

## Vote Summary

### SHINHAN FINANCIAL GROUP

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 824596100       | Meeting Type       | Annual                 |
| Ticker Symbol  | SHG             | Meeting Date       | 23-Mar-2023            |
| ISIN           | US8245961003    | Agenda             | 935776647 - Management |
| Record Date    | 30-Dec-2022     | Holding Recon Date | 30-Dec-2022            |
| City / Country | / United States | Vote Deadline Date | 17-Mar-2023            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote    | For/Against Management |
|------|---|-------------|---------|------------------------|
| 1.   | Approval of Financial Statements and Annual Dividends for FY2022 (Jan 1, 2022 - Dec 31, 2022) | Management  | For     | For                    |
| 2.   | Approval of Amendment to the Articles of Incorporation  | Management  | For     | For                    |
| 3.1  | Election of Mr. Jin Ok-dong as Executive Director   | Management  | Against | Against                |
| 3.2  | Election of Mr. Jung Sang Hyuk as Non-Executive Director                                      | Management  | Against | Against                |
| 3.3  | Re-election of Mr. Kwak Su Keun as Independent Director                                       | Management  | Against | Against                |
| 3.4  | Re-election of Mr. Bae Hoon as Independent Director   | Management  | Against | Against                |
| 3.5  | Re-election of Mr. Sung Jaeho as Independent Director   | Management  | Against | Against                |
| 3.6  | Re-election of Mr. Lee Yong Guk as Independent Director                                       | Management  | Against | Against                |
| 3.7  | Re-election of Mr. Lee Yoon-jae as Independent Director                                       | Management  | Against | Against                |
| 3.8  | Re-election of Mr. Jin Hyun-duk as Independent Director                                       | Management  | Against | Against                |
| 3.9  | Re-election of Mr. Choi Jae Boong as Independent Director                                     | Management  | Against | Against                |
| 4.   | Election of an Independent Director who will serve as Audit Committee Member                  | Management  | For     | For                    |
| 5.1  | Re-election of Mr. Kwak Su Keun as an audit committee member                                  | Management  | Against | Against                |
| 5.2  | Re-election of Mr. Bae Hoon as an audit committee member                                      | Management  | Against | Against                |
| 6.   | Approval of the Director Remuneration Limit   | Management  | Abstain | Against                |

## Vote Summary

### KB FINANCIAL GROUP INC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 48241A105       | Meeting Type       | Annual                 |
| Ticker Symbol  | KB              | Meeting Date       | 24-Mar-2023            |
| ISIN           | US48241A1051    | Agenda             | 935776558 - Management |
| Record Date    | 30-Dec-2022     | Holding Recon Date | 30-Dec-2022            |
| City / Country | / United States | Vote Deadline Date | 20-Mar-2023            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote    | For/Against Management |
|------|---|-------------|---------|------------------------|
| 1.   | Approval of financial statements and the proposed dividend payment for fiscal year 2022   | Management  | For     | For                    |
| 2.   | Amendment of the articles of incorporation of KB Financial Group Inc.   | Management  | For     | For                    |
| 3.1  | Appointment of Non-Executive Director: Seon-joo Kwon  | Management  | For     | For                    |
| 3.2  | Appointment of Non-Executive Director: Whajoon Cho  | Management  | For     | For                    |
| 3.3  | Appointment of Non-Executive Director: Gyutaeg Oh   | Management  | For     | For                    |
| 3.4  | Appointment of Non-Executive Director: Jungsung Yeo   | Management  | For     | For                    |
| 3.5  | Appointment of Non-Executive Director: Sung-Yong Kim  | Management  | For     | For                    |
| 4.   | Appointment of a non-executive director, who will serve as a member of the Audit Committee Non-Executive Director Candidate: Kyung Ho Kim   | Management  | For     | For                    |
| 5.1  | Appointment of members of the Audit Committee, who are non- executive director: Seon-joo Kwon   | Management  | For     | For                    |
| 5.2  | Appointment of members of the Audit Committee, who are non- executive director: Whajoon Cho   | Management  | For     | For                    |
| 5.3  | Appointment of members of the Audit Committee, who are non- executive director: Sung-Yong Kim   | Management  | For     | For                    |
| 6.   | Approval of the enactment of the regulations on severance pay for directors   | Management  | Abstain | Against                |
| 7.   | Approval of the aggregate remuneration limit for directors  | Management  | Abstain | Against                |
| 8.   | Amendment of the articles of incorporation of KB Financial Group Inc. (proposed by the Labor Union of Kookmin Bank, a chapter of the Korean Financial Industry Union, and others) Amendment to Article 40 of the articles of incorporation of KB Financial Group Inc. Shareholder's proposal by the Labor Union of Kookmin Bank, a chapter of the Korean Financial Industry Union, and others | Management  | Abstain | Against                |
| 9.   | Appointment of a non-executive director (proposed by the Labor Union of Kookmin Bank, a chapter of the Korean Financial Industry Union, and others) Non-Executive Director Candidate: Kyung Jong Lim, Shareholder's proposal by the Labor Union of Kookmin Bank, a chapter of the Korean Financial Industry Union, and others   | Management  | Abstain | Against                |

## Vote Summary

### UBS GROUP AG

|                |               |                    |                        |
|----------------|---------------|--------------------|------------------------|
| Security       | H42097107     | Meeting Type       | Annual                 |
| Ticker Symbol  | UBS           | Meeting Date       | 05-Apr-2023            |
| ISIN           | CH0244767585  | Agenda             | 935777043 - Management |
| Record Date    | 22-Feb-2023   | Holding Recon Date | 22-Feb-2023            |
| City / Country | / Switzerland | Vote Deadline Date | 30-Mar-2023            |
| SEDOL(s)       |               | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote    | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1.   | Approval of the UBS Group AG management report and consolidated and standalone financial statements for the 2022 financial year  | Management  | For     | For                    |
| 2.   | Advisory vote on the UBS Group AG Compensation Report 2022   | Management  | For     | For                    |
| 3.   | Advisory vote on the UBS Group AG Sustainability Report 2022   | Management  | For     | For                    |
| 4.   | Appropriation of total profit and distribution of ordinary dividend out of total profit and capital contribution reserve   | Management  | For     | For                    |
| 5.1  | Amendments related to the General Meeting (section 3 A., excluding article 10a paragraph 2)  | Management  | Abstain | Against                |
| 5.2  | Vote on virtual General Meetings (article 10a paragraph 2)   | Management  | For     | For                    |
| 5.3  | Amendments related to the Board of Directors (section 3 B.), Group Executive Board (section 3 C.) and compensation of the members of the Board and the Group Executive Board (section 5) | Management  | Abstain | Against                |
| 5.4  | General amendments   | Management  | For     | For                    |
| 6.   | Discharge of the members of the Board of Directors and the Group Executive Board for the 2022 financial year   | Management  | For     | For                    |
| 7a.  | Re-election of the member of the Board of Director: Colm Kelleher, as Chairman of the Board of Director  | Management  | For     | For                    |
| 7b.  | Re-election of the member of the Board of Director: Lukas Gähwiler   | Management  | For     | For                    |
| 7c.  | Re-election of the member of the Board of Director: Jeremy Anderson  | Management  | For     | For                    |
| 7d.  | Re-election of the member of the Board of Director: Claudia Böckstiegel  | Management  | For     | For                    |
| 7e.  | Re-election of the member of the Board of Director: William C. Dudley  | Management  | For     | For                    |
| 7f.  | Re-election of the member of the Board of Director: Patrick Firmenich  | Management  | For     | For                    |
| 7g.  | Re-election of the member of the Board of Director: Fred Hu  | Management  | For     | For                    |
| 7h.  | Re-election of the member of the Board of Director: Mark Hughes  | Management  | For     | For                    |

## Vote Summary

|     |  |            |         |         |
|-----|--|------------|---------|---------|
| 7i. | Re-election of the member of the Board of Director: Nathalie Rachou  | Management | For     | For     |
| 7j. | Re-election of the member of the Board of Director: Julie G. Richardson  | Management | For     | For     |
| 7k. | Re-election of the member of the Board of Director: Dieter Wemmer  | Management | For     | For     |
| 7l. | Re-election of the member of the Board of Director: Jeanette Wong  | Management | For     | For     |
| 8.1 | Re-election of the member of the Compensation Committee: Julie G. Richardson   | Management | For     | For     |
| 8.2 | Re-election of the member of the Compensation Committee: Dieter Wemmer   | Management | For     | For     |
| 8.3 | Re-election of the member of the Compensation Committee: Jeanette Wong   | Management | For     | For     |
| 9.1 | Approval of the maximum aggregate amount of compensation for the members of the Board from the 2023 AGM to the 2024 AGM  | Management | Abstain | Against |
| 9.2 | Approval of the aggregate amount of variable compensation for members of the Group Executive Board for 2022 financial year   | Management | Abstain | Against |
| 9.3 | Approval of the maximum aggregate amount of fixed compensation for members of Group Executive Board for 2024 financial year  | Management | Abstain | Against |
| 10a | Re-election of the independent proxy, ADB Altorfer Duss & Beilstein AG, Zurich   | Management | For     | For     |
| 10b | Re-election of the auditors, Ernst & Young Ltd, Basel  | Management | For     | For     |
| 11  | Ordinary reduction of share capital by way of cancellation of shares repurchased under the 2021 share repurchase program   | Management | For     | For     |
| 12  | Approval of a new 2023 share repurchase program  | Management | For     | For     |
| 13a | Ordinary reduction of the share capital by way of reduction of the nominal value per share   | Management | For     | For     |
| 13b | Conversion of currency of the share capital of UBS Group AG: Change of currency of the share capital   | Management | For     | For     |
| 14  | Instruction for the exercise of voting rights for motions not published. In the event that, at the Annual General Meeting, shareholders or the Board of Directors make additional or amending motions to the published agenda items and / or put forward new motions pursuant to Article 704b of the Swiss Code of Obligations, I / we instruct the independent proxy to act as follows. | Management | Abstain |         |

## Vote Summary

### UBS GROUP AG

|                |               |                    |                        |
|----------------|---------------|--------------------|------------------------|
| Security       | H42097107     | Meeting Type       | Annual                 |
| Ticker Symbol  | UBS           | Meeting Date       | 05-Apr-2023            |
| ISIN           | CH0244767585  | Agenda             | 935801616 - Management |
| Record Date    | 24-Mar-2023   | Holding Recon Date | 24-Mar-2023            |
| City / Country | / Switzerland | Vote Deadline Date | 30-Mar-2023            |
| SEDOL(s)       |               | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote    | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1.   | Approval of the UBS Group AG management report and consolidated and standalone financial statements for the 2022 financial year  | Management  | For     | For                    |
| 2.   | Advisory vote on the UBS Group AG Compensation Report 2022   | Management  | For     | For                    |
| 3.   | Advisory vote on the UBS Group AG Sustainability Report 2022   | Management  | For     | For                    |
| 4.   | Appropriation of total profit and distribution of ordinary dividend out of total profit and capital contribution reserve   | Management  | For     | For                    |
| 5.1  | Amendments related to the General Meeting (section 3 A., excluding article 10a paragraph 2)  | Management  | Abstain | Against                |
| 5.2  | Vote on virtual General Meetings (article 10a paragraph 2)   | Management  | For     | For                    |
| 5.3  | Amendments related to the Board of Directors (section 3 B.), Group Executive Board (section 3 C.) and compensation of the members of the Board and the Group Executive Board (section 5) | Management  | Abstain | Against                |
| 5.4  | General amendments   | Management  | For     | For                    |
| 6.   | Discharge of the members of the Board of Directors and the Group Executive Board for the 2022 financial year   | Management  | For     | For                    |
| 7a.  | Re-election of the member of the Board of Director: Colm Kelleher, as Chairman of the Board of Director  | Management  | For     | For                    |
| 7b.  | Re-election of the member of the Board of Director: Lukas Gähwiler   | Management  | For     | For                    |
| 7c.  | Re-election of the member of the Board of Director: Jeremy Anderson  | Management  | For     | For                    |
| 7d.  | Re-election of the member of the Board of Director: Claudia Böckstiegel  | Management  | For     | For                    |
| 7e.  | Re-election of the member of the Board of Director: William C. Dudley  | Management  | For     | For                    |
| 7f.  | Re-election of the member of the Board of Director: Patrick Firmenich  | Management  | For     | For                    |
| 7g.  | Re-election of the member of the Board of Director: Fred Hu  | Management  | For     | For                    |
| 7h.  | Re-election of the member of the Board of Director: Mark Hughes  | Management  | For     | For                    |

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| 7i. | Re-election of the member of the Board of Director: Nathalie Rachou  | Management | For     | For     |
| 7j. | Re-election of the member of the Board of Director: Julie G. Richardson  | Management | For     | For     |
| 7k. | Re-election of the member of the Board of Director: Dieter Wemmer  | Management | For     | For     |
| 7l. | Re-election of the member of the Board of Director: Jeanette Wong  | Management | For     | For     |
| 8.1 | Re-election of the member of the Compensation Committee: Julie G. Richardson   | Management | For     | For     |
| 8.2 | Re-election of the member of the Compensation Committee: Dieter Wemmer   | Management | For     | For     |
| 8.3 | Re-election of the member of the Compensation Committee: Jeanette Wong   | Management | For     | For     |
| 9.1 | Approval of the maximum aggregate amount of compensation for the members of the Board from the 2023 AGM to the 2024 AGM  | Management | Abstain | Against |
| 9.2 | Approval of the aggregate amount of variable compensation for members of the Group Executive Board for 2022 financial year   | Management | Abstain | Against |
| 9.3 | Approval of the maximum aggregate amount of fixed compensation for members of Group Executive Board for 2024 financial year  | Management | Abstain | Against |
| 10a | Re-election of the independent proxy, ADB Altorfer Duss & Beilstein AG, Zurich   | Management | For     | For     |
| 10b | Re-election of the auditors, Ernst & Young Ltd, Basel  | Management | For     | For     |
| 11  | Ordinary reduction of share capital by way of cancellation of shares repurchased under the 2021 share repurchase program   | Management | For     | For     |
| 12  | Approval of a new 2023 share repurchase program  | Management | For     | For     |
| 13a | Ordinary reduction of the share capital by way of reduction of the nominal value per share   | Management | For     | For     |
| 13b | Conversion of currency of the share capital of UBS Group AG: Change of currency of the share capital   | Management | For     | For     |
| 14  | Instruction for the exercise of voting rights for motions not published. In the event that, at the Annual General Meeting, shareholders or the Board of Directors make additional or amending motions to the published agenda items and / or put forward new motions pursuant to Article 704b of the Swiss Code of Obligations, I / we instruct the independent proxy to act as follows. | Management | Abstain |         |