			1,825.92
AMERICOLD REALTY TRUST	03064D108	9/27/2019	10/15/2019			4,958.60
INTER PARFUMS INC	458334109	9/27/2019	10/15/2019			926.20
MONOLITHIC POWER SYSTEMS INC	609839105	9/27/2019	10/15/2019			2,064.80
TERRENO REALTY CORP	88146M101	10/3/2019	10/18/2019			4,814.37
Total Dividend						\$14,589.89
Interest						
U.S. DOLLARS	USD	9/30/2019	10/1/2019			2,648.73
U.S. DOLLARS	USD	10/31/2019	10/31/2019			(0.27)
Total Interest						\$2,648.46
Revenue						
NEXPOINT RESIDENTIAL	65341D102	10/4/2019	10/4/2019			13.04
Total Revenue						\$13.04

Contact Information

As always, do not hesitate to call us with any questions or comments you may have. We look forward to updating you next month and thank you for the opportunity to add value to your investment program.

-Nicholas Investment Partners Client Service Team

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